AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BREEN, J. DANIEL	WESTERN DISTRICT OF TENNESSEE	06/06/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
SENIOR U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	

7. Chambers or Office Address

417 UNITED STATES COURTHOUSE
111 SOUTH HIGHLAND AVENUE
JACKSON, TN 38301

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Geroge Mason	3/6/2022 - 3/9/2022	Destin, FL	educational seminar	travel, food, lodging & seminar fees
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cadance Bank (f/k/a Bancourp South)	A	Interest	K	T					
2. IRA - Fidelity Mag.	A	Dividend	K	T	Sold (part)	11/21/22	J	A	
3. CMA ACCT. - MERRILL LYNCH									
4. Fed. Inst 1 Prime Val. Obligations	A	Dividend	M	T					
5. Alphabet Inc.	A	Dividend	J	T	Buy	02/15/22	J		
6. Alphabet, Inc.	A	Dividend			Sold	12/02/22	J	A	
7. Idacorp, Inc.	A	Dividend	J	T					
8. Corteva, Inc.	A	Dividend	J	T	Buy	05/16/22	J		
9. Proctor & Gamble CS	A	Dividend	L	T					
10. Dow, Inc.	A	Dividend	J	T	Buy	06/13/22	J		
11. AT&T CS	A	Dividend	J	T					
12. Coca-Cola CS	A	Dividend	J	T					
13. Warner Bros Discovery	A	Dividend	J	T	Buy	03/16/22	J		
14. E.I. Dupont CS	A	Dividend	J	T					
15. Johnson & Johnson CS	A	Dividend	J	T					
16. Chemours Co.	A	Dividend	J	T	Buy	05/16/22	J		
17. WADDELL & REED ACCT.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Waddell & Reed Strategy Port	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
19. Waddell & Reed Balanced Port	A	Dividend	J	T	Sold (part)	03/16/22	J	A	
20. Waddell & Reed Bond Port	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
21. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
22. Waddell & Reed High Income Port	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
23. Waddell & Reed Science & Tech Port	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
24. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
25. VOYA ACCT.									
26. VOYA Gwth and Inc. Portfolio	A	Dividend	J	T					
27. VOYA Large Cap Gwth Portfolio	A	Dividend	J	T					
28. VOYA FMR SM Diversified Midcap	A	Dividend	J	T					
29. VOYA JP Morgan Small Cap Core Eq. Port	A	Dividend	J	T					
30. VOYA Am. Fds. Int'l Port	A	Dividend	J	T					
31. IRA ACCOUNT - MERRILL LYNCH									
32. Ivy Asset Strategy Fd.	A	Dividend	J	T					
33. Coca Cola - CS	A	Dividend			Sold	11/30/22	J	A	
34. Exxon Mobil - CS	A	Dividend			Sold	11/30/22	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35. General Electric - CS	A	Dividend			Sold	12/02/22	J	A	
36. Johnson & Johnson - CS	A	Dividend			Sold	11/30/22	J	A	
37. McDonalds - CS	A	Dividend			Sold	11/30/22	J	A	
38. Proctor and Gamble	A	Dividend			Sold	11/30/22	J	A	
39. Pacer Benchmark Data	A	Dividend			Sold	11/21/22	J	A	
40. Permanent Portfolio Fd.	A	Dividend			Sold	11/30/22	J	A	
41. Pimco Income Fd.	A	Dividend			Sold	11/30/22	K	A	
42. SPDR S&P DIVIDEND ETF	A	Dividend			Sold	12/01/22	J	A	
43. Pimco Inv. Grade Bd.	A	Dividend			Sold	12/01/22	J	A	
44. Schwab US Sm. Cap ETF	A	Dividend			Sold	12/01/22	J	A	
45. Vanguard Mtg Backed SEC	A	Dividend			Sold	12/01/22	J	A	
46. Western Asset Core Plus Bd Fd.	A	Dividend			Sold	11/21/22	J	A	
47. IRA - MERRILL LYNCH									
48. The American Beacon London Inc Fd.	A	Dividend			Sold	11/30/22	J	A	
49. Bank of N.Y. Mellon Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
