AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bolton, Susan R.	U.S. District Court, Arizona	09/25/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☐ Annual ☑ Final	01/01/2025 **to** 09/25/2025
	5b. ☐ Amended Report	
7. Chambers or Office Address		
401 W. Washington St., SPC 50 Suite 522 Phoenix, AZ 85003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1989	Arizona Elected Officials Retirement Plan (pension plan from prior employment as a state court judge)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2025	Arizona Elected Officials Retirement Plan	$53,257.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Merrill Lynch Single Premium Life	Cash value loan	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bolton, Susan R.	09/25/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Bank of America cash-equivalent	A	Interest	M	T					
2. Merrill Lynch Single Premium Life-Insurance		None	K	T					
3. U.S. Savings Bonds		None	N	T					
4. TA Retirement Plus Annuity(variable)(H)		None							
5. --AB GLBL THMTC GR PRT		None	L	T					
6. --Blackrock High Yield		None	L	T					
7. --BLK Basic Value VI		None	L	T					
8. --BLK GLobal Alloc VI		None	L	T					
9. --BLK ADV SMID Cap V1		None	K	T					
10. --TA JPMorgan Enchanced Index		None	L	T					
11. Transamerica Landmark Annuity (variable) (H)		None							
12. --TA Aegon High Yield Bond		None	J	T					
13. --Fidelity-VIP Contrafund Portfolio		None	K	T					
14. --Fidelity-VIP Mid Cap Portfolio		None	J	T					
15. --TA Morgan Stanley Capital Growth		None	L	T					
16. --TA Asset Allocation - Moderate		None	K	T					
17. --AB Growth and Income Portfolio		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Allianz Franklin-Annuity (variable)(H)		None							
19. --AZL FIAM Mult Strategy CL1		None	J	T					
20. -- AZL Moderate Index Strategy		None	J	T					
21. --AZL MSCI Gbl Equity Index		None	J	T					
22. --AZL Small Cap Index		None	J	T					
23. --AZL Mid Cap Index		None	J	T					
24. --AZL International Index		None	J	T					
25. --AZL Russell 1000 Index		None	J	T					
26. --AZL T.Rowe Price Capital App		None	K	T					
27. Keyport Keyannuity (fixed rate)	D	Interest	N	T					
28. Keyport Keyannuity (fixed rate)	C	Interest	M	T					
29. IShares IBoxx$ High Yield	C	Interest	L	T					
30. IShares JPMorgan EM Bond	C	Interest	L	T					
31. Schwab U.S. Tips	B	Interest	L	T					
32. Sector SPDR Utilites	B	Dividend	L	T					
33. Vanguard Short-Term	C	Interest	M	T					
34. IShares MBS	C	Dividend	L	T					

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35. IShares Core 1-5 Year	C	Dividend	L	T					
36. IRA #1 (H)									
37. --UBS Bank CD	D	Interest	M	T					
38. --UBS Bank CD	C	Interest	L	T					
39. --Synchrony Bank CD	C	Interest	M	T					
40. --BMW BK CD	D	Interest			Redeemed	06/02/25	M		
41. -- MANUF & Traders CD	C	Interest	L	T					
42. --IShares MSCI INTL QUALTY	B	Dividend	M	T					
43. --Sector SPDR Energy	D	Dividend	M	T					
44. --Vanguard World FD SHS	A	Dividend	L	T					
45. --Invesco Aerospace	B	Dividend	M	T					
46. --IShares Edge MSCI MIN VOL	B	Dividend	M	T					
47. --Vanguard Growth Index Fund	A	Dividend	K	T					
48. --Total Stock Mrt Index Adm	A	Dividend	K	T					
49. --Morgan Stanley PVT BK CD	C	Interest	M	T					
50. --Verizon Communications	C	Dividend	K	T					
51. --Bank of America NA cash-equivalent	A	Interest	K	T					

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52. --Pepsico common stock	C	Dividend	L	T					
53. --Yum Brands common stock	A	Dividend	K	T					
54. --Yum China Holdings, Inc	A	Dividend	J	T					
55. --Invesco OPNHMR Global	A	Dividend	J	T					
56. --Motorola Solutions	A	Dividend	K	T					
57. --Vodafone common stock	A	Dividend	J	T					
58. --Blackrock Global Mutual Fund	B	Dividend	M	T					
59. --Wal-Mart common stock	B	Dividend	M	T					
60. --Invesco QQQ	B	Dividend	N	T					
61. --IShares Russell 1000	B	Dividend	N	T					
62. --Wells Fargo BK CD	C	Interest	M	T	Buy	07/17/25	M		
63. --IShares Russell Midcap	A	Dividend	M	T					
64. --IShares S&P Smallcap 500	B	Dividend	L	T					
65. --IShares Edge MSCI Qual	B	Dividend	M	T					
66. --Vanguard Small Cap Value	B	Dividend	L	T					
67. --Vanguard Value ETF	C	Dividend	M	T					
68. --Vanguard Dividend Appreciation ETF	C	Dividend	M	T					

