AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Birotte, Jr., Andre	United States District Court, Central District of California	09/08/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States District Court 350 W. 1st Street Los Angeles, CA 90012		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are listed in Part VII)
2.	Trustee	Trust #2 (reportable assets are listed in Part VII)
3.	Member	MIA LAX LLC (reportable assets are listed in Part VII)
4.	Member	MIA LAX MIMO LLC (reportable assets are listed in Part VII)
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Birotte, Jr., Andre	09/08/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	INDIVIDUAL ASSETS (H)									
2.	Wells Fargo (cash)	A	Interest	L	T					
3.	Invesco Developing Markets Fund A (GTDDX)		None	J	T					
4.	Janus Henderson Global Select Fund D (JANRX)	C	Dividend	L	T					
5.	MassMutual Whole Life Policy	B	Dividend	L	T					
6.	Rental Property #1, Newark, NJ (1/2 Interest)	E	Rent	N	W					
7.	Rental Property #2, Miami-Dade County, FL (1/2 interest)	E	Rent	O	W					
8.	Rental Property #3, Miami-Dade County, FL (1/2 interest)	E	Rent	O	W					
9.	ACCOUNT #1 (H)									
10.	iShares Core MSCI Emerging ETF (IEMG)		None	J	T	Buy	12/19/22	J		
11.	iShares Core US Aggregate Bond ETF (AGG)	A	Dividend	K	T	Buy	09/01/22	K		
12.						Buy (add'l)	12/19/22	J		
13.	iShares Edge MSCI Min Vol Glbl ETF (ACWV)	A	Dividend	K	T					
14.	iShares Total USD Bond Market ETF (IUSB)		None	J	T	Buy	12/19/22	J		
15.	Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T	Sold (part)	01/14/22	J	B	
16.	SPDR Mid Cap S&P 400 ETF (SPMD)	A	Dividend	J	T	Sold (part)	01/14/22	J	A	
17.	Vanguard Total World Stock ETF (VT)	A	Dividend	K	T	Sold (part)	01/14/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.						Buy (add'l)	09/01/22	J		
19.	Wisdomtree International Hgh Div ETF (DTH)	A	Dividend	J	T	Sold (part)	12/19/22	J	A	
20.	Angel Oak Multi Strategy Incm Fd A (ANGLX)	A	Dividend			Sold	05/10/22	J		
21.	Doubleline Total Return Bd Fd Cl N (DLTNX)	B	Dividend	K	T	Buy (add'l)	01/14/22	J		
22.						Sold (part)	09/01/22	J		
23.	Eaton Vance Floating Rate (EABLX)	A	Dividend			Buy	05/10/22	J		
24.						Sold	09/01/22	J		
25.	Eaton Vance Global Macro Abs Ret A (EAGMX)	A	Dividend	J	T	Sold (part)	12/19/22	J		
26.	JPMorgan Short Duration Bond A (OGLVX)	A	Dividend			Buy (add'l)	01/14/22	J		
27.						Sold	09/01/22	J		
28.	Pioneer Strategic Income (STRYX)	A	Dividend	K	T	Buy	09/01/22	J		
29.	Virtus SEIX Floating Rate High Income (SAMBX)	A	Dividend			Sold	09/01/22	J		
30.	AQR Lg Cap Defensive Style N (AUENX)	C	Dividend	K	T	Sold (part)	01/14/22	J	A	
31.	Baron Emrg Mkts Fd Retail (BEXFX)		None			Buy (add'l)	01/14/22	J		
32.						Sold	03/28/22	K	A	
33.	Fuller & Thaler Behavioral SM CAP EQTY (FTHNX)	A	Dividend	J	T	Sold (part)	01/14/22	J	B	
34.	Gateway A (GATEX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.	Invesco Developing Markets A (ODMAX)	A	Dividend			Buy	03/30/22	K		
36.						Buy (add'l)	09/02/22	J		
37.						Sold	12/19/22	K		
38.	Nuveen Preferred SEC & INCM FD I (NPSRX)	A	Dividend	J	T	Buy (add'l)	01/14/22	J		
39.						Sold (part)	09/01/22	J		
40.	ACCOUNT #2 (H)									
41.	Fidelity Government Cash Reserves (FDRXX) (cash eq.) (X)	A	Dividend	J	T					
42.	iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
43.	Vanguard Index Fds Total Stock Mkt ETF (VTI)	A	Dividend	J	T					
44.	ACCOUNT #3 (H)									
45.	Fidelity Govt Cash Reserves (FDRXX) (cash eq.)	A	Interest	M	T					
46.	FPA Crescent Fund 2.77% (FPACX)	B	Dividend	K	T					
47.	Virtus Duff & Phelps Glbl Real Estate Secs I (VGISX)	B	Dividend	L	T					
48.	iShares S&P 500 Growth ETF (IVW)	A	Dividend	M	T					
49.	iShares S&P 500 Value ETF (IVE)	C	Dividend	M	T					
50.	Invesco Exchange Traded Bultshs 2022 HY (BSJM)	A	Dividend	J	T					
51.	Invesco Exchange Traded Bultshs 2022 CB (BSCM)	B	Dividend	L	T					