50. Amer. Fds Income Fd	A	Dividend	K	T	Buy	11/29/22	K		
51. Amers Fds Income Fd	A	Dividend	K	T	Buy (add'l)	12/01/22	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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Q =Appraisal
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52. Verizon Communications Corp Bonds	A	Interest	J	T	Buy	11/25/22	J		
53. J.P. Morgan Chase Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
54. Calamos Mkt Neu.. Inc Fd	A	Dividend			Sold	11/30/22	J	A	
55. BAT Comp. Capital Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
56. Amer. Sm. Cap World Fd	A	Dividend	J	T	Buy	11/29/22	J		
57. Amer. Sm Cap World Fd	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
58. Wells Fargo Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
59. Union Pacific Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
60. Catlyst Mil.Hedge Fd	A	Dividend			Sold	11/30/22	J	A	
61. Oracle Corp Bonds	A	Interest	J	T	Buy	11/25/22	J		
62. Amer. Capitol World Fd	A	Dividend	K	T	Buy	11/30/22	K		
63. Amer. Capitol World Fd	A	Dividend	K	T	Buy (add'l)	12/01/22	K		
64. Alphabet Inc.	A	Interest	J	T	Buy	11/25/22	J		
65. CVS Health Corp. Bonds	A	Interest	J	T	Buy	11/25/22	J		
66. Clearbridge Large Cap Gwth Fd	A	Dividend			Sold	11/30/22	K	A	
67. Morgan Stanley Corp. Bds	A	Interest	J	T	Buy	11/25/22	J		
68. Amer. Fds New Fd	A	Dividend	J	T	Buy	11/30/22	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69. Amer. Fds New Fd	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
70. Amazon Com. Inc.	A	Interest	J	T	Buy	11/25/22	J		
71. Columbia. Div. Income Fd	A	Dividend			Sold	11/30/22	J	A	
72. Amer. Fds. American Balance	A	Dividend	J	T	Buy	11/30/22	K		
73. Amer. Fds. American Balance	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
74. Blackrock Equity Div. Fd.	A	Dividend			Sold	11/30/22	J	A	
75. American Fds. Multi Sector	A	Dividend	J	T	Buy	11/30/22	J		
76. American Fds. Multi Sector	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
77. Boston Partners Research Fd.	A	Dividend			Sold	11/30/22	K	A	
78. Amer. Fds Global Bal. Fd	A	Dividend	K	T	Buy	11/30/22	J		
79. Amer. Fds Global Bal. Fd	A	Dividend	K	T	Buy (add'l)	12/01/22	J		
80. Comm. Srvcs Select Sector	A	Dividend			Sold	11/30/22	J	A	
81. Amer. Bd. Fd	A	Dividend	J	T	Buy	11/30/22	J		
82. Amer. Bd. Fd	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
83. Consumer Discretionary SPDR	A	Dividend			Sold	12/01/22	J	A	
84. Amer. Washington Mut. Fd	A	Dividend	L	T	Buy	11/30/22	L		
85. Amer. Washington Mut. Fd	A	Dividend	J	T	Buy (add'l)	12/01/22	J		

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86. Amer. Fds. Strategic Bd	A	Dividend	J	T	Buy	11/30/22	J		
87. Amer. Fds. Strategic Bd	A	Dividend	J	T	Buy (add'l)	12/01/22	J		
88. Deleware Value Fd.	A	Dividend			Sold	11/21/22	J	A	
89. Doubleline Total Rtn Bd	A	Dividend			Sold	12/01/22	J	A	
90. Edgewood Grwth Fd.	A	Dividend			Sold	11/30/22	J	A	
91. First Trust Dow Jones Index Fd	A	Dividend			Sold	12/01/22	J	A	
92. Fuller and Thaler Small Cap Fd	A	Dividend			Sold	12/01/22	J	A	
93. Global X Funds SHS ETF	A	Dividend			Sold	12/01/22	J	A	
94. Invesco Emging Mkts ETF	A	Dividend			Sold	12/01/22	J	A	
95. Invesco Preferred ETF	A	Dividend			Sold	12/01/22	J	A	
96. Invesco Global Opp Fd	A	Dividend			Sold	11/21/22	J	A	
97. iShares Inc Core MSCI Emging Mkts	A	Dividend	J	T	Buy	11/21/22	J		
