AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BLOCK, FREDERIC	U.S DISTRICT COURT	05/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
225 CADMAN PLAZA E. EASTERN DISTRICT COURT OF NY BROOKLYN NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	OFFICER	FREDERIC BLOCK P.C.
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BLOCK, FREDERIC	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. RENTAL PROPERTY FT LAUDERDALE FL	D	Rent	O	U					
2. BLACKROCK NY MUNI	B	Dividend	K	T					
3. BLACKROCK MUNIYLD	B	Dividend	K	T					
4. CALAMOS STRAT TOTAL RET	C	Dividend	L	T					
5. EATON VANCE TAX DIV	D	Dividend	L	T					
6. EATON VANCE TX-AD	C	Dividend	K	T					
7. EATON VANCE ENH INC	C	Dividend	K	T					
8. INVESCO PREFFERED	B	Dividend	K	T					
9. INVESCO S&P 500 LOW	B	Dividend	L	T					
10. NUVEEN NY QUALITY	B	Dividend	K	T					
11. ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
12. IRA-F. BLOCK									
13. ALLIANCE BERNSTEIN GLOBAL	C	Dividend	K	T					
14. CALAMOS STRAT TOTAL	C	Dividend	L	T					
15. COHEN AND STEERS QUALITY	C	Dividend	K	T					
16. EATON VANCE TAX DIV INC	C	Dividend	L	T					
17. EATON VANCE TX-AD	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BLOCK, FREDERIC	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. EATON VANCE ENH INCOME	C	Dividend	L	T					
19. FIRST TR SR FL	C	Dividend	K	T	Sold (part)	01/09/23	J	A	
20. GABELLI DIV & INC TRUST	C	Dividend	L	T					
21. MORGAN STANLEY EMERGING	B	Dividend	K	T					
22. VIRTUS	C	Dividend	K	T					
23. BANK OF AMERICA NA RASP	A	Interest	J	T					
24. IRA-F. BLOCK (2)									
25. BANK OF AMERICA NA RASP	A	Interest	J	T					
26. ABBOTT LABS	A	Dividend	J	T					
27. ABBVIE INC	A	Dividend	J	T					
28. AMGEN INC	A	Dividend	J	T					
29. ASTRAZENECA PLC	A	Dividend	J	T					
30. BOEING CO	A	Dividend	J	T					
31. BROADCOM LTD	A	Dividend	K	T	Sold (part)	06/07/23	J	D	
32. CARRIER GLOBAL	A	Dividend	J	T					
33. CISCO SYSTEMS INC	A	Dividend	J	T					
34. CITIGROUP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

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35. COMM SERVICES SELECT	A	Dividend	J	T	Sold (part)	03/31/23	J	A	
36. CONSUMER DISCRETIONARY SPDR	A	Dividend	J	T	Sold (part)	03/31/23	J	A	
37. COCA COLA COM	A	Dividend	J	T					
38. CROWN CASTLE REIT INC	A	Dividend	J	T					
39. DIGITAL REALTY TR INC	A	Dividend	J	T					
40. FIRST TR EXCHANGE		None	J	T	Sold (part)	03/31/23	J	A	
41. HEALTHCARE SELECT	A	Dividend	J	T					
42. INVESCO PREFERRED	A	Dividend	J	T					
43. ISHARES TIPS BOND ETF	A	Dividend	J	T					
44. ISHARES 3-7 YEAR	A	Dividend	J	T					
45. ISHARES MBS ETF	A	Dividend	K	T	Sold (part)	03/31/23	J	A	
46. ISHARES NASDAQ BIOTECH	A	Dividend	J	T	Sold (part)	03/31/23	J	A	
47. JOHNSON AND JOHNSON	A	Dividend	J	T					
48. JP MORGAN CHASE	A	Dividend	K	T					
49. LOCKHEED MARTIN CORP	A	Dividend	J	T					
50. MATERIALS SELECT SECTOR SPDR	A	Dividend	J	T					
51. MCDONALDS CORP	A	Dividend	J	T					

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52. MERCK AND CO	A	Dividend	K	T					
53. MICROSOFT	A	Dividend	K	T					
54. ORACLE	A	Dividend	K	T					
55. OTIS	A	Dividend	J	T					
56. PEPSICO	A	Dividend	J	T					
57. PFIZER INC	A	Dividend	J	T					
58. PRUDENTIAL FINANCIAL INC	A	Dividend	K	T					
59. RAYTHEON	A	Dividend	J	T					
60. REAL ESTATE SELECT	A	Dividend	J	T					
61. ROYAL BANK CANADA	A	Dividend	J	T					
62. SECTOR SPDR CONSMRS STPL	A	Dividend	J	T					
63. SECTOR SPDR ENERGY	A	Dividend	J	T					
64. SECTOR SPDR INDUSTRIAL	A	Dividend	J	T					
65. SECTOR SPDR UTILITIES	A	Dividend	J	T					
66. SHELL PLC	A	Dividend	J	T					
67. SPDR BLACKSTONE	A	Dividend	J	T					
68. SPDR S&P INSURANCE	A	Dividend	J	T					

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69. TARGET CORP	A	Dividend	J	T					
70. TE CONNECTIVITY	A	Dividend	J	T					
71. TORONTO DOMINION BANK	A	Dividend	J	T					
72. VANGUARD FINANCIALS ETF	A	Dividend	K	T					
73. VANGUARD INFORMATION	A	Dividend	K	T	Sold (part)	03/31/23	J	A	
74. VANGUARD INTERMEDIATE	A	Dividend	J	T					
75. VANGUARD INTRMDIATE TERM	A	Dividend	K	T					
76. VANGUARD SHORT TERM	A	Dividend	K	T					
77. VANGUARD TOTAL INTL	A	Dividend	J	T					
78. VERIZON COMMUNICATIONS	A	Dividend	J	T					
79. WALMART INC	A	Dividend	J	T					
80. XTRACKERS USD HY	A	Dividend	J	T	Sold (part)	03/31/23	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
BLOCK, FREDERIC	05/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ FREDERIC BLOCK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544