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52.	Blackstone Group Inc Com Cl A (BX)	A	Dividend	K	T					
53.	California ST GO BDS 2.375 (13063BS29)	A	Interest	K	T					
54.	Metropolitan Wtr Dist Southern CA 2.0% (59266TNC1)	A	Interest	L	T					
55.	Torrance Calif Uni Sch Dist Go Ref BDS 3.0 (891318S71)	A	Interest	K	T					
56.	California ST GO BDS 3.25 (13053B4P4)	B	Interest	K	T					
57.	Los Angeles Cnty Calif Met Transn 3.0 (54466HAP1)	B	Interest	L	T					
58.	ACCOUNT #4 (H)									
59.	Fidelity Govt Cash Reserves (FDRXX) (cash)	A	Interest	M	T					
60.	DWS Global Infrastu FD (TOLIX)	C	Dividend	L	T					
61.	FPA Cresent Fund 2.48% (FPACX)	D	Dividend	L	T					
62.	Goldman Sachs Emerg Mkts Eq. (GEMIX)	B	Dividend	M	T					
63.	Goldman Sachs GQG Partners Intl Opp I (GSIMX)	C	Dividend	M	T					
64.	IShares Core S&P 500 ETF (IVV)	C	Dividend	M	T					
65.	IShares S&P 500 Growth ETF (IVW)	A	Dividend	L	T					
66.	IShares S&P Value ETF (IVE)	B	Dividend	L	T					
67.	Vanguard Tax Managed Intl Developed Mkt ETF (VEA)	D	Dividend	M	T					
68.	Vanguard Index Tr Vanguard Extended (VXF)	C	Dividend	N	T					

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69.	Invesco Exch Trd Slf Index Tr Bulshs 2024 HY (BSJO)	A	Dividend	J	T					
70.	Invesco Exch Trd Slf Idx Fd Tr Bulshs 2023 HY (BSJN)	A	Dividend	K	T					
71.	Invesco Exch Trd Slf Idx Fd Tr Bulshs 2023 CB (BSCN)	A	Dividend	K	T					
72.	Invesco Exch Trd Slf Fd Tr Bulshs 2022 CB (BSCM)	A	Dividend	K	T					
73.	Blackstone Group Inc Com Cl A (BX)	B	Dividend	K	T					
74.	KKR & Co Inc Cl A (KKR)	A	Dividend	K	T					
75.	Oxnard Calif Sch District Go Ref Bds Ser 4.0% (692020K25)	A	Interest	K	T					
76.	San Francisco Calif Bay Area Rapid Tran (797661VX0)	A	Interest	L	T					
77.	California ST GO BDS 4.0 (13063CMM9)	A	Interest	K	T					
78.	University Calif REVS REV BDS SER. 4.0% (91412G6M2)	A	Interest	K	T					
79.	Berkeley Calif Uni Sch Dist GO REF BDS (084154WQ2)	A	Interest	K	T					
80.	East Bay Calif Regl Pk Dist Prom NTS 2.25% (271015MD8)	A	Interest	J	T					
81.	East Side UN High School Dist Calif Santa Clara Cnty (275282HR5)	B	Interest	K	T					
82.	California ST GO BDS 3.0% (13063B4M1)	B	Interest	K	T					
83.	Santa Barbara Calif Uni Sch Dist Go Ref 3.375% (801315CF7)	B	Interest	L	T					
84.	San Luis Obispo County Calif Auth Rev 3.125% (798693DU8)	A	Interest	L	T					
85.	California St Go Bonds 3.0% 03/01/29 (13063CQW3)		None	L	T					

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86.	San Francisco Calif City & Cnty Uni Sch 3.0 (79771TKZ9)	A	Interest	K	T					
87.	San Francisco Calif Bay Area Rapid Tran 2.0% (797669WV6)	A	Interest	L	T					
88.	Kern California High School Dist Election 2016 2.0% (492246SA2)	A	Interest	L	T					
89.	Account #5 (H)									
90.	iShares MSCI EAFE ETF (EFA)	A	Dividend	K	T					
91.	iShares Russell 1000 Value Index Fund (IWD)	A	Dividend	K	T					
92.	iShares Russell 2000 Value Index Fund (IWN)	A	Dividend	J	T					
93.	iShares S&P Mid Cap 400 Growth ETF (IJK)	A	Dividend	J	T					
94.	iShares S&P Small Cap 600 Growth ETF (IJT)	A	Dividend	J	T					
95.	iShares S&P Mid Capital ETF (IJJ)	A	Dividend	J	T					
96.	SPDR S&P 500 ETF Fund (SPY)	A	Dividend	J	T					
97.	Vanguard Growth Index Fund (VUG)	A	Dividend	J	T					
98.	Vanguard International Equity Index Fund (VWO)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Birotte, Jr., Andre	09/08/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andre Birotte, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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