98. iShares Inc Core MSCI Emging Mkts	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
99. iShares 10-20 year Treas. Bd	A	Dividend	J	T	Buy	11/21/22	J		
100. iShares Preferred ETF	A	Dividend	J	T	Buy	11/22/22	J		
101. iShares Preferred ETF	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
102. iShares S&P 500 Gwth	A	Dividend			Sold	12/01/22	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. iShares MSCI China	A	Dividend	J	T	Buy	11/21/22	J		
104. iShares MSCI China	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
105. ISH USD Hi Yd Corp Bd	A	Dividend			Sold	12/01/22	J	A	
106. iShares MSCI Intl Qualit	A	Dividend	J	T	Buy	11/21/22	J		
107. iShares MSCI Intl Qualit	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
108. iShares S&P Mid Cap 400 Grwth	A	Dividend	J	T					
109. J.P. Morgan Exchange Traded Fd	A	Dividend	J	T	Buy	11/21/22	J		
110. J.P. Morgan Exchange Traded Fd	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
111. First Tr. ISE Cloud Comp	A	Dividend	J	T					
112. Graniteshares Gold	A	Dividend	J	T	Buy	11/21/22	J		
113. Graniteshares Gold	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
114. Healthcare Select SPDR	A	Dividend	J	T					
115. Healthcare Select SPDR	A	Dividend	J	T	Buy (add'l)	11/21/22	J		
116. Janus Detroit St. TR	A	Dividend	J	T	Buy	11/21/22	J		
117. Janus Detroit St. TR	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
118. Lord Abbett Bd Fd	A	Dividend			Sold	11/30/22	J	A	
119. Pacific Fd. Strategic Inc.	A	Dividend			Sold	11/30/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Real Estate Select Sector SPDR	A	Dividend	J	T					
121. iShares Biotechnology ETF	A	Dividend	J	T					
122. Sector SPDR Energy	A	Dividend	J	T	Buy	11/22/22	J		
123. Sector SPDR Energy	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
124. iShares IBOXX Corp BD	A	Dividend	J	T					
125. iShares S&P Global Health	A	Dividend	J	T	Buy	11/21/22	J		
126. iShares S&P Global Health	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
127. iShares U.S. Regional Banks ETF	A	Dividend	J	T					
128. iShares 7-10 Treas Bond	A	Dividend	J	T	Buy	11/21/22	J		
129. iShares 7-10 Treas Bond	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
130. iShares Russell 1000 Value	A	Dividend			Sold	12/01/22	J	A	
131. i Shares US Aerospace ETF	A	Dividend	J	T	Buy	11/21/22	J		
132. i Shares US Aerospace ETF	A	Dividend	J	T	Buy (add'l)	11/25/22	J		
133. iShares Russell 1000 Growth	A	Dividend			Sold	12/01/22	J	A	
134. iShares TR S&P Global	A	Dividend	J	T	Buy	11/21/22	J		
135. iShares TR S&P Global	A	Dividend	J	T	Buy (add'l)	11/25/22	J		
136. Sector SPDR Utilities	A	Dividend	J	T	Buy	11/21/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Sector SPDR Utilities	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
138. iShares TR Core MSCI EAF ETF	A	Dividend			Sold	12/01/22	J	A	
139. SPDR S&P Dividend ETF	A	Dividend	J	T	Buy	11/21/22	J		
140. SPDR S&P Dividend ETF	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
141. Pimco Foreign Bond Fd	A	Dividend			Sold	12/01/22	J	A	
142. SPDR S&P Global Natural	A	Dividend	J	T	Buy	11/27/22	J		
143. SPDR S&P Global Natural	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
144. SPDR Blmbrg Brcly HiYd Bd	A	Dividend			Sold	12/01/22	J	A	
145. Technology Select Sector SPDR	A	Dividend	J	T	Buy	11/21/22	J		
