AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BREEN, J. DANIEL	WESTERN DISTRICT OF TENNESSEE	04/11/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
SENIOR U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	

7. Chambers or Office Address

417 UNITED STATES COURTHOUSE
111 SOUTH HIGHLAND AVENUE
JACKSON, TN 38301

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Cadence Bank (f/k/a Bancourp South)	A	Interest	K	T					
2. IRA - Fidelity Mag.	A	Dividend	K	T	Sold (part)	11/21/23	J	A	
3. CMA ACCT. - MERRILL LYNCH									
4. Fed. Inst 1 Prime Val. Obligations	A	Dividend	M	T					
5. Idacorp, Inc.	A	Dividend	J	T					
6. Corteva, Inc.	A	Dividend	J	T					
7. Proctor & Gamble CS	A	Dividend	L	T					
8. Dow, Inc.	A	Dividend	J	T					
9. AT&T CS	A	Dividend	J	T					
10. Coca-Cola CS	A	Dividend	J	T					
11. Warner Bros Discovery	A	Dividend	J	T					
12. E.I. Dupont CS	A	Dividend	J	T					
13. Johnson & Johnson CS	A	Dividend	J	T					
14. Chemours Co.	A	Dividend	J	T					
15. WADDELL & REED ACCT.									
16. Waddell & Reed Strategy Port	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
17. Waddell & Reed Balanced Port	A	Dividend	J	T	Sold (part)	03/16/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Waddell & Reed Bond Port	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
19. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
20. Waddell & Reed High Income Port	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
21. Waddell & Reed Science & Tech Port	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
22. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T	Sold (part)	01/20/23	J	A	
23. VOYA ACCT.									
24. VOYA Gwth and Inc. Portfolio	A	Dividend	J	T					
25. VOYA Large Cap Gwth Portfolio	A	Dividend	J	T					
26. VOYA FMR SM Diversified Midcap	A	Dividend	J	T					
27. VOYA JP Morgan Small Cap Core Eq. Port	A	Dividend	J	T					
28. VOYA Am. Fds. Int'l Port	A	Dividend	J	T					
29. IRA ACCOUNT - MERRILL LYNCH									
30. Ivy Asset Strategy Fd.	A	Dividend	J	T					
31. IRA - MERRILL LYNCH									
32. Bank of N.Y. Mellon Corp. Bonds	A	Interest			Sold	11/08/23	J	A	
33. Amer. Fds Income Fd	A	Dividend			Sold	11/07/23	K	A	
34. Verizon Communications Corp Bonds	A	Interest			Sold	11/08/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. J.P. Morgan Chase Corp. Bonds	A	Interest			Sold	11/08/23	J	A	
36. BAT Comp. Capital Corp. Bonds	A	Interest			Sold	11/08/23	J	A	
37. Amer. Sm. Cap World Fd	A	Dividend			Sold	11/6/23	J	A	
38. Wells Fargo Corp. Bonds	A	Interest			Sold	11/08/23	J	A	
39. Union Pacific Corp. Bonds	A	Interest			Sold	11/08/23	J	A	
40. Oracle Corp Bonds	A	Interest			Sold	11/08/23	J	A	
41. Amer. Capitol World Fd	A	Dividend			Sold	11/7/23	K	A	
42. Alphabet Inc.	A	Interest			Sold	3/30/23	J	A	
43. CVS Health Corp. Bonds	A	Interest			Sold	3/30/23	J	A	
44. Morgan Stanley Corp. Bds	A	Interest			Sold	3/30/23	J	A	
45. Amer. Fds New Fd	A	Dividend			Sold	11/7/23	J	A	
46. Amazon Com. Inc.	A	Interest			Sold	3/30/23	J	A	
47. Amer. Fds. American Balance	A	Dividend			Sold	11/7/23	K	A	
48. American Fds. Multi Sector	A	Dividend			Sold	11/07/23	J	A	
49. Amer. Fd Global Bal. Fd	A	Dividend			Sold	11/07/23	K	A	
50. Amer. Bd. Fd	A	Dividend			Sold	11/07/23	K	A	
51. Amer. Washington Mut. Fd	A	Dividend			Sold	11/07/23	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. Amer. Fds. Strategic Bd	A	Dividend			Sold	11/07/23	K	A	
53. iShares Inc Core MSCI Emging Mkts	A	Dividend			Sold	11/10/23	J	A	
54. iShares 10-20 year Treas. Bd	A	Dividend			Sold	11/08/23	K	A	
