AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BREEN, J. DANIEL	WESTERN DISTRICT OF TENNESSEE	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
SENIOR U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
417 UNITED STATES COURTHOUSE 111 SOUTH HIGHLAND AVENUE JACKSON, TN 38301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bancourp South	A	Interest	K	T					
2. IRA - Fidelity Mag.	A	Dividend	K	T					
3. CMA ACCT. - MERRILL LYNCH									
4. Fed. Inst 1 Prime Val. Obligations	A	Dividend	L	T					
5. Idacorp, Inc.	A	Dividend	J	T					
6. Proctor & Gamble CS	A	Dividend	K	T					
7. AT&T CS	A	Dividend	J	T					
8. Coca-Cola CS	A	Dividend	J	T					
9. E.I. Dupont CS	A	Dividend	J	T					
10. Johnson & Johnson CS	A	Dividend	J	T					
11. WADDELL & REED ACCT.									
12. Waddell & Reed Strategy Port	A	Dividend	J	T	Sold (part)	01/20/21	J	A	
13. Waddell & Reed Balanced Port	A	Dividend	J	T	Sold (part)	03/16/21	J	A	
14. Waddell & Reed Bond Port	A	Dividend	J	T	Sold (part)	01/20/21	J	A	
15. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	03/16/21	J	A	
16. Waddell & Reed High Income Port	A	Dividend	J	T	Sold (part)	01/20/21	J	A	
17. Waddell & Reed Science & Tech Port	A	Dividend	J	T	Sold (part)	01/20/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T	Sold (part)	01/20/21	J	A	
19. Waddell & Reed Global Ntrl. Res. Port	A	Dividend			Sold	01/20/21	J	A	
20. VOYA ACCT.									
21. VOYA Gwth and Inc. Portfolio	A	Dividend	J	T					
22. VOYA Large Cap Gwth Portfolio	A	Dividend	J	T					
23. VOYA FMR SM Diversified Midcap	A	Dividend	J	T					
24. VOYA JP Morgan Small Cap Core Eq. Port	A	Dividend	J	T					
25. VOYA Am. Fds. Int'l Port	A	Dividend	J	T					
26. IRA ACCOUNT - MERRILL LYNCH									
27. Ivy Asset Strategy Fd.	A	Dividend	J	T					
28. Coca Cola - CS	A	Dividend	J	T					
29. Exxon Mobil - CS	A	Dividend	J	T					
30. General Electric - CS	A	Dividend	J	T					
31. Johnson & Johnson - CS	A	Dividend	J	T					
32. McDonalds - CS	A	Dividend	J	T					
33. Pacer Benchmark Data	A	Dividend	J	T					
34. Permanent Portfolio Fd.	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Pimco Income Fd.	A	Dividend	K	T					
36. SPDR S&P DIVIDEND ETF	A	Dividend	J	T					
37. Pimco Inv. Grade Bd.	A	Dividend	J	T					
38. TCW Total Return Bd. Fd.	A	Dividend			Sold	06/23/21	J	A	
39. Schwab US Sm. Cap ETF	A	Dividend	J	T					
40. Vanguard Mtg Backed SEC	A	Dividend	J	T					
41. Western Asset Core Plus Bd Fd.	A	Dividend	K	T					
42. IRA - MERRILL LYNCH									
43. The American Beacon London Inc Fd.	A	Dividend	K	T					
44. Calamos Mkt Neu.. Inc Fd	A	Dividend	K	T					
45. Catlyst Mil.Hedge Fd	A	Dividend	K	T	Buy	06/23/21	K		
46. Clearbridge Large Cap Gwth Fd.	A	Dividend	K	T					
47. Columbia. Div. Income Fd	A	Dividend	J	T					
48. Blackrock Equity Div. Fd.	A	Dividend	J	T					
49. Boston Partners Research Fd.	A	Dividend	K	T					
50. Comm. Srvcs Select Sector	A	Dividend	J	T					
51. Consumer Discretionary SPDR	A	Dividend	J	T					

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52. Deleware Value Fd.	A	Dividend	K	T					
53. Doubleline Total Rtn Bd	A	Dividend	J	T	Buy	10/28/21	J		
54. Edgewood Grwth Fd.	A	Dividend	J	T					
55. First Trust Dow Jones Index Fd	A	Dividend	J	T					
56. Fuller and Thaler Small Cap Fd	A	Dividend	J	T	Buy	11/19/21	J		
57. Global X Funds SHS ETF	A	Dividend	J	T	Buy	07/12/21	J		
58. Invesco Emging Mkts ETF	A	Dividend	J	T					
59. Invesco Preferred ETF	A	Dividend	J	T					
60. Invesco Global Opp Fd	A	Dividend	K	T					
61. iShares Inc Core MSCI Emging Mkts	A	Dividend	J	T					
62. iShares Preferred ETF	A	Dividend	J	T					
63. iShares S&P 500 Gwth	A	Dividend	J	T					
64. ISH USD Hi Yd Corp Bd	A	Dividend	J	T					
65. iShares S&P Mid Cap 400 Grwth	A	Dividend	J	T					
66. Janus Henderson Bal. Fd.	A	Dividend			Sold	07/12/21	J	A	
67. First Tr. ISE Cloud Comp	A	Dividend	J	T					
68. Healthcare Select SPDR	A	Dividend	J	T					

