AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Brady, Holly A. | Northern District of Indiana, Fort Wayne Division | 09/21/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Article III Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| 1300 S. Harrison Street<br>Fort Wayne, IN 46802 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2022 | Self Employed - Customer Service Consultant |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. Janus Henderson Overseas D Shares | A | Dividend | L | T | | | | | |
| 2. Millennium Trust/BioPoly | | None | M | T | | | | | |
| 3. Brady IRA Schwab Cash Reserve | A | Interest | K | T | | | | | |
| 4. Brady IRA Ishares MSCA USA Min (USMV) | A | Interest | K | T | Buy | 09/01/22 | K | | |
| 5. Brady IRA Ishares 1-3 Treasury (SHY) | A | Dividend | J | T | | | | | |
| 6. Brady IRA Ishares 7-10 Treasury (IEF) | A | Dividend | K | T | Buy | 07/05/22 | K | | |
| 7. Brady IRA Spdr S&P 500 ETF (SPY) | D | Dividend | N | T | | | | | |
| 8. Brady IRA Spdr Technology (XLK) | A | Dividend | K | T | | | | | |
| 9. Brady IRA Vanguard FTSE Emerging (VWO) Markets ETF | B | Dividend | K | T | | | | | |
| 10. Brady IRA Vanguard Small Cap (VB) | B | Dividend | K | T | | | | | |
| 11. Brady IRA Vanguard Value ETF (VTV) | B | Dividend | L | T | | | | | |
| 12. Brady IRA - Baird Intermediate Bond (BIMSX) | A | Dividend | K | T | Buy | 07/05/22 | K | | |
| 13. Brady IRA - Doubleline Low Duration (DLSNX) | A | Dividend | J | T | Buy | 07/05/22 | J | | |
| 14. Brady IRA - Fidelity Intermediate Bond (FTHRX) | A | Dividend | K | T | | | | | |
| 15. Brady IRA - Gugenheim Total Return (GIBLX) | A | Dividend | J | T | | | | | |
| 16. Brady IRA Pimco Income Instl (PIMIX) | A | Dividend | J | T | | | | | |
| 17. Brady IRA American Fed Europacific (AEPFX) | C | Dividend | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 18. Brady IRA Carillon Eagle Small Cap (HRSCX) | D | Dividend | K | T | | | | | |
| 19. Brady IRA Dodge & Cox International (DODFX) | D | Dividend | L | T | Buy | 02/15/22 | L | | |
| 20. Brady IRA JP Morgan Large Cap Growth (OLGAX) | C | Dividend | L | T | | | | | |
| 21. Bradfy IRA MFS International Growth (MGRAX) | C | Dividend | L | T | | | | | |
| 22. Brady IRA MFS New Discovery Value (NDVAX) | C | Dividend | K | T | | | | | |
| 23. Brady IRA Doubleline Shiller Enhanced Cp (DSENX) | B | Dividend | | | Sold | 02/15/22 | L | C | |
| 24. Brady IRA iShares MBS ETF (MBB) | B | Dividend | | | Sold | 07/05/22 | J | A | |
| 25. Brady IRA Angel Oak Multi Strategy Income (ANGLX) | A | Dividend | | | Sold | 07/05/22 | K | A | |
| 26. Brady IRA Doubleline Core Fixed Inc (DLFNX) | A | Dividend | | | Sold | 07/05/22 | K | A | |
| 27. Brady IRA - MFS New Discovery Value (NDVAX) | A | Dividend | | | Sold | 09/01/22 | K | C | |
| 28. Brady IRA Ishares MSCA USA Min (USMV) | | | | | Buy | 09/01/22 | K | | |
| 29. Indiana College Choice 529 Direct Savings Plan - College Portfolio #1 | | None | J | T | Sold (part) | 08/04/22 | K | | |
| 30. | | | | | Sold (part) | 12/16/22 | K | | |
| 31. Indiana College Choice 529 Direct Savings Plan - College Portfolio #2 | | None | J | T | Merged (with line 29) | 02/17/22 | K | | |
| 32. | | | | | Sold (part) | 08/04/22 | J | | |
