AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| BOWEN, DUDLEY H. | SOUTHERN DISTRICT OF GEORGIA | 11/27/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| SENIOR UNITED STATES DISTRICT JUDGE | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2023 **to** 12/31/2023 |
| **7. Chambers or Office Address** | | |
| UNITED STATES COURTHOUSE<br>P.O BOX 2106<br>AUGUSTA, GA 30903 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. | |
| 2. | |
| 3. | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **BOWEN, DUDLEY H.** | 11/27/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. CHARLES SCHWAB - IRA #1 | C | Distribution | L | T | | | | | |
| 2. -Charles Schwab bank sweep | A | Interest | J | T | | | | | |
| 3. -Ford Motor Co | A | Dividend | J | T | | | | | |
| 4. -AQR Style Premia Alterna (X) | B | Dividend | J | T | Buy | 01/23/23 | J | | |
| 5. -T Rowe Price Capital (X) | A | Dividend | J | T | Buy | 11/14/23 | J | | |
| 6. -Dimensional Intern Core | A | Dividend | J | T | | | | | |
| 7. -Dimensional US Core | A | Dividend | J | T | | | | | |
| 8. -IShares ESG Aware MSCI | A | Dividend | J | T | | | | | |
| 9. -IShares MSCI USA Min Vol | A | Dividend | J | T | Sold (part) | 11/14/23 | J | | |
| 10. -Wisdom Tree Yield Enhanc U | A | Dividend | K | T | Sold (part) | 11/29/23 | J | | |
| 11. -Ishares High Yield | | None | | | Sold | 11/14/23 | J | | |
| 12. -Blackrock Systmatic | | None | | | Sold | 01/23/23 | J | | |
| 13. CHARLES SCHWAB - IRA #2 | D | Distribution | M | T | | | | | |
| 14. -Charles Schwab bank sweep | A | Interest | J | T | | | | | |
| 15. -Amazon | | None | K | T | | | | | |
| 16. -FS KKR Capital Corp | | None | J | T | | | | | |
| 17. -Ringcentral | | None | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. -Pimco | A | Dividend | K | T | | | | | |
| 19. -Ishares Tips Bond ETF | A | Dividend | J | T | | | | | |
| 20. -Ishares 0-5 Year TIPS | A | Dividend | J | T | | | | | |
| 21. -Vaneck BDC Income ETF | A | Dividend | K | T | | | | | |
| 22. CHARLES SCWAB TRUST #3 | A | Int./Div. | J | T | | | | | |
| 23. -Vaneck High Yield | | None | | | Sold | 01/03/23 | J | A | |
| 24. CHARLES SCHWAB IRA #4 | C | Distribution | K | T | | | | | |
| 25. -Charles Schwab bank sweep | A | Interest | J | T | | | | | |
| 26. -Exxon Mobil Corp | A | Dividend | J | T | | | | | |
| 27. -Dimensional Core Fixed (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 28. | | None | | | Sold (part) | 11/09/23 | J | | |
| 29. | | None | | | Sold (part) | 11/17/23 | J | | |
| 30. -Dimensional Emerg Mark (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 31. | | None | | | Buy (add'l) | 11/06/23 | J | | |
| 32. -Dimensional Emerg Markt (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 33. | | None | | | Sold (part) | 11/17/23 | J | | |
| 34. -Dimensional Emerging (X) | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 35. -Dimensional Intern Core | A | Dividend | J | T | Sold (part) | 11/06/23 | J | | |
| 36. | | None | | | Buy | 11/06/23 | J | | |
| 37. | | None | | | Sold (part) | 11/17/23 | J | | |
| 38. -Dimensional Intern High (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 39. -Dimensional Intern Small (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 40. -Dimensional US Core | A | Dividend | J | T | Sold (part) | 11/06/23 | J | | |
| 41. | | None | | | Buy (add'l) | 11/06/23 | J | | |
| 42. | | None | | | Sold (part) | 11/09/23 | J | | |
| 43. -Dimensional US High (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 44. | | None | | | Sold (part) | 11/17/23 | J | | |
| 45. -Dimensional US Small Cap (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 46. | | None | | | Sold (part) | 11/17/23 | J | | |
| 47. -Dimensional Global Real (X) | A | Dividend | J | T | Buy | 11/06/23 | J | | |
| 48. -IShares MSCI USA Min | | None | | | Sold | 11/06/23 | J | | |
| 49. -Ishares MSCI Emerging Markets | | None | | | Sold | 11/06/23 | J | | |
| 50. -Wisdom Tree Yield | | None | | | Sold | 11/06/23 | J | | |
| 51. -Ishares ESG Aware MSCI | | None | | | Sold | 11/06/23 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. -Ishares High Yield | | None | | | Sold | 11/06/23 | J | | |
| 53. CHARLES SCWAB EQUITIES | C | Int./Div. | K | T | | | | | |
| 54. -Borg Warner | A | Dividend | | | Sold | 11/14/23 | J | | |
| 55. -Dominion Energy | A | Dividend | | | Sold (part) | 12/21/23 | J | | |
| 56. | | None | | | Sold (part) | 12/26/23 | J | | |
| 57. -Phinia Inc | A | Dividend | | | Sold | 11/17/23 | J | A | |
| 58. -Thermo Fisher SCNTFC | A | Dividend | | | Sold | 12/21/23 | J | | |
| 59. -Walt Disney | | None | | | Sold | 12/28/23 | J | | |
| 60. | | | | | Buy | 07/13/23 | J | | |
| 61. -Atlanta Braves Holding | | None | | | Sold | 12/21/23 | J | | |