55. iShares Preferred ETF	A	Dividend			Sold	11/08/23	J	A	
56. iShares MSCI China	A	Dividend			Sold	11/08/23	J	A	
57. iShares MSCI Intl Qualit	A	Dividend			Sold	11/08/23	J	A	
58. iShares S&P Mid Cap 400 Grwth	A	Dividend			Sold	11/08/23	J	A	
59. First Tr. ISE Cloud Comp	A	Dividend			Sold	11/08/23	J	A	
60. Healthcare Select SPDR	A	Dividend			Sold	11/08/23	J	A	
61. Real Estate Select Sector SPDR	A	Dividend			Sold	11/08/23	J	A	
62. iShares Biotechnology ETF	A	Dividend			Sold	11/08/23	J	A	
63. Sector SPDR Energy	A	Dividend			Sold	11/08/23	J	A	
64. iShares IBOXX Corp BD	A	Dividend			Sold	11/10/23	J	A	
65. iShares S&P Global Health	A	Dividend			Sold	11/08/23	J	A	
66. iShares U.S. Regional Banks ETF	A	Dividend			Sold	11/08/23	J	A	
67. iShares 7-10 Treas Bond	A	Dividend			Sold	11/08/23	J	A	
68. i Shares US Aerospace ETF	A	Dividend			Sold	11/08/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. iShares TR S&P Global	A	Dividend			Sold	11/10/23	J	A	
70. Sector SPDR Utilities	A	Dividend			Sold	11/08/23	J	A	
71. SPDR S&P Dividend ETF	A	Dividend			Sold	11/08/23	J	A	
72. SPDR S&P Global Natural	A	Dividend			Sold	11/08/23	J	A	
73. Technology Select Sector SPDR	A	Dividend			Sold	11/08/23	J	A	
74. IShares Convertible Bond	A	Dividend			Sold	11/08/23	J	A	
75. iShares Core S&P Small Cap	A	Dividend			Sold	11/08/23	J	A	
76. iShares TR MSCI EAFE Value	A	Dividend			Sold	11/10/23	K	A	
77. iShares TR MSCI EAFE Growth	A	Dividend			Sold	11/10/23	J	A	
78. iShares Edge MSCI	A	Dividend			Sold	11/10/23	J	A	
79. iShares U.S.Technology	A	Dividend			Sold	11/08/23	J	A	
80. iShares ESG AWARE MSCI USA	A	Dividend			Sold	11/08/23	J	A	
81. iShares EDGE MSCI USA Momentum	A	Dividend			Sold	11/08/23	J	A	
82. iShares 0-5 Year High Corp. Bd	A	Dividend			Sold	11/08/23	J	A	
83. iShares U.S.ETF TRISHS	A	Dividend			Sold	11/08/23	J	A	
84. iShares TR	A	Dividend			Sold	11/10/23	J	A	
85. U.S. Treasury Notes	A	Interest			Sold	11/08/23	K	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
86. Vanguard Value ETF	A	Dividend			Sold	11/08/23	J	A	
87. Vanguard MSCI European ETF	A	Dividend			Sold	11/08/23	J	A	
88. Wisdomtree Floating Rate Treas. FD	A	Dividend			Sold	11/08/23	J	A	
89. Alphabet Inc.	A	Distribution			Sold	03/30/23	J	A	
90. Amazon Com Inc.	A	Dividend			Sold	03/30/23	J	A	
91. Analog Devices Inc.	A	Dividend			Sold	03/30/23	J	A	
92. Apple Inc.	A	Dividend			Sold	03/30/23	J	A	
93. Applied Material Inc.	A	Dividend			Sold	03/30/23	J	A	
94. APTIV PLC	A	Dividend			Sold	03/30/23	J	A	
95. Avantor Inc.	A	Dividend			Sold	03/30/23	J	A	
96. Bath and Body Works, Inc.	A	Dividend			Sold	03/30/23	J	A	
97. Berkshire Hathoway	A	Dividend			Sold	03/30/23	J	A	
98. BP PLC	A	Dividend			Sold	03/30/23	J	A	
99. Capitol One FINL	A	Dividend			Sold	03/30/23	J	A	
100. Caterpillar Inc.	A	Dividend			Sold	03/30/23	J	A	
101. CBRE Group Inc.	A	Dividend			Sold	03/30/23	J	A	
102. Ciena Corp	A	Dividend			Sold	03/30/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. Cognizant Tech Solutions	A	Dividend			Sold	03/30/23	J	A	
104. Comcast Corp.	A	Dividend			Sold	03/30/23	J	A	
105. Conocophillips	A	Dividend			Sold	03/30/23	J	A	
106. Corteva In	A	Dividend			Sold	03/30/23	J	A	
107. Dollar General Corp	A	Dividend			Sold	03/30/23	J	A	
108. Dollar Tree Inc.	A	Dividend			Sold	03/30/23	J	A	
109. Dun and Bradstreet Hldgs Inc.	A	Dividend			Sold	03/30/23	J	A	
110. Flevance Health Inc.	A	Dividend			Sold	03/30/23	J	A	
111. EQT Corp	A	Dividend			Sold	03/30/23	J	A	
112. Fidelity Nat'l Info Svcs	A	Dividend			Sold	03/30/23	J	A	
113. Fleetcor Tech.	A	Dividend			Sold	03/30/23	J	A	
