AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brack, Robert C.	U.S. District Court	03/17/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
100 North Church Street Suite 580 Las Cruces, NM 88001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brack, Robert C.	03/17/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2020	Spouse's Non-Investment Income : Western Management, LP (Owner/Partner)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Brack, Robert C.	03/17/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Northwestern Mutual	Loan	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brack, Robert C.	03/17/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vacation Home - Ruidoso, NM (1/2 Interest)		None	L	W					
2. JOINT ACCOUNT, CHARLES SCHWAB, LCNM	A	Interest	J	T					
3. Schwab Govt. Money Fund Investor	A	Dividend			Sold	03/09/20	K		
4. Splunk Inc.		None			Sold	03/31/20	J		
5. Alphabet Inc. Class C		None	J	T					
6. Twitter Inc.		None			Sold	02/20/20	J		
7. Costar Group Inc.		None	J	T					
8. Modgodb Inc. Class A		None			Sold	09/24/20	J		
9. Idexx Labs Inc.		None	J	T					
10. Roku Inc. Class A		None			Sold	09/24/20	K		
11. Tyler Technologies		None	J	T					
12. Adobe Systems Inc.		None	J	T					
13. Master Card Inc. Class A	A	Dividend	J	T					
14. Microsoft Corp.	A	Dividend	J	T					
15. Visa Inc. Class A	A	Dividend	J	T					
16. Intuitive Surgical		None			Sold	09/24/20	K		
17. Veeva Systems Inc. Class A		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Brack, Robert C.	03/17/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Service Now Inc.		None			Sold	09/24/20	K		
19. Walt Disney Co.	A	Dividend			Sold	07/07/20	J		
20. Teladoc		None	J	T	Buy	02/12/20	J		
21. Inovalon Holdings Class A		None	K	T	Buy	08/05/20	K		
22. Shopify Inc. F Class A		None	J	T	Buy	02/28/20	J		
23. VMWare, Inc. 2.95%22 Due 08/21/22		None	J	T	Buy	12/18/20	J		
24. Belding MI Area S 6.7% 27 GO UTX	A	Interest	J	T	Buy	05/06/20	J		
25. Pinckney MI CMTY 6.6%27GO UTX	A	Interest	J	T					
26. Perry OH LSD STA 5.65%25GO UTX	A	Interest	J	T					
27. Whitehall MI DS 6.5%29GO UTX	A	Interest	K	T					
28. Utica MI CMNTY S 6.05%24GO UTX	A	Interest	J	T					
29. US Treasury Bill20U S T Bill Due 02/13/20		None	J	T					
30. Spouse - IRA - Charles Schwab, LCNM	A	Dividend	J	T					
31. Alphabet Inc. Class C		None	J	T					
32. Schwab Govt. Money Fund Investor	A	Dividend			Sold	03/09/20	J		
33. Twitter Inc.		None			Sold	02/20/20	J		
34. Splunk Inc.		None			Sold	03/31/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Roku Inc. Class A		None			Sold	09/24/20	J		
36. Tyler Technologies		None	J	T					
37. Mastercard Inc. Class A	A	Dividend	J	T					
38. Adobe Systems Inc.		None	J	T					
39. Idexx Labs Inc.		None	J	T					
40. Mongodb Inc. Class A		None			Sold	09/24/20	J		
41. Intuitive Surgical		None			Sold	09/24/20	J		
42. Visa Inc. Class A	A	Dividend	J	T					
43. Veeva Systems Inc. Class A		None	J	T					
44. Microsoft Corp.	A	Dividend	J	T					
45. Costar Group Inc.		None	J	T					
46. Service Now. Inc.		None			Sold	09/24/20	J		
47. Walt Disney Co.	A	Dividend			Sold	07/07/20	J		
48. Teladoc Inc.		None	J	T	Buy	02/12/20	J		
49. Shopify Inc. FClass A		None	J	T	Buy	02/28/20	J		
50. Inovalon Holdings Class A		None	J	T	Buy	12/09/20	J		
51. 401K - ABG Rocky Mtn. Consultants, Denver, CO ABG Growth CIF R1		None	N	T					

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52. 529 - CHARLES SCHWAB, S. BRACK cf BRIDGET RYAN									
53. Aggressive Track - 40% Equity Portfolio		None			Sold	09/24/20	K		
54. Aggressive Track - 30% Equity Portfolio		None	K	T	Buy	11/25/20	K		
55. 529 - CHARLES SCHWAB, S. BRACK cf LUKE RYAN									
56. Aggressive Track - 60% Equity Portfolio		None	K	T					
57. 529 - CHARLES SCHWAB, S. BRACK cf AMELIA MORROW									
58. Aggressive Track - 70% Equity Portfolio		None	K	T					
59. 529 - CHARLES SCHWAB, S. BRACK cf ATTICUS MORROW									
60. Aggressive Track - 70% Equity Portfolio		None			Sold	03/05/20	K		
61. Aggressive Track - 60% Equity Portfolio		None	K	T	Buy	03/05/20	K		
62. Northwestern Mutual Whole Life Insurance Policy - Cash Value	A	Interest	K	T					
63. Citizens Bank, LCNM (Accounts)	A	Interest	M	T					
64. Citizens Bannk - IRA (Formerly listed as NM Bank and Trust)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This Amended Report is submitted due to the inadvertent omission of Item Nos. 62, 63, and 64 from the original 2020 filing.

Name of Person Reporting	Date of Report
Brack, Robert C.	03/17/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert C. Brack

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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