AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bough, Stephen R.	U.S. District Court - Western District of Missouri	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 400 E. 9th Street, Suite 7462 Kansas City, MO 64106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Past-President and board member	Federal Bar Association - Western District of Missouri and District of Kansas
2.	Board member - Trustee Emeritus	UMKC Law Foundation
3.	Board member - Life Member	Lawyers Encouraging Academic Performance
4.	Director	Federal Judges Association
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2014	Blake Heath purchased the assets of The Law Offices of Stephen R. Bough with a written agreement for 25% of the contingent fee at the end of the litigation
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Lewis Rice, salary
2.	2023	City of Kansas City, salary
3.	2023	Self employed attorney
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	4/12/2023 - 4/14/2023	Denver, CO	Attend FJA annual meeting	Travel, hotel, food, parking
2.	Attorney Information Exchange Group	2/16/2023 - 2/18/2023	Phoenix, AZ	Attend mocktrial conference	Travel, hotel, food, parking
3.	Rabiej Litigation Law Center	9/27/23 - 9/29/23	Chicago, IL	MDL conference	Travel, hotel, food, parking
4.	Washington University Law School	11/10/23	St. Louis, MO	Speak to student groups and class	Travel, food
5.	Harris Martin Publishing	11/29/03 - 12/02/23	Charleston, SC	Speak at CLE	Travel, hotel, food, parking

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase credit card	Credit Card	J
2.	Dept. of Educaiton - Direct Loan MOHELA	Student Loan	L
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. 529 Plan - [redacted] (H)									
2. - GS 529 Capital Preservation Portfolio - A	A	Int./Div.	L	T	Sold (part)	12/20/23	J		
3. - GS 529 Capital Preservation Portfolio - A					Sold (part)	08/08/23	J		
4. - GS 529 Capital Preservation Portfolio - A					Sold (part)	07/24/23	J		
5. Roth IRA - [redacted] (H)									
6. - ARK Innovation	A	Int./Div.	J	T					
7. - ARK Next Gen Internet	A	Int./Div.	J	T					
8. - Blackrock Global Long Short Credit	A	Int./Div.	J	T					
9. - Blackrock Tech Opptys	A	Int./Div.	J	T					
10. - Blackrock Systematic Multi Strat	A	Int./Div.	K	T					
11. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	K	T					
12. - Blackrock High Equity Income	A	Int./Div.	K	T					
13. - Blackrock Strategic Income Opptys	A	Int./Div.	J	T					
14. - Blackrock Total Return	A	Int./Div.	J	T					
15. - Blackrock Intl Instl	A	Int./Div.	K	T					
16. - Blackrock Mid Cap Value	A	Int./Div.	J	T					
17. - Ishares MSCI USA Quality Factor	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - T Rowe Price Small Cap Value	A	Int./Div.	J	T					
19. - T Rowe Price All Cap Opp	A	Int./Div.	K	T					
20. - T Rowe Price Global Growth Stock	A	Int./Div.	K	T					
21. Rollover IRA-[redacted] (H)									
22. - ARK Innovation	A	Int./Div.	J	T					
23. - ARK Next Gen Internet	A	Int./Div.	J	T					
24. - Blackrock Global Long Short Credit	A	Int./Div.	J	T					
25. - Blackrock Tech Opptys	A	Int./Div.	J	T					
26. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T					
27. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	J	T					
28. - Blackrock High Equity Income	A	Int./Div.	J	T					
29. - Blackrock Strategic Income Opptys	A	Dividend	J	T					
30. - Blackrock Total Return	A	Dividend	J	T					
31. - Blackrock Intl Instl	A	Int./Div.	J	T					
32. - Blackrock Mid Cap Value	A	Int./Div.	J	T					
33. - Ishares MSCI USA Quality Factor	A	Int./Div.	J	T					
34. - T Rowe Price Small Cap Value	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. - T Rowe Price All Cap Opp	A	Int./Div.	J	T					
36. - T Rowe Price Global Growth Stock	A	Int./Div.	J	T					
37. [redacted] TOD - [redacted] (H)									
38. - JP Morgan Chase Bank Account	A	Int./Div.	J	T					
39. - Delaware Ivy Multi Asset Income	A	Int./Div.	J	T	Sold (part)	03/10/23	J		
40. Rollover IRA - [redacted] (H)									
41. - ARK Innovation	A	Int./Div.	J	T					
42. - ARK Next Gen Internet	A	Int./Div.	J	T					
43. - Blackrock Global Long Short Credit	A	Int./Div.	J	T					
44. - Blackrock Tech Opptys	A	Int./Div.	J	T					
45. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T					
46. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	K	T					
47. - Blackrock High Equity Income	A	Int./Div.	K	T					
48. - Blackrock Strategic Income Opptys	A	Dividend	J	T					
49. - Blackrock Total Return	A	Dividend	J	T					
50. - Blackrock Intl Instl	A	Int./Div.	J	T					
51. - Blackrock Mid Cap Value	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. - Ishares MSCI USA Quality Factor	A	Int./Div.	J	T					
53. - T Rowe Price Small Cap Value	A	Int./Div.	J	T					
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60. - Blackrock Tech Opptys	A	Int./Div.	J	T					
61. - Blackrock Systematic Multi Strat	A	Int./Div.	J	T					
62. - Blackrock Sustain Adv Large Cap Core	A	Int./Div.	J	T					
63. - Blackrock High Equity Income	A	Int./Div.	J	T					
64. - Blackrock Strategic Income Opptys	A	Int./Div.	J	T					
65. - Blackrock Total Return	A	Int./Div.	J	T					
66. - Blackrock Intl Instl	A	Int./Div.	J	T					
67. - Blackrock Mid Cap Value	A	Int./Div.	J	T					
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69. - T Rowe Price Small Cap Value	A	Int./Div.	J	T					
70. - T Rowe Price All Cap Opp	A	Int./Div.	J	T					
71. - T Rowe Price Global Growth Stock	A	Int./Div.	J	T					
72. Missouri Bank & Trust - BOK	A	Int./Div.	J	T					
73. Lewis Rice Savings Plan Charles Schwab T. Rowe Price Retire 2035	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bough, Stephen R.	05/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen R. Bough

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544