AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brack, Robert C.	U.S. District Court	05/05/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
100 North Church Street Suite 580 Las Cruces, NM 88001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brack, Robert C.	05/05/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Spouse's Non-Investment Income : Western Management, LP (Owner/Partner)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	U.S. Conference of Catholic Bishops (USCCB)	09/08/2021 to 09/12/2021	Baltimore, MD	Conference	Travel Reimbursement (Transportation, lodging, and food).
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brack, Robert C.	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [redacted] - Ruidoso, NM (1/2 Interest)		None	M	W					
2. [redacted] ACCOUNT, CHARLES SCHWAB, LCNM	A	Interest	M	T					
3. Alphabet Inc. Class C		None	K	T					
4. Costar Group Inc.		None	K	T					
5. Idexx Labs Inc.		None	K	T					
6. Tyler Technologies		None	K	T					
7. Adobe Systems Inc.		None	K	T					
8. Master Card Inc. Class A	A	Dividend	K	T					
9. Microsoft Corp.	A	Dividend	K	T					
10. Visa Inc. Class A	A	Dividend	K	T					
11. Veeva Systems Inc. Class A		None	K	T					
12. Shopify Inc. F Class A		None	J	T					
13. VMWare, Inc. 2.95%22 Due 08/21/22	A	Interest	J	T					
14. Belding MI Area S 6.7% 27 GO UTX	A	Interest	J	T					
15. Pinckney MI CMTY 6.6%27GO UTX	A	Interest	J	T					
16. Perry OH LSD STA 5.65%25GO UTX	A	Interest	J	T					
17. Whitehall MI DS 6.5%29GO UTX	A	Interest			Sold	04/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brack, Robert C.	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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18. Utica MI CMNTY S 6.05%24GO UTX	A	Interest	J	T					
19. US Treasury Bill20U S T Bill Due 02/13/20		None			Sold	03/16/21	K		
20. Celgene Corp 3.25%22 Due 08/15/22	A	Interest	J	T	Buy	02/15/21	J		
21. Abbvie Inc. 2.9522 Due 11/06/22	A	Interest	J	T	Buy	03/12/21	J		
22. Airbnb Inc Class A		None	K	T	Buy	05/19/21	J		
23. Cryoport Inc		None	J	T	Buy	12/07/21	J		
24. McKlnbrg CN NC 4.349%FI UTX	A	Interest	K	T	Buy	09/10/21	K		
25. Georgia ST 4.6%31 GO UTX	A	Interest	K	T	Buy	03/18/21	K		
26. Digital Turbine Inc		None	K	T	Buy	02/19/21	K		
27. Silvergate Cap Corp		None	J	T	Buy	12/09/21	J		
28. Virtu Finl Incorpora0050	A	Dividend	J	T	Buy	03/17/21	J		
29. Twilio Inc Class A		None	J	T	Buy	05/13/21	J		
30. Twilio Inc Class A		None			Sold	12/07/21	J		
31. [redacted] IRA - Charles Schwab, LCNM	A	Dividend	J	T					
32. Alphabet Inc. Class C		None	J	T					
33. Roku Inc. Class A		None	J	T					
34. Tyler Technologies		None	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Mastercard Inc. CL A	A	Dividend	J	T					
36. Adobe Inc.		None	J	T					
37. Indexx Labs Inc.		None	J	T					
38. Mongodb Inc. Class A		None	J	T					
39. Intuitive Surgical		None	J	T					
40. Visa Inc. CL A	A	Dividend	J	T					
41. Veeva Systems Inc. CL A		None	J	T					
42. Microsoft Corp.	A	Dividend	J	T					
43. Costar Group Inc.		None	J	T					
44. Service Now Inc.		None	J	T					
45. Teladoc Inc.		None			Sold	07/30/21	J		
46. Shopify Inc. FClass A		None	J	T					
47. Inovalon Holdings Class A		None	J	T	Buy	02/18/21	J		
48. Airbnb Inc. Class A		None	J	T	Buy	05/19/21	J		
49. Cryoport Inc.		None	J	T	Buy	12/07/21	J		
50. Digital Turbine Inc.		None	J	T	Buy	02/19/21	J		
51. Silvergate Cap Corp.		None	J	T	Buy	12/09/21	J		

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52. Virtu Finl. Incorpora0050	A	Dividend	J	T	Buy	03/17/21	J		
53. Twilio Inc. Class A		None	J	T	Buy	05/13/21	J		
54. Twilio Inc. Class A					Sold	12/07/21	J		
55. 401K - ABG Rocky Mtn. Consultants, Denver, CO - CIF R1		None	N	T					
56. 529 - CHARLES SCHWAB, [redacted] cf [redacted]									
57. Aggressive Track - 30% Equity Portfolio		None	L	T					
58. 529 - CHARLES SCHWAB, [redacted] cf [redacted]									
59. Aggressive Track - 60% Equity Portfolio		None	L	T					
60. 529 - CHARLES SCHWAB, [redacted] cf [redacted]									
61. Aggressive Track - 70% Equity Portfolio		None	L	T					
62. 529 - CHARLES SCHWAB, [redacted] cf [redacted]									
63. Aggressive Track - 60% Equity Portfolio		None	L	T					
64. Northwestern Mutual Whole Life Insurance Policy - Cash Value	A	Interest	K	T					
65. Citizens Bank, LCNM (Accounts)	A	Interest	N	T					
66. Citizens Bank - IRA (Formerly listed as NM Bank and Trust)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Brack, Robert C.	05/05/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert C. Brack

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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