AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brasel, Nancy E.	United States District Court, District of Minnesota	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Diana E. Murphy U.S. Courthouse 300 South 4th Street Minneapolis, MN 55415		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Director	Federal Bar Association - MN Chapter
2.	Trustee	Trust #1 (Reportable assets are listed in Part VII)
3.	Board of Advisors	University of MN Law School
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2011	MN State Retirement Fund (no control)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Self-employed (attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brasel, Nancy E.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Associated Bank (cash)	E	Interest	O	T					
3.	Pramata Corporation Illiquid Security		None	M	U					
4.	Stinson Capital Account (cash)	F	Interest	N	T					
5.	Stinson Cash Balance Plan (cash)	D	Interest	O	T					
6.	Baird FDIC Insured Bank (cash)	A	Interest			Closed	09/08/23	K		
7.	Flowstone Opportunity Fund		None	M	T	Buy	12/26/23	M		
8.	Account #1 (H)									
9.	Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend	J	T	Buy	09/07/23	J		
10.	Baird FDIC Multi-Bank Deposit Account (cash)	A	Interest			Closed	09/07/23	J		
11.	Diamond Hill Large Cap Cl I (DHLRX)	C	Dividend	M	T					
12.	Harbor Small Cap Value Instl Cl (HASCX)	A	Dividend	J	T					
13.	Principal Mid Cap Cl R6 (PMAQX)	A	Dividend	K	T	Buy	06/13/23	K		
14.	Principal Mid Cap Instl Cl (PCBIX)		None			Sold	06/13/23	K		
15.	Virtus Kar Small Cap Growth Cl I (PXSGX)	A	Dividend	J	T					
16.	Western Asset Core Plus Bond Cl I (WACPX)	D	Dividend	M	T					
17.	Vanguard Total Stock Market ETF (VTI)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Brasel, Nancy E.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Account #2 (H)									
19. Vanguard Cash Reserves Federal Money Market (VMRXX) (cash eq.)	D	Dividend	N	T					
20. Vanguard Equity Income Fund Adm (VEIRX)	F	Dividend	O	T					
21. American Funds Growth Fund of America R6 (RGAGX)	F	Dividend			Sold	10/03/23	P1		
22. JPMorgan Lg Cap Gr R6 (JLGMX)	C	Dividend	O	T	Buy	10/03/23	P1		
23. Account #3 (H)									
24. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	D	Dividend	O	T	Buy	09/08/23	L		
25.					Buy (add'l)	09/29/23	N		
26.					Buy (add'l)	10/02/23	P1		
27.					Sold (part)	10/17/23	J		
28.					Buy (add'l)	10/18/23	O		
29.					Sold (part)	10/19/23	O		
30.					Buy (add'l)	11/01/23	J		
31.					Buy (add'l)	11/07/23	J		
32.					Buy (add'l)	11/15/23	K		
33.					Sold (part)	11/29/23	M		
34.					Buy (add'l)	12/01/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Sold (part)	12/04/23	M		
36.					Buy (add'l)	12/07/23	J		
37.					Buy (add'l)	12/20/23	J		
38.					Sold (part)	12/26/23	M		
39.					Buy (add'l)	12/26/23	J		
40.	Baird FDIC Insured Bank (cash)	B	Interest		Closed	09/06/23	K		
41.	Vanguard Cash Reserves Federal Money Market (VMRXX) (cash eq.)	E	Dividend		Buy	01/24/23	O		
42.					Sold	10/02/23	O		
43.	American Capital World Growth & Income (CWGIX)	B	Dividend		Sold	09/29/23	L	E	
44.	Delaware Value Cl R6 (DDZRX)	C	Dividend		Buy	03/21/23	N		
45.					Sold	09/29/23	N		
46.	Delaware Value Instl Cl (DDVIX)		None		Sold	03/21/23	N		
47.	Fuller & Thaler Behavioral Small-Cap Eq Fd Instl (FTHSX)	A	Dividend	K	T				
48.	Harbor Small Cap Value Instl Cl (HASCX)	B	Dividend	K	T				
49.	Clearbridge Large Cap Growth Fund Cl I (SBLYX)	E	Dividend	M	T				
50.	Loomis Sayles Growth Fund Cl Y (LSGRX)	D	Dividend	M	T				
51.	Principal Mid Cap Cl R6 (PMAQX)	B	Dividend	L	T	Buy	06/13/23	L	

