AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brann, Matthew W.	District Court-Middle District, Pennsylvania	05/03/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	

7. Chambers or Office Address

United States Courthouse and Federal Building
240 West Third Street, Suite 401
Williamsport, Pennsylvania 17701

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Harewood Group, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Law Association	03/31/23-04/01/23	New York, NY	Attendance at dinner honoring federal judiciary	Hotel for one night; dinner; breakfast; parking; mileage and tolls.
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brann, Matthew W.	05/03/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Harewood Group, LLC	A	Distribution	L	U					
2.	Brokerage Account #1									
3.	-Charles Schwab & Co., Inc. Money Market Account	B	Interest	M	T					
4.	-Annaly Capital Management Common Stock	B	Dividend	J	T					
5.	-Ferrellgas Partners, LP Common Stock		None	J	T					
6.	-First Trust Energy Income and Growth Fund	B	Dividend	J	T					
7.	-Ares Capital Corp. Common Stock	C	Dividend	K	T					
8.	-Verizon Communications, Inc. Common Stock	C	Dividend	K	T					
9.	-Conduent Incorporated		None	J	T					
10.	-Barrick Gold Corp.	A	Dividend	J	T					
11.	-iShares Core S & P Small Cap ETF	A	Dividend	K	T					
12.	-iShares Global Healthcare ETF	A	Dividend	K	T					
13.	-iShares Gold ETF		None	K	T					
14.	-Vanguard Consumer Staples ETF	A	Dividend			Sold	12/12/23	J	B	
15.	-Vanguard Information Technology ETF	A	Dividend	L	T					
16.	-Vanguard FTSE All World Ex U.S. Small Cap ETF	A	Dividend			Sold	12/12/23	K	B	
17.	-WisdomTree U.S. Small Cap Dividend Grw ETF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Brann, Matthew W.	05/03/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Eaton Vance Floating Rate Advantage 1 (EIFAX)	C	Dividend	L	T					
19. -T. Rowe Price Floating Rate (PRFRX)	C	Dividend	L	T					
20. -Schwab US Dividend Equity ETF (SCHD)	A	Dividend	K	T	Buy	01/06/23	K		
21. -iShares Preferred Income Sed ETF (PFF)	C	Dividend	K	T	Buy	01/12/23	K		
22. Illinois Tool Works, Inc. Common Stock	A	Dividend	K	T					
23. (Macquarie)Delaware Strategic Allocation Fund Class A	C	Distribution	M	T					
24. Gabelli Asset Fund	A	Distribution	M	T					
25. Neuberger Berman Large Cap Growth Fund (formerly Guardian Fund Inv.Cl)	A	Distribution	M	T					
26. Roth IRA #1									
27. -Schwab Cash Reserves (Schwab Govt. Money Market Fund)	A	Dividend	J	T					
28. -Vanguard Extended Market ETF	A	Dividend	J	T					
29. -Vanguard Total International Stock ETF	A	Dividend	J	T					
30. -iShares Core S&P Small Cap ETF	A	Dividend	J	T					
31. --Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T					
32. -T. Rowe Price US Equity Research (PRCOX)	A	Dividend	J	T	Buy	11/21/23	J		
33. SEP-IRA									
34. -Prudential High Yield Fund Class Z	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Brann, Matthew W.	05/03/2024

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35. -Virtus SEIX Floating Rate High Income Fund Class I	A	Int./Div.	K	T					
36. -Schwab International Core Equity Fund	A	Int./Div.	K	T					
37. -Invesco Global Short High Yield Bond ETF	B	Int./Div.	K	T					
38. -Charles Schwab & Co., Inc. Money Market Account Cash Reserves	A	Interest	L	T					
39. American Funds: The Investment Co. of America A (IRA)	B	Dividend	M	T					
40. 529A #1									
41. -American Funds: The Investment Co. of America	E	Dividend	N	T					
42. -American Funds: The Growth Fund of America	C	Dividend	L	T					
43. 529A #2									
44. -American Funds: The Investment Co. of America	E	Dividend	O	T					
45. Citizen & Northern Bank Accounts	A	Interest	M	T					
46. 1/3 interest in real estate, Bradford County, Pennsylvania		None	L	Q					
47. 1/3 interest in mineral estate, Bradford County, Pennsylvania		None	L	Q					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 1, Column 46--This real property was assigned value method code "Q", noting an appraisal dated November 1, 2010.

Part VII, Page 1, Column 47--This mineral estate was assigned value method code "Q", noting an appraisal dated November 1, 2010.

Name of Person Reporting	Date of Report
Brann, Matthew W.	05/03/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Matthew W. Brann

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544