114. Fortive Corp.	A	Dividend			Sold	03/30/23	J	A	
115. Fox Corp	A	Dividend			Sold	03/30/23	J	A	
116. General Motors Co.	A	Dividend			Sold	03/30/23	J	A	
117. Humana Inc.	A	Dividend			Sold	03/30/23	J	A	
118. Intercontinential Exc. Inc.	A	Dividend			Sold	03/30/23	J	A	
119. J.P. Morgan Chase	A	Dividend			Sold	03/30/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. Laboratory CP Amer Hldgs	A	Dividend			Sold	03/30/23	J	A	
121. Las Vegas Sands Corp	A	Dividend			Sold	03/30/23	J	A	
122. Meta Platforms Inc.	A	Dividend			Sold	03/30/23	J	A	
123. Microsoft Corp.	A	Dividend			Sold	03/30/23	J	A	
124. Mondelez Int'l Inc.	A	Dividend			Sold	03/30/23	J	A	
125. Monster Beverage SHS	A	Dividend			Sold	03/30/23	J	A	
126. Norfolk Southern Corp	A	Dividend			Sold	03/30/23	J	A	
127. Otis Worldwide Corp	A	Dividend			Sold	03/30/23	J	A	
128. Progressive CRP	A	Dividend			Sold	03/30/23	J	A	
129. Reinsurance Grp. America	A	Dividend			Sold	03/30/23	J	A	
130. Ross Stores Inc.	A	Dividend			Sold	03/30/23	J	A	
131. Sanofi ADR	A	Dividend			Sold	03/30/23	J	A	
132. Schwab Charles Corp	A	Dividend			Sold	03/30/23	J	A	
133. Sealed Air Corp	A	Dividend			Sold	03/30/23	J	A	
134. Unilever PLC	A	Dividend			Sold	03/30/23	J	A	
135. United Health Grp	A	Dividend			Sold	03/30/23	J	A	
136. Veeva Sys Inc	A	Dividend			Sold	03/30/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Voya Finl Inc	A	Dividend			Sold	03/30/23	J	A	
138. World Wrestling	A	Dividend			Sold	03/30/23	J	A	
139. 1st Citzns BncShs Inc.	A	Dividend			Sold	03/30/23	J	A	
140. Merrill Lynch Money Market Fd.	A	Interest	M	T					
141. Merrill Lynch Retirement Reserves	A	Interest	J	T					
142. Procter & Gamble CS	A	Dividend	J	T					
143. BanCorp South CD	A	Interest	J	T					
144. Ivy VIP Asset Strategy	A	Dividend	K	T					
145. Ivy VIP Balanced Fd.	A	Dividend	J	T					
146. Ivy Government Securities	A	Dividend	J	T					
147. Ivy Core Equity	A	Dividend	K	T					
148. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T					
149. Ivy Corporate Bond A	A	Dividend	J	T					
150. Ivy Accumulative A	A	Dividend	J	T					
151. Ivy Sci and Tech Fd.	A	Dividend	J	T					
152. Ivy Wilshire Global Allocation A	A	Dividend	K	T					
153. Utah My529 Acct (Vanguard Total Stock Market Index)	A	Dividend	M	T	Buy (add'l)	12/31/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. American Fds Grwth and Income	A	Dividend	M	T	Buy	11/06/23	M		
155. American Fds Grwth and Income	A	Dividend	M	T	Buy (add'l)	11/07/23	K		
156. American Fds Grwth and Income	A	Dividend	N	T	Buy (add'l)	11/08/23	M		
157. American Fds Grwth and Income	A	Dividend	O	T	Buy (add'l)	11/09/23	M		
158. American Fds Grwth and Income	A	Dividend	O	T	Buy (add'l)	12/12/23	J		
159. Blackrock Global Allocation Fd	A	Dividend	K	T	Buy	11/06/23	K		
160. Blackrock Global Allocation Fd	A	Dividend	J	T	Buy (add'l)	11/07/23	J		
161. Blackrock Global Allocation Fd	A	Dividend	K	T	Buy (add'l)	11/08/23	K		
162. Blackrock Global Allocation Fd	A	Dividend	K	T	Buy (add'l)	11/09/23	L		
163. Blackrock Global Allocation Fd	A	Dividend	L	T	Buy (add'l)	12/12/23	J		
164. First Eagle Global Fd	A	Dividend	K	T	Buy	11/06/23	K		
165. First Eagle Global Fd	A	Dividend	K	T	Buy	11/07/23	J		
166. First Eagle Global Fd	A	Dividend	K	T	Buy (add'l)	11/08/23	K		
167. First Eagle Global Fd	A	Dividend	K	T	Buy (add'l)	11/09/23	K		
168. First Eagle Global Fd	A	Dividend	L	T	Buy (add'l)	12/12/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	04/11/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ J. DANIEL BREEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544