| 33. Indiana College Choice 529 Direct Savings Plan - College Portfolio #3 | | None | K | T | Sold (part) | 08/04/22 | J | | |
| 34. | | | | | Sold (part) | 08/05/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 35. | | | | | Sold (part) | 12/16/22 | J | | |
| 36. Three Rivers Federal Credit Union | A | Interest | L | T | | | | | |
| 37. Chase Bank Account - 1 | A | Interest | J | T | | | | | |
| 38. | | | | | | | | | |
| 39. Trust Number 1 - 1/6th Remainder Interest (H) | | | | | | | | | |
| 40. -Uobirac, LLC - Property in Allen County, Indiana - AV $934,600 | F | Rent | O | S | | | | | |
| 41. - Promissory Note AW | D | Interest | M | T | | | | | |
| 42. - Promissory Note - RW | C | Interest | M | T | | | | | |
| 43. - First Source | A | Interest | M | T | | | | | |
| 44. | | | | | | | | | |
| 45. - Activision Blizzard (ATVI) | A | Dividend | K | T | | | | | |
| 46. - Allegiant Travel (ALGT) | A | Dividend | K | T | | | | | |
| 47. - Apple (AAPL) | C | Dividend | N | T | | | | | |
| 48. - Cisco Systems (CSCO) | B | Dividend | K | T | | | | | |
| 49. -Coupang Inc Class A Common (CPNG) | A | Dividend | J | T | | | | | |
| 50. - Morgan Stanley (MS) | C | Dividend | M | T | | | | | |
| 51. - Nucor (NUE) | A | Dividend | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 52. -Nutrien Ltd (NTR) | A | Dividend | J | T | | | | | |
| 53. - Nvidia Corp (NVDA) | A | Dividend | N | T | | | | | |
| 54. - PNC Financial Services (PNC) | A | Dividend | K | T | | | | | |
| 55. - Steel Dynamics (STDL) | D | Dividend | P1 | T | | | | | |
| 56. - ST Microelectronics (STM) | A | Dividend | K | T | | | | | |
| 57. - Teck Resources (TECK) | A | Dividend | K | T | | | | | |
| 58. - Visa Inc CLA Common Stock (V) | A | Dividend | L | T | | | | | |
| 59. - Weibo Corporation (WB) | A | Dividend | J | T | | | | | |
| 60. | | | | | | | | | |
| 61. - Schwab Trust 1 Account Money Market Sweep (Y) | | | | | | | | | |
| 62. | | | | | | | | | |
| 63. -Schwab Trust 2 Money Market Sweep | A | Interest | K | T | | | | | |
| 64. -Schwab Trust 2 Ishares 1-3 Yr Treasury (SHY) | A | Dividend | K | T | | | | | |
| 65. - Schwab Trust 2 Ishares 7-10 Yr Treasury (IEF) | A | Dividend | K | T | Buy | 07/05/22 | L | | |
| 66. -Schwab Trust 2 SPDR S&P 500 ETF (SPY) | B | Dividend | M | T | | | | | |
| 67. -Schwab Trust 2 SPDR Technology (XLK) | A | Dividend | K | T | | | | | |
| 68. -Schwab Trust 2 Vanguard FTSE Emerging Markets (VWO) | A | Dividend | K | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =$1,000 or less<br>F =$50,001 - $100,000 | B =$1,001 - $2,500<br>G =$100,001 - $1,000,000 | C =$2,501 - $5,000<br>H1 =$1,000,001 - $5,000,000 | D =$5,001 - $15,000<br>H2 =More than $5,000,000 | E =$15,001 - $50,000 |
| 2 Value Codes<br>(See Columns C1 and D3) | J =$15,000 or less<br>N =$250,001 - $500,000<br>P3 =$25,000,001 - $50,000,000 | K =$15,001 - $50,000<br>O =$500,001 - $1,000,000 | L =$50,001 - $100,000<br>P1 =$1,000,001 - $5,000,000<br>P4 =More than $50,000,000 | M =$100,001 - $250,000<br>P2 =$5,000,001 - $25,000,000 | |
| 3 Value Method Codes<br>(See Column C2) | Q =Appraisal<br>U =Book Value | R =Cost (Real Estate Only)<br>V =Other | S =Assessment<br>W =Estimated | T =Cash Market | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 69. -Schwab Trust 2 Vanguard Small Cap ETF (VB) | A | Dividend | K | T | | | | | |