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69. Lord Abbett Bd Fd	A	Dividend	J	T					
70. Pacific Fd. Strategic Inc.	A	Dividend	J	T					
71. Real Estate Select Sector SPDR	A	Dividend	J	T					
72. iShares Biotechnology ETF	A	Dividend	J	T					
73. Sector SPDR Energy	A	Dividend	J	T					
74. iShares IBOXX Corp BD	A	Dividend	J	T					
75. iShares U.S. Regional Banks ETF	A	Dividend	J	T	Buy	07/12/21	J		
76. iShares Russell 1000 Value	A	Dividend	J	T	Buy (add'l)	06/23/21	J		
77. iShares Russell 1000 Growth	A	Dividend	J	T					
78. iShares TR Russell 2000	A	Dividend			Sold	07/12/21	J	A	
79. iShares Inc. Core Emerging Mkts	A	Dividend	J	T					
80. iShares US Treasury Bond	A	Dividend	J	T					
81. iShares TR Core MSCI EAF ETF	A	Dividend	J	T					
82. Pimco Foreign Bond Fd	A	Dividend	J	T					
83. SPDR Blmbrg Brcly HiYd Bd	A	Dividend	J	T					
84. T Rowe Price Emg Mkts Stk Fd	A	Dividend			Sold	03/09/21	J	A	
85. Loomis Sayles Strategic Inc.	A	Dividend	K	T					

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86. Nuveen Real Estate Fd.	A	Dividend			Sold	07/12/21	J	A	
87. MFS Value Fd Cl l	A	Dividend	J	T					
88. SPDR S&P Transp. ETF	A	Dividend	J	T	Buy	10/26/21	J		
89. SPDR Financial Sector ETF	A	Dividend	J	T					
90. T. Rowe Price Blue Chip Gwth Fd.	A	Dividend	K	T					
91. Templeton Glbl. Bond Fd.	A	Dividend	J	T					
92. TCW Total Rtn Bond Fd..	A	Dividend			Sold	07/12/21	J	A	
93. Oakmark Int'l Fd	A	Dividend	J	T					
94. Oppenheimer Global Opp.Fd.	A	Dividend			Sold	03/09/21	J	A	
95. Transamerica MidCap Fd.	A	Dividend	J	T					
96. Transamerica Short Term Bd Fd	A	Dividend	K	T					
97. Permanent Portfolio Fd.	A	Dividend	K	T					
98. ProShares Online Retail ETF	A	Dividend	J	T					
99. Real Estate Select SPDR	A	Dividend	J	T	Buy	11/18/21	J		
100. Sector SPDR Energy	A	Dividend	J	T	Buy	03/09/21	J		
101. Vanguard Consumer Staples ETF	A	Dividend	J	T					
102. Vanguard Dividend Appreciation ETF	A	Dividend	J	T					

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103. Vanguard FTSE Emerging Mkts	A	Dividend	J	T	Buy	06/23/21	J		
104. Vanguard Materials ETF	A	Dividend	J	T					
105. Vanguard FTSE All World Ex US	A	Dividend	J	T	Buy	06/23/21	J		
106. Vanguard Info. Tech. ETF	A	Dividend	J	T					
107. Vanguard FTSE Developed Mkts ETF	A	Dividend	J	T	Buy	06/23/21	J		
108. Vanguard Industrial ETF	A	Dividend	J	T					
109. Vanguard Intermediate Bd ETF	A	Dividend	K	T					
110. Vanguard Mid-Cap Val. Idx.	A	Dividend	J	T					
111. Vanguard Short Term Bd	A	Dividend			Sold	06/23/21	J	A	
112. Merrill Lynch Money Market Fd.	A	Interest	L	T					
113. Merrill Lynch Retirement Reserves	A	Interest	J	T					
114. Procter & Gamble CS	A	Dividend	J	T					
115. BanCorp South CD	A	Interest	J	T					
116. Ivy VIP Asset Strategy	A	Dividend	K	T					
117. Ivy VIP Balanced Fd.	A	Dividend	J	T					
118. Ivy Government Securities	A	Dividend	J	T					
119. Ivy Core Equity	A	Dividend	K	T					

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T					
121. Ivy Corporate Bond A	A	Dividend	J	T					
122. Ivy Accumulative A	A	Dividend	J	T					
123. Ivy Sci and Tech Fd.	A	Dividend	J	T					
124. Ivy Wilshire Global Allocation A	A	Dividend	K	T					
125. Utah My529 Acct (Vanguard Total Stock Market Index)	A	Dividend	L	T	Buy (add'l)	12/31/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ J. DANIEL BREEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544