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69. --Vanguard Mid-Cap Val Idx	C	Dividend	M	T					
70. --Wells Fargo Bank CD	D	Interest	M	T					
71. --Morgan Stanley Pvt Bank CD	D	Interest	M	T					
72. --Morgan Stanley Bank CD	D	Interest	M	T					
73. --FIMM Gov Portfolio CLI (See Part VIII)	F	Interest	N	T					
74. US Savings Bonds		None	K	T					
75. Bank of America Dep Account Preferred cash-equivalent	B	Interest	M	T					
76. IRA #2 (H)									
77. --Vanguard Total Bond Mkt	B	Dividend	K	T					
78. --Vanguard Total Intl. Bond	A	Dividend	K	T					
79. --Vanguard Total Int Stock	C	Dividend	L	T					
80. --Vanguard TTL STK MKT	B	Dividend	M	T					
81. --Morgan Stanley Bank cash-equivalent	A	Interest	J	T					
82. IShares Global Tech	A	Dividend	M	T					
83. Invesco Buy Back	A	Dividend	M	T					
84. Vanguard Value	B	Dividend	L	T					
85. Vanguard Growth	A	Dividend	M	T					

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86. Vanguard FTSE Allworld	B	Dividend	K	T					
87. IShares Core S&P Small	A	Dividend	K	T					
88. IShares 1-3 year	C	Dividend	M	T					
89. SPDR S&P mid-cap 400	A	Dividend	K	T					
90. SPDR S&P 500	B	Dividend	L	T					
91. Vanguard FTSE Emerging	A	Dividend	K	T					
92. First TR NASQ100	A	Dividend	M	T					
93. Invesco S&P 500 Equal	A	Dividend	K	T					
94. IShares Core S&P small	B	Dividend	L	T					
95. IShares Russell mid-cap	A	Dividend	K	T					
96. IShares MSCI EAFE	A	Dividend	K	T					
97. IShares Global Tech	A	Dividend	M	T					
98. IShares 1-3 year	C	Dividend	M	T					
99. IShares MSCI Emerging	A	Dividend	J	T					
100. IShares Russell 2000	A	Dividend	K	T					
101. Invesco Buy Back	A	Dividend	L	T					
102. SPDR S&P mid-cap 400	A	Dividend	L	T					

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103. SPDR S&P 500	B	Dividend	M	T					
104. Vanguard Value	B	Dividend	M	T					
105. Vanguard Growth	B	Dividend	M	T					
106. Vanguard FTSE Emerging	A	Dividend	K	T					
107. Vanguard Dividend	B	Dividend	L	T					
108. Vanguard FTSE All World	B	Dividend	K	T					
109. Vanguard FTSE Developed	B	Dividend	K	T					
110. Invesco QQQ Trust	A	Dividend	M	T					
111. Invesco S&P 500 Quality	A	Dividend	L	T					
112. Marcus by Goldman Sacks CD	B	Interest	L	T					
113. Phoenix AZ Civic Impt Bond	C	Interest	L	T					
114. Maricopa County Ind. Dev. Bond	C	Interest	L	T					
115. McAllister Academic Village at ASU Muni Bond	C	Interest	L	T					
116. Pima Cty Ind. Dev Auth. Bond	A	Interest	K	T					
117. Phx AZ Civic Imp Corp WTR Sys Rev Bond	B	Interest	K	T					
118. Mid First Bank CD	A	Interest	L	T					

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Name of Person Reporting	Date of Report
Bolton, Susan R.	09/25/2025

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: I cannot specifically list the amount of income earned during the reporting period for my annuities because none of the annuity companies provided detailed income information to me.

Part VII, line 73: On July 27, 2023 my broker subscribed to this cash equivalent money market government portfolio mutual fund to hold cash rather than Bank of America money market due to higher interest rates. It was inadvertently omitted from my 2023 and 2024 disclosures. The value continued to be listed under Bank of America in IRA #1.

Name of Person Reporting	Date of Report
Bolton, Susan R.	09/25/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan R. Bolton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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