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52. Principal Mid Cap Instl Cl (PCBIX)		None			Sold	06/13/23	L		
53. Vanguard Ultra Short-Term Tx-Ex Adm (VWSUX)	A	Dividend	M	T	Buy	10/18/23	M		
54. Vanguard Interm-Term Tx-Ex Adm (VWIUX)	A	Dividend	M	T	Buy	10/18/23	M		
55. Global X MLP & Energy Infrastructure ETF (MLPX)		None	K	T	Buy	11/29/23	K		
56.					Buy (add'l)	12/04/23	K		
57. Invesco QQQ Trust (QQQ)	A	Dividend	K	T	Buy	11/29/23	K		
58.					Buy (add'l)	12/04/23	K		
59. ProShares S&P 500 Dividend Aristocrats ETF (NOBL)	A	Dividend	L	T	Buy	11/29/23	K		
60.					Buy (add'l)	12/04/23	K		
61. Vanguard 500 Index Fund (VOO)	B	Dividend	N	T	Buy	11/29/23	M		
62.					Buy (add'l)	12/04/23	M		
63. iShares 0-3 Month Treasury Bond ETF (SGOV)	D	Dividend	O	T	Buy	10/19/23	O		
64. Conroe Tx Ctf Oblig (208400AW1)	A	Interest			Redeemed	11/15/23	J		
65. Denton Tx Util Sys Rev (249015B56)	B	Interest			Redeemed	12/01/23	K		
66. Sugar Land Tx (864856MR7)	A	Interest	K	T					
67. Garland Tx Wtr & Swr Rev Sys (366168MK5)	A	Interest	K	T					
68. Denton TX Ctf Oblig (248867CE1)	B	Interest	K	T					

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69. Edinburg TX Cons Indpt Sch Dist (280785TQ9)	A	Interest	J	T					
70. Grapevine Colleyville Indpt Sch Dist Tx (3886406A7)	A	Interest	J	T					
71. Trinity Rvr Auth TX (896575HE0)	A	Interest	J	T					
72. Northside TX Indpt Sch Dist (66702RTH5)	A	Interest	K	T					
73. Harris Cnty Tx Met Tran Auth Sales & Use Tax (41422EFQ)	A	Interest	K	T					
74. New Braunfels Tx (642526E94)	B	Interest	K	T					
75. Three Rivers Tx Indpt Sch Dist (885749GD7)	A	Interest	K	T					
76. Hays TX Cons Indpt Sch Dist Bldg (4211107J0)	A	Interest	J	T	Buy	08/04/23	J		
77. Ishares Gold Trust (IAU)		None			Sold (part)	03/24/23	J	A	
78.					Sold	10/02/23	K		
79. SPDR Gold Trust (GLD)		None			Sold (part)	03/24/23	J	A	
80.					Sold	10/02/23	K		
81. PIMCO Commodity Real Return Strategy Instl Cl (PCRIX)	B	Dividend			Buy (add'l)	03/24/23	J		
82.					Sold	09/29/23	K		
83. PIMCO All Asset All Authority Instl Cl (PAUIX)	A	Distribution			Sold	09/29/23	J		
84. Account #4 (H)									
85. American Funds Moderate Growth & Income Cl 529 A (CBAAX)		None			Sold	08/07/23	K		

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86.	American Funds Conservative Growth & Income Cl 529 A (CIPAX)		None			Sold	08/07/23	L		
87.	NH Portfolio 2024 (Fidelity Index)		None	L	T	Buy	10/02/23	L		
88.						Sold (part)	12/08/23	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Account #2 is controlled and managed by the account holder's employer. The account holder is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the account holder. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Parenthetical numbers in Part VII are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nancy E. Brasel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544