AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bough, Stephen R.	U.S. District Court - Western District of Missouri	05/01/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 400 E. 9th Street, Suite 7462 Kansas City, MO 64106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Past-President and board member	Federal Bar Association - Western District of Missouri and District of Kansas
2.	Board member	UMKC Law Foundation
3.	Board member	Lawyers Encouraging Academic Performance
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2014	Blake Heath purchased the assets of The Law Offices of Stephen R. Bough with a written agreement for 25% of the contingent fee at the end of the litigation
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Blake Heath Contingent fee identified in Section II	$33,913.07
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Lewis Rice, salary
2.	2021	City of Kansas City, salary
3.	2021	co-counsel fee with Blake Heath
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Kansas City Christian High School	Tuition Agreement	J
2.	Dept. of Educaiton - Direct Loan MOHELA	Student Loan	L
3.	Chase credit card	Credit Card	J
4.			
5.			

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. 529 Plan - [redacted] (H)									
2. - Invest Ed Balanced PRT A	A	Dividend	L	T	Buy (add'l)	03/01/21	J		
3. - Ivy Limited-Term Bond E	A	Dividend	J	T					
4. - Ivy Government Money Market E	A	Int./Div.	K	T	Buy	03/01/21	K		
5. Roth IRA - [redacted] (H)									
6. - Ivy Fund - High Income A	A	Int./Div.			Sold	03/09/21	K		
7. - Ivy Fund - Small Cap Fund A	A	Int./Div.			Sold	03/09/21	J		
8. - Ivy Global Real Estate A	A	Int./Div.			Sold	03/09/21	K		
9. - Ivy Managed Inter Opp A	A	Int./Div.			Sold	03/09/21	K		
10. - Ivy Mid Cap Income Opp A	A	Int./Div.			Sold	03/09/21	J		
11. - Ivy Limited-Term Bond A	A	Int./Div.			Sold	03/09/21	M		
12. - Ivy Asset Strategy A	A	Int./Div.			Sold	03/09/21	J		
13. - ARK Innovation	A	Int./Div.	J	T	Buy	03/09/21	J		
14. - ARK Next Gen Internet	A	Int./Div.	J	T	Buy	03/09/21	J		
15. - Blackrock Global Long Short Credit	A	Int./Div.	J	T	Buy	03/09/21	J		
16. - Blackrock Tech Opptys	A	Int./Div.	K	T	Buy	03/09/21	K		
17. - Blackrock Systematic Multi Strat	A	Int./Div.	K	T	Buy	03/09/21	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	K	T	Buy	03/09/21	K		
19. - Blackrock High Equity Income	A	Int./Div.	K	T	Buy	03/09/21	K		
20. - Blackrock Strategic Income Opptys	A	Dividend	K	T	Buy	03/09/21	K		
21. - Blackrock Total Return	A	Dividend	J	T	Buy	03/09/21	J		
22. - Blackrock Intl Instl	A	Int./Div.	K	T	Buy	03/09/21	K		
23. - Blackrock Mid Cap Value	A	Int./Div.	K	T	Buy	03/09/21	K		
24. - Ishares MSCI USA Quality Factor	A	Int./Div.	K	T	Buy	03/09/21	K		
25. - T Rowe Price Small Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
26. - T Rowe Price All Cap Opp	A	Int./Div.	K	T	Buy	03/09/21	K		
27. - T Rowe Price Global Growth Stock	A	Int./Div.	K	T	Buy	03/09/21	K		
28. Rollover IRA- [redacted] (H)									
29. - Ivy Fund High Income A	A	Int./Div.			Sold	03/09/21	K		
30. - Ivy Core Equity A	A	Int./Div.			Sold	03/09/21	K		
31. - Ivy International Core Equity A	A	Int./Div.			Sold	03/09/21	J		
32. - Ivy Global Equity Income A	A	Int./Div.			Sold	03/09/21	K		
33. - Ivy Asset Strategy A	A	Int./Div.			Sold	03/09/21	K		
34. - ARK Innovation	A	Int./Div.	J	T	Buy	03/09/21	J		

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2. Value Codes (See Columns C1 and D3)
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35. - ARK Next Gen Internet	A	Int./Div.	J	T	Buy	03/09/21	J		
36. - Blackrock Global Long Short Credit	A	Int./Div.	J	T	Buy	03/09/21	J		
37. - Blackrock Tech Opptys	A	Int./Div.	J	T	Buy	03/09/21	J		
38. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T	Buy	03/09/21	J		
39. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	J	T	Buy	03/09/21	J		
40. - Blackrock High Equity Income	A	Int./Div.	J	T	Buy	03/09/21	J		
41. - Blackrock Strategic Income Opptys	A	Dividend	J	T	Buy	03/09/21	J		
42. - Blackrock Total Return	A	Dividend	J	T	Buy	03/09/21	J		
43. - Blackrock Intl Instl	A	Int./Div.	J	T	Buy	03/09/21	J		
44. - Blackrock Mid Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
45. - Ishares MSCI USA Quality Factor	A	Int./Div.	J	T	Buy	03/09/21	J		
46. - T Rowe Price Small Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
47. - T Rowe Price All Cap Opp	A	Int./Div.	J	T	Buy	03/09/21	J		
48. - T Rowe Price Global Growth Stock	A	Int./Div.	J	T	Buy	03/09/21	J		
49. [redacted] TOD - [redacted] (H)									
50. - Ivy Apollo Multi-Asset Income A	A	Int./Div.			Sold	03/09/21	K		
51. - JP Morgan Chase Bank Account	A	Interest	J	T	Buy	03/09/21	J		

