AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brasel, Nancy E.	United States District Court, District of Minnesota	08/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Diana E. Murphy U.S. Courthouse 300 South 4th Street Minneapolis, MN 55415		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Director	Federal Bar Association - MN Chapter
2.	Trustee	Trust #1 (Reportable assets are listed in Part VII)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2011	MN State Retirement Fund (no control)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brasel, Nancy E.	08/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Associated Bank (cash)	D	Interest	O	T					
3. Pramata Corporation Illiquid Security		None	M	U					
4. Stinson Capital Account (cash)	D	Interest	N	T					
5. Stinson Cash Balance Plan (cash)	D	Interest	O	T					
6. Baird FDIC Insured Multi-Bank (cash)	A	Interest	N	T					
7. Account #1 (H)									
8. American Capital World Growth & Income (CWGIX)	D	Dividend	M	T					
9. Account #2 (H)									
10. Delaware Value Fund Instl Cl (DDVIX)	F	Dividend	N	T					
11. Fuller & Thaler Behavioral Small-Cap Eq Fd Instl (FTHSX)	B	Dividend	K	T					
12. Harbor Small Cap Value Instl Cl (HASCX)	B	Dividend	K	T					
13. Clearbridge Large Cap Growth Fund Cl I (SBLYX)	E	Dividend	M	T					
14. Loomis Sayles Growth Fund Cl Y (LSGRX)	D	Dividend	M	T					
15. Principal Mid Cap Fund Class I (PCBIX)	C	Dividend	L	T					
16. Account #3 (H)									
17. Baird FDIC Multi-Bank Deposit Account (cash)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Brasel, Nancy E.	08/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Edgewood Growth Instl Cl (EGFIX)	D	Dividend	M	T	Buy	05/11/21	M		
19.					Sold (part)	11/10/21	K	B	
20. Artisan Intl Value Instl Cl (APHKX)	C	Dividend	K	T	Buy	05/11/21	K		
21. Diamond Hill Large Cap Cl I (DHLRX)	D	Dividend	M	T	Buy	05/11/21	M		
22. Harbor Small Cap Value Instl Cl (HASCX)	A	Dividend	J	T	Buy	05/14/21	J		
23. WCM Focused Global Growth Instl Cl (WCMGX)	C	Dividend	K	T	Buy	05/11/21	K		
24. Eaton Vance Atlanta Cap Select Equity Cl I (ESEIX)		None			Sold	05/10/21	M	E	
25. Fuller & Thaler Behavioral Small Cap Equity Instl Cl (FTHSX)		None			Sold	05/10/21	K	D	
26. Loomis Sayles Growth Cl Y (LSGRX)		None			Sold	05/10/21	M	E	
27. Principal Mid Cap Instl Cl (PCBIX)	B	Dividend	K	T	Sold (part)	05/10/21	J	B	
28.					Sold (part)	05/11/21	J	A	
29.					Buy (add'l)	05/14/21	J		
30. Virtus Kar Small Cap Growth Cl I (PXSGX)	A	Dividend	J	T	Buy	05/11/21	J		
31.					Sold (part)	05/14/21	J	A	
32. Western Asset Core Plus Bond Cl I (WACPX)	C	Dividend	M	T	Sold	05/10/21	M		
33.					Buy	05/11/21	M		
34.					Buy (add'l)	11/10/21	J		