| 62. -Brookfield Reinusrance FClass | | None | | | Sold | 12/21/23 | J | | |
| 63. -Greenthumb INDS Inc | | None | | | Sold | 12/21/23 | J | | |
| 64. -GXO Logistics | | None | | | Sold | 12/21/23 | J | A | |
| 65. -RXO Inc | | None | | | Sold | 12/21/23 | J | | |
| 66. -Sofi Technologies | | None | | | Sold | 12/21/23 | J | | |
| 67. -Thermo Fisher SCNTFC | | None | | | Sold | 12/21/23 | J | A | |
| 68. -Uber Technologies | | None | | | Sold | 12/28/23 | J | B | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. -XPO Inc | | None | | | Sold | 12/21/23 | J | B | |
| 70. -Brookfield Asset Manag Fclass | A | Dividend | | | Sold | 12/21/23 | J | A | |
| 71. -Brighthouse FINL Inc | | None | | | Sold | 12/21/23 | J | | |
| 72. -Caterpillar Inc | A | Dividend | | | | | | | |
| 73. -Chevron | A | Dividend | J | T | Buy | 06/21/23 | J | | |
| 74. | | | | | Buy (add'l) | 11/14/23 | J | | |
| 75. -Chubb LTD | A | Dividend | J | T | | | | | |
| 76. -Deere & Co | A | Dividend | J | T | | | | | |
| 77. -Ford Motor Co | B | Dividend | J | T | | | | | |
| 78. -Lockheed Martin Corp | A | Dividend | J | T | | | | | |
| 79. -Mastercard Inc | A | Dividend | J | T | | | | | |
| 80. -Schwab US Treasury Money Market | | None | K | T | Buy | 12/28/23 | K | | |
| 81. CHARLES SCHWAB TREASURY | | None | P1 | T | | | | | |
| 82. -Charles Schwab interest | E | Interest | P1 | T | | | | | |
| 83. -Pimpco Income Inst | D | Dividend | | | Sold | 11/17/23 | L | | |
| 84. | | | | | Sold (part) | 09/26/23 | J | | |
| 85. -Goldman Sachs BDC Inc | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | J | T | Buy | 04/05/23 | J | | |
| 87. -Travis CN TX | | None | K | T | Buy | 09/01/23 | K | | |
| 88. -VA ST Res Auth WTR | | None | K | T | Buy | 06/20/23 | K | | |
| 89. CHARLES SCHWAB ETF | | None | N | T | | | | | |
| 90. -Charles Schwab Interest | | Interest | N | T | | | | | |
| 91. -Nuveen AMT Free Mncpl CR | | None | | | Sold | 11/20/23 | J | | |
| 92. -Nuveen AMT Free Quality | | None | | | Sold | 11/20/23 | J | | |
| 93. -Nuveen Dynamic Munici OP | | None | | | Sold | 11/20/23 | J | | |
| 94. -Nuveen Muni High Inc OPP | | None | | | Sold | 11/20/23 | L | | |
| 95. | | None | | | Sold (part) | 06/01/23 | K | | |
| 96. | | None | | | Buy | 06/09/23 | J | | |
| 97. -Nuveen Municipal Credit | | None | | | Sold | 11/20/23 | J | | |
| 98. -Nuveen Municipal Income | | None | | | Sold | 11/20/23 | J | | |
| 99. -Nuveen Municipal Value C | | None | | | Sold | 02/13/23 | K | | |
| 100. -Nuveen Quality Municpal | | None | | | Sold | 11/20/23 | K | | |
| 101. -Nuveen Short Duration Hi Yld | | None | | | Sold | 11/20/23 | K | | |
| 102. | | None | | | Buy | 02/14/23 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **BOWEN, DUDLEY H.** | 11/27/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | None | | | Buy (add'l) | 06/09/23 | J | | |
| 104. -Pimco Municipal Income | | None | | | Sold | 11/20/23 | J | | |
| 105. -Vaneck CEF Muni Income ETF | | None | | | Sold | 02/13/23 | L | | |
| 106. -Dimensional Inflatn PRTD | C | Dividend | K | T | Buy | 02/14/23 | K | | |
| 107. | | None | | | Buy (add'l) | 06/09/23 | J | | |
| 108. -Blackstone SECD Lending | | None | K | T | Buy | 02/13/23 | K | | |
| 109. -Dimensional National Munpl BND | | None | K | T | Buy | 02/14/23 | K | | |
| 110. | | None | | | Buy (add'l) | 06/09/23 | J | | |
| 111. -Nuveen All American Municpal Bond | | None | J | T | Buy | 02/14/23 | J | | |
| 112. -Nuveen Intermediate Duration Muni BD | | None | J | T | Buy | 02/14/23 | J | | |
| 113. | | None | | | Buy (add'l) | 06/09/23 | J | | |
| 114. -Charles Schwab High Yield Checking "Cash equivalent account" | | None | K | T | | | | | |
| 115. -South State Bank "Cash equivalent account" | | None | K | T | | | | | |
| 116. -Bank of Dudley Money Market Account "cash equivalent accocunt" | | None | K | T | | | | | |
| 117. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **BOWEN, DUDLEY H.** | 11/27/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following cash equivalent accounts did not have any reportable transactions for this year:
-Charles Schwab Bank High Yield Checking
-South State Bank Checking
-Bank of Dudley Money Market Account

The following accounts were reported previously but after careful review of the instructions are deemed to be excepted investments:
-Metlife Whole Life Policy Trust Interests
-MassMutual Whole Life Insurance Cash Value
-Northwestern Mutual WHole Life Insurance Cash Value

| Name of Person Reporting | Date of Report |
|---|---|
| **BOWEN, DUDLEY H.** | 11/27/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: S/ DUDLEY H. BOWEN**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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