| 70. -Schwab Trust 2 Vanguard Value ETF (VTV) | A | Dividend | K | T | | | | | |
| 71. - Schwab Trust 2 Baird Intermediate Bond (BIMSX) Europacific Growth | A | Dividend | L | T | Buy | 07/05/22 | L | | |
| 72. -Schwab Trust 2 Doubleline Low Duration (DLSNX) | A | Dividend | K | T | Buy | 07/05/22 | K | | |
| 73. - Schwab Trust 2 Fidelity Inter Bond (FTHRX) Enhanced Cap Fund | B | Dividend | M | T | | | | | |
| 74. - Schwab Trust 2 Guggenheim (GIBLX) | B | Dividend | K | T | | | | | |
| 75. - Schwab Trust 2 Pimco Incm Inst CL (PIMIX) | C | Dividend | K | T | | | | | |
| 76. - Schwab Trust 2 American Fund (AEPFX) Europacific Growth (AEPFX) | A | Dividend | K | T | | | | | |
| 77. - Schwab Trust 2 Carillon Eagle Small Cap Growth Fd A (HRSCX) | D | Dividend | K | T | | | | | |
| 78. - Schwab Trust 2 Dodge & Cox International (DODFX) | A | Dividend | K | T | Buy | 02/15/22 | K | | |
| 79. -Schwab Trust 2 JP Morgan Large Cap Growth (OLGAX) | A | Dividend | K | T | | | | | |
| 80. -Schwab Trust 2 MFS International Growth (MGRAX) | A | Dividend | K | T | | | | | |
| 81. - Schwab Trust 2 MFS New Discovery (NDVAX) Value Fund A | A | Dividend | K | T | | | | | |
| 82. | | | | | | | | | |
| 83. - Schwab Trust 1 Vanguard Small Cap Value (VBR) | A | Dividend | | | Sold | 07/20/22 | M | A | |
| 84. -Schwab Trust 1 - Schwab International Equity ETF (SCHF) | A | Dividend | | | Sold | 07/20/22 | M | A | |
| 85. -Schwab Trust - ishares 0-5 Yr. Inv Grade Corp Bd ETF (SLQD) | B | Dividend | | | Sold | 07/20/22 | M | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 86. - Schwab Trust 1 Vanguard Mid Cap Value (VOE) | A | Dividend | | | Sold | 07/20/22 | M | A | |
| 87. -Schwab Trust 1 Schwab US Large Cap Value (SCHV) | A | Dividend | | | Sold | 07/20/22 | M | A | |
| 88. | | | | | | | | | |
| 89. - Schwab Trust 2 - Doubleline Shiller Enhanced Cap Fund (DSENX) | A | Dividend | | | Sold | 02/15/22 | L | A | |
| 90. - Schwab Trust 2 - iShares MBS ETF (MBB) | A | Dividend | | | Sold | 07/05/22 | K | A | |
| 91. - Schwab Trust 2 - Angel Oak Multi-Strategy Inc (ANGLX) | B | Dividend | | | Sold | 07/05/22 | K | A | |
| 92. - Schwab Trust 2 - Doubleline Core Fixed Income (DLFNX) | B | Dividend | | | Sold | 07/05/22 | K | A | |
| 93. - Schwab Trust 2 - Guggenhim Total Return Bond (GIBLX) | B | Dividend | | | Sold (part) | 07/05/22 | K | A | |
| 94. | | | | | | | | | |
| 95. | | | | | | | | | |
| 96. | | | | | | | | | |
| 97. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 35 indicates that a portion of the account was merged with the account on line 33. The accounts on lines 33 and 35 are each Section 529 Accounts. On February 17, 2022 part of the balance from Account 2 was transferred to Account 1 as is permitted by the Rules governing 529 savings accounts. There was not an option to indicate the funds were transferred. Because they were not sold or redeemed, I selected merged in order to report the transfer. In addition, there was not an option to indicate only a portion of the account was merged with another. Nonetheless, only a portion of Account 2 was transferred to Account 1.

| Name of Person Reporting | Date of Report |
|---|---|
| **Brady, Holly A.** | 09/21/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Holly A. Brady**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544