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35. Account #4 (H)									
36. Vanguard Cash Reserves Federal Money Market (VMRXX) (cash)	A	Dividend	N	T					
37. Vanguard Equity Income Fund Adm (VEIRX)	F	Dividend	O	T	Buy	10/04/21	O		
38. American Funds Growth Fund of America R6 (RGAGX)	F	Dividend	P1	T					
39. Invesco Diversified Dividend (DDFRX)	D	Dividend			Sold	10/04/21	O		
40. Account #5 (H)									
41. Baird FDIC Insured Deposit Multi-Bank (cash)	A	Interest	J	T					
42. Metropolitan Atlanta Rapid Tran Auth GA Sales Tax Rev. (591745B37)	A	Interest	K	T					
43. Auburn AL 5.0 060123	A	Interest			Sold	03/04/21	K	A	
44. Clarksville Tn Water Sewer and Gas Rfdg (182618LF3)	A	Interest	K	T					
45. Harris Cnty Tx Rev Rfdg Sr Lien Toll Rd (414005WD6)	A	Interest	K	T					
46. Minneapolis & St Paul MN Met Arpts Commn Arpt Rev (603827YL4)	A	Interest	K	T					
47. University MN Ser B Rev B/E (914460MG2)	A	Interest	K	T					
48. Michigan St Bldg Auth Rev Rfdg Facs (594615GS9)	A	Interest	K	T					
49. AZ St Trans Vrd Excise Tax Rev Rfdg Maricopa Cnty Area (040649MJ1)	A	Interest	J	T					
50. Minnesota St Rfdg Var Purp Ser D (60412AEW9)	A	Interest	K	T					
51. MN ST Ser B 5.0 030122	A	Interest			Sold	04/07/21	K	A	

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52. Charleston SC Wtrwks & Swr Rev Rfdg (160429WU6)	A	Interest	K	T					
53. University MN Rev Ser B B/E (914460MH0)	A	Interest	J	T					
54. MO ST Ser AAA 5.0 050122	A	Interest			Sold	04/20/21	K	A	
55. Tarrant Cnty Tx Cultural Ed Facs Fin Corp Rev Tx (87638TFE8)	A	Interest	K	T					
56. Hampton Rds VA Trans Accountability Commn Rev Sr Lien (40934TAV5)	A	Interest	K	T	Buy	09/13/21	K		
57. Wisconsin St Trans Rev Ser 1 B/E (977123Q43)	A	Interest	K	T					
58. Allegheny Cnty PA Hosp Dev Auth Rev Univ Pittsburgh B/E (01728A4L7)	A	Interest	J	T					
59. TX ST Ser A 5.0 040121	A	Interest			Sold	03/11/21	K	A	
60. North TX Twy Auth Rev Rfdg Sys 1st Tier (66285WSF4)	A	Interest	J	T					
61. New York St Dorm Auth Pers Incm Tax Rev for Gen Purp Ser D (64990E4U8)	A	Interest	K	T					
62. New York St Dorm Auth Pers Incm Tax Rev for Gen Purp Ser A (64990FZF4)	A	Interest	J	T	Buy	03/22/21	J		
63. NYC NY Ser B 5.0 080123	A	Interest			Sold	02/05/21	K		
64. Connecticut St Spl Tax Oblig Trans Infra Purp Rev Ser A (207758B77)	A	Interest	J	T					
65. Indiana St Fin Auth Wstwtr Util Rev 1st Lien Rfdg Cwa Auth (45505MJH0)	A	Interest	J	T	Buy	06/10/21	J		
66. New York NY City Transl Fi Auth Rev Fut Tax Secd Sub Fisc (64971XZA9)	A	Interest	K	T	Buy	03/26/21	K		
67. IL ST 50 070122	A	Interest			Sold	09/07/21	K	A	
68. Oklahoma St Trans Auth Tpk Rev Sys 2nd Sr Ser A Rfdg (679111A95)	A	Interest	K	T					