146. Technology Select Sector SPDR	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
147. Loomis Sayles Strategic Inc.	A	Dividend			Sold	11/30/22	J	A	
148. IShares Convertible Bond	A	Dividend	J	T	Buy	11/21/22	J		
149. MFS Value Fd Cl l	A	Dividend			Sold	11/30/22	J	A	
150. iShares Core S&P Small Cap	A	Dividend	J	T	Buy	11/21/22	J		
151. SPDR S&P Transp. ETF	A	Dividend			Sold	11/29/22	J	A	
152. iShares TR MSCI EAFE Value	A	Dividend	J	T	Buy	11/21/22	J		
153. SPDR Financial Sector ETF	A	Dividend			Sold	11/30/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. iShares TR MSCI EAFE Growth	A	Dividend	J	T	Buy	11/22/22	J		
155. T. Rowe Price Blue Chip Gwth Fd.	A	Dividend			Sold	11/30/22	J	A	
156. iShares Edge MSCI	A	Dividend	J	T	Buy	11/22/22	J		
157. Templeton Glbl. Bond Fd.	A	Dividend			Sold	11/21/22	J	A	
158. iShares U.S.Technology	A	Dividend	J	T	Buy	11/21/22	J		
159. Oakmark Int'l Fd	A	Dividend			Sold	11/21/22	J	A	
160. iShares ESG AWARE MSCI USA	A	Dividend	K	T	Buy	11/21/22	J		
161. Transamerica MidCap Fd.	A	Dividend			Sold	11/30/22	J	A	
162. iShares EDGE MSCI USA Momentum	A	Dividend	J	T	Buy	11/21/22	J		
163. Transamerica Short Term Bd Fd	A	Dividend			Sold	11/30/22	J	A	
164. iShares 0-5 Year High Corp. Bd	A	Dividend	J	T	Buy	11/21/22	J		
165. Permanent Portfolio Fd.	A	Dividend			Sold	11/30/22	K	A	
166. iShares U.S.ETF TRISHS	A	Dividend	J	T	Buy	11/21/22	J		
167. ProShares Online Retail ETF	A	Dividend			Sold	11/30/22	J	A	
168. iShares TR	A	Dividend	J	T	Buy	11/22/22	J		
169. Real Estate Select SPDR	A	Dividend			Sold	11/30/22	J	A	
170. U.S. Treasury Notes	A	Interest	K	T	Buy	11/25/22	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Vanguard Consumer Staples ETF	A	Dividend			Sold	11/25/22	J	A	
172. Vanguard Value ETF	A	Dividend	J	T	Buy	11/21/22	J		
173. Vanguard Value ETF	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
174. Vanguard Dividend Appreciation ETF	A	Dividend			Sold	11/29/22	J	A	
175. Vanguard MSCI European ETF	A	Dividend	J	T	Buy	11/22/22	J		
176. Vanguard MSCI European ETF	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
177. Vanguard FTSE Emerging Mkts	A	Dividend			Sold	11/29/22	J	A	
178. Vanguard Materials ETF	A	Dividend			Sold	11/29/22	J	A	
179. Vanguard FTSE All World Ex US	A	Dividend			Sold	11/29/22	J	A	
180. Vanguard Info. Tech. ETF	A	Dividend			Sold	11/29/22	J	A	
181. Vanguard FTSE Developed Mkts ETF	A	Dividend			Sold	11/29/22	J	A	
182. Vanguard Industrial ETF	A	Dividend			Sold	11/29/22	J	A	
183. Vanguard Intermediate Bd ETF	A	Dividend			Sold	11/29/22	J	A	
184. Vanguard Mid-Cap Val. Idx.	A	Dividend			Sold	11/29/22	J	A	
185. Wisdomtree Floating Rate Treas. FD	A	Dividend	J	T	Buy	11/21/22	J		
186. Wisdomtree Floating Rate Treas. FD	A	Dividend	J	T	Buy (add'l)	11/29/22	J		
187. Alphabet Inc.	A	Distribution	J	T	Buy	11/23/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Amazon Com Inc.	A	Dividend	J	T	Buy	11/23/22	J		
189. Analog Devices Inc.	A	Dividend	J	T	Buy	11/23/22	J		
190. Apple Inc.	A	Dividend	J	T	Buy	11/23/22	J		
191. Applied Material Inc.	A	Dividend	J	T	Buy	11/23/22	J		
192. APTIV PLC	A	Dividend	J	T	Buy	11/23/22	J		
193. Avantor Inc.	A	Dividend	J	T	Buy	11/23/22	J		
194. Bath and Body Works, Inc.	A	Dividend	J	T	Buy	11/23/22	J		
195. Berkshire Hathoway	A	Dividend	J	T	Buy	11/23/22	J		
196. BP PLC	A	Dividend	J	T	Buy	11/23/22	J		