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52. - Delaware Ivy Multi Asset Income	A	Int./Div.	J	T	Buy	03/09/21	J		
53. Rollover IRA - [redacted] (H)									
54. - Ivy Fund Small Cap A	A	Int./Div.			Sold	03/09/21	K		
55. - Ivy International Core Equity A	A	Int./Div.			Sold	03/09/21	K		
56. - Ivy Apollo Multi-Asset Income A	A	Int./Div.			Sold	03/09/21	L		
57. - Ivy Limited-Term A	A	Int./Div.			Sold	03/09/21	J		
58. - Ivy Science and Tech A	A	Int./Div.			Sold	03/09/21	L		
59. - ARK Innovation	A	Int./Div.	J	T	Buy	03/09/21	J		
60. - ARK Next Gen Internet	A	Int./Div.	J	T	Buy	03/09/21	J		
61. - Blackrock Global Long Short Credit	A	Int./Div.	J	T	Buy	03/09/21	J		
62. - Blackrock Tech Opptys	A	Int./Div.	J	T	Buy	03/09/21	J		
63. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T	Buy	03/09/21	J		
64. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	K	T	Buy	03/09/21	K		
65. - Blackrock High Equity Income	A	Int./Div.	K	T	Buy	03/09/21	K		
66. - Blackrock Strategic Income Opptys	A	Dividend	J	T	Buy	03/09/21	J		
67. - Blackrock Total Return	A	Dividend	J	T	Buy	03/09/21	J		
68. - Blackrock Intl Instl	A	Int./Div.	K	T	Buy	03/09/21	K		

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69. - Blackrock Mid Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
70. - Ishares MSCI USA Quality Factor	A	Int./Div.	J	T	Buy	03/09/21	J		
71. - T Rowe Price Small Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
72. - T Rowe Price All Cap Opp	A	Int./Div.	K	T	Buy	03/09/21	K		
73. - T Rowe Price Global Growth Stock	A	Int./Div.	K	T	Buy	03/09/21	K		
74. Roth IRA - [redacted] (H)									
75. - Ivy Mid Cap Growth A	A	Int./Div.			Sold	03/09/21	J		
76. - Ivy Science and Technology A	A	Int./Div.			Sold	03/09/21	J		
77. - Ivy Small Cap Growth A	A	Int./Div.			Sold	03/09/21	J		
78. - Ivy Balanced A	A	Int./Div.			Sold	03/09/21	J		
79. - Ivy High Income A	A	Int./Div.			Sold	03/09/21	J		
80. - ARK Innovation	A	Int./Div.	J	T	Buy	03/09/21	J		
81. - ARK Next Gen Internet	A	Int./Div.	J	T	Buy	03/09/21	J		
82. - Blackrock Global Long Short Credit	A	Int./Div.	J	T	Buy	03/09/21	J		
83. - Blackrock Tech Opptys	A	Int./Div.	J	T	Buy	03/09/21	J		
84. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T	Buy	03/09/21	J		
85. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	J	T	Buy	03/09/21	J		

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86. - Blackrock High Equity Income	A	Int./Div.	J	T	Buy	03/09/21	J		
87. - Blackrock Strategic Income Opptys	A	Dividend	J	T	Buy	03/09/21	J		
88. - Blackrock Total Return	A	Dividend	J	T	Buy	03/09/21	J		
89. - Blackrock Intl Instl	A	Int./Div.	J	T	Buy	03/09/21	J		
90. - Blackrock Mid Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
91. - Ishares MSCI USA Quality Factor	A	Int./Div.	J	T	Buy	03/09/21	J		
92. - T Rowe Price Small Cap Value	A	Int./Div.	J	T	Buy	03/09/21	J		
93. - T Rowe Price All Cap Opp	A	Int./Div.	J	T	Buy	03/09/21	J		
94. - T Rowe Price Global Growth Stock	A	Int./Div.	J	T	Buy	03/09/21	J		
95. Missouri Bank & Trust - BOK	A	Int./Div.	K	T					
96. Lewis Rice Savings Plan Charles Schwab T. Rowe Price Retire 2035	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen R. Bough

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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