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69. New York NY Fiscal 2021 B/E (64966QVY3)	A	Interest	K	T					
70. Connecticut St Spl Tax Oblig Rev Trans Infra Purp Ser A (207758ZQ9)	A	Interest	J	T					
71. DC Incm Tax Secd Rfdg Ser B Rev (25477GSG1)	A	Interest	J	T					
72. Illinois St Toll Hwy Auth Toll Hwy Rev Sr Rfdg Ser B (452252NV7)	A	Interest	J	T	Buy	01/19/21	J		
73. MD State Local Fac Ln 1st A B/E (574193SF6)	A	Interest	K	T					
74. Los Angeles Cnty CA Met Trans Auth Sales Tax Jr Sub Rfdg (54466HJL1)	A	Interest	K	T					
75. Energy WA Elec 5.0 070123	A	Interest			Sold	03/05/21	K	A	
76. Account #6 (H)									
77. Eden Prairie MN Indpt Sch Dist 272 Bldg Hennepin Cnty (279533VV2)	A	Interest	K	T					
78. Jacksonville FL Spl Rfdg Ser A Rev (469487LX8)	A	Interest	K	T					
79. Cuyahoga Cnty OH CTF Partin Convntn Hotel (23225PAF2)	A	Interest	J	T					
80. Houston TX Rfdg Pub Impt Ser A (442331K37)	A	Interest	J	T					
81. Allen Cnty In War Mem Coliseum Addns Bldg Corp Ref (017531GW8)	A	Interest	K	T					
82. Pennsylvania St Tpk Comm Sub Ser B (7092246D6)	B	Interest	K	T					
83. Western MN Nun Pwr Sply Rev A (958697JK1)	A	Interest	J	T					
84. Alaska Hsg Fin Corp Mtg Rev Genl Ser A (01170RHH8)	A	Interest	J	T					
85. Trinity Rvr Auth TX 10 Mile Creek Sys Rev Rfdg & Impt (896576PK5)	B	Interest	K	T					

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86. Hennepin Cnty MN Sales Tax Rev Rfdg 1st Lien Ballpark PJ (425538CV5)	A	Interest	J	T					
87. Honolulu HI 5.0 110121	A	Interest			Redeemed	11/01/21	J		
88. Edina MN Ser A DB B/E (280606CN2)	A	Interest	K	T					
89. Harnett Cnty NC Ltd Oblig Rev (41333TBF4)	A	Interest	J	T					
90. Minnesota St High Ed Facs Auth Rev Univ St Thomas (60416JBP4)	A	Interest	K	T					
91. Minneapolis & St Paul MN Met Arpts Commn Arpt Rev Rfdg (603827WZ5)	A	Interest	J	T					
92. Morrison MN Ser A .0 010121		None			Redeemed	01/04/21	K		
93. South Washington MN Indpt Sch Dist 833 Sch Bldg Ser A (840610RY7)	B	Interest	K	T					
94. Edina MN Ser A DB (280606CQ5)	A	Interest	J	T					
95. Le Sueur Cnty MN Ser A (521426RK4)	A	Interest	J	T	Buy	11/05/21	J		
96. Odessa TX Jr Cllg Dist Rfdg (676023DG6)	B	Interest	K	T					
97. Sea Isle City NJ Genl Impt (811403UY8)	A	Interest	J	T					
98. Owatonna MN Indpt Sch Dist 761 Sch Bldg Ser A (690564RK7)	A	Interest	J	T					
99. Tucson AZ Ser A (898711W85)	B	Interest	K	T					
100. Cook Cnty IL 4.0 120121	A	Interest			Redeemed	12/01/21	K		
101. St Cloud MN Rfdg Tax Abatement Ser C (78916CBQ4)	A	Interest	J	T					
102. Hennepin Cnty MN Rfdg Ser C (425507JE1)	A	Interest	K	T	Buy	01/15/21	K		

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103. Douglas Cnty NE Sch Dist 054 Ralston Rfdg Pub (259345PY6)	A	Interest	K	T	Buy	12/09/21	K		
104. Account #7 (H)									
105. American Funds Moderate Growth & Income Cl 529 A (CBAAX)	A	Dividend	L	T					
106. American Funds Conservative Growth & Income Cl 529 A (CIPAX)	B	Dividend	L	T					
107. Account #8 (H)									
108. American Funds Moderate Growth & Income Cl 529 A (CBAAX)	A	Dividend	L	T					
109. American Funds Conservative Growth & Income Cl 529 A (CIPAX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brasel, Nancy E.	08/14/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Account #4 is controlled and managed by the filer's [redacted] employer. The account holder is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the account holder. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Name of Person Reporting	Date of Report
Brasel, Nancy E.	08/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nancy E. Brasel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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