197. Capitol One FINL	A	Dividend	J	T	Buy	11/23/22	J		
198. Caterpillar Inc.	A	Dividend	J	T	Buy	11/23/22	J		
199. CBRE Group Inc.	A	Dividend	J	T	Buy	11/23/22	J		
200. Ciena Corp	A	Dividend	J	T	Buy	11/23/22	J		
201. Cognizant Tech Solutions	A	Dividend	J	T	Buy	11/23/22	J		
202. Comcast Corp.	A	Dividend	J	T	Buy	11/23/22	J		
203. Conocophillips	A	Dividend	J	T	Buy	11/23/22	J		
204. Corteva In	A	Dividend	J	T	Buy	11/23/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Dollar General Corp	A	Dividend	J	T	Buy	11/23/22	J		
206. Dollar Tree Inc.	A	Dividend	J	T	Buy	11/23/22	J		
207. Dun and Bradstreet Hldgs Inc.	A	Dividend	J	T	Buy	11/23/22	J		
208. Flevance Health Inc.	A	Dividend	J	T	Buy	11/23/22	J		
209. EQT Corp	A	Dividend	J	T	Buy	11/23/22	J		
210. Fidelity Nat'l Info Svcs	A	Dividend	J	T	Buy	11/23/22	J		
211. Fleetcor Tech.	A	Dividend	J	T	Buy	11/23/22	J		
212. Fortive Corp.	A	Dividend	J	T	Buy	11/23/22	J		
213. Fox Corp	A	Dividend	J	T	Buy	11/23/22	J		
214. General Motors Co.	A	Dividend	J	T	Buy	11/23/22	J		
215. Humana Inc.	A	Dividend	J	T	Buy	11/23/22	J		
216. Intercontinential Exc. Inc.	A	Dividend	J	T	Buy	11/23/22	J		
217. J.P. Morgan Chase	A	Dividend	J	T	Buy	11/23/22	J		
218. Laboratory CP Amer Hldgs	A	Dividend	J	T	Buy	11/23/22	J		
219. Las Vegas Sands Corp	A	Dividend	J	T	Buy	11/23/22	J		
220. Meta Platforms Inc.	A	Dividend	J	T	Buy	11/23/22	J		
221. Microsoft Corp.	A	Dividend	J	T	Buy	11/23/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Mondelez Int'l Inc.	A	Dividend	J	T	Buy	11/23/22	J		
223. Monster Beverage SHS	A	Dividend	J	T	Buy	11/23/22	J		
224. Norfolk Southern Corp	A	Dividend	J	T	Buy	11/23/22	J		
225. Otis Worldwide Corp	A	Dividend	J	T	Buy	11/23/22	J		
226. Progressive CRP	A	Dividend	J	T	Buy	11/23/22	J		
227. Reinsurance Grp. America	A	Dividend	J	T	Buy	11/23/22	J		
228. Ross Stores Inc.	A	Dividend	J	T	Buy	11/23/22	J		
229. Sanofi ADR	A	Dividend	J	T	Buy	11/23/22	J		
230. Schwab Charles Corp	A	Dividend	J	T	Buy	11/23/22	J		
231. Sealed Air Corp	A	Dividend	J	T	Buy	11/23/22	J		
232. Unilever PLC	A	Dividend	J	T	Buy	11/23/22	J		
233. United Health Grp	A	Dividend	J	T	Buy	11/23/22	J		
234. Veeva Sys Inc	A	Dividend	J	T	Buy	11/23/22	J		
235. Voya Finl Inc	A	Dividend	J	T	Buy	11/23/22	J		
236. World Wrestling	A	Dividend	J	T	Buy	11/23/22	J		
237. 1st Citzns BncShs Inc.	A	Dividend	J	T	Buy	11/23/22	J		
238. Merrill Lynch Money Market Fd.	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Merrill Lynch Retirement Reserves	A	Interest	J	T					
240. Procter & Gamble CS	A	Dividend	J	T					
241. BanCorp South CD	A	Interest	J	T					
242. Ivy VIP Asset Strategy	A	Dividend	K	T					
243. Ivy VIP Balanced Fd.	A	Dividend	J	T					
244. Ivy Government Securities	A	Dividend	J	T					
245. Ivy Core Equity	A	Dividend	K	T					
246. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T					
247. Ivy Corporate Bond A	A	Dividend	J	T					
248. Ivy Accumulative A	A	Dividend	J	T					
249. Ivy Sci and Tech Fd.	A	Dividend	J	T					
250. Ivy Wilshire Global Allocation A	A	Dividend	K	T					
251. Utah My529 Acct (Vanguard Total Stock Market Index)	A	Dividend	L	T	Buy (add'l)	12/31/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	06/06/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ J. DANIEL BREEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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