AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Briccetti, Vincent L.	U.S. District Court, Southern District of New York	05/02/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 300 Quarropas Street White Plains, NY 10601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Fordham Law Alumni Association
2.	Adjunct Professor of Law	Fordham Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	05/02/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Fordham Law School teaching salary	$5,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	05/02/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Rental property #1, [redacted], NY (1993, $62,458)	E	Rent	L	R					
2.	Chase Bank, various accounts	A	Interest	L	T					
3.	Fidelity New York Municipal Income Fund (FTFMX)	A	Dividend	J	T	Sold (part)	12/29/21	J		
4.	Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					
5.	FIdelity Tax-Free Bond Fund (FTABX)	A	Dividend			Buy	01/06/21	K		
6.						Sold	12/28/21	J		
7.	NMIS General NY MM Fund Class B	A	Interest	J	T					
8.	Vanguard High Yield Tax Exempt Admiral (VWALX)	B	Dividend	K	T					
9.	Vanguard NY LT Tax-Exempt Fund Investor	A	Dividend	J	T					
10.	IRA #5 (Fidelity) (H)									
11.	- Bank of America Corp Bond	A	Interest	K	T					
12.	DFA International Small Cap Value (DISVX)	B	Dividend	L	T					
13.	Fidelity Treasury Money Market Fund (FZFXX)	A	Dividend	M	T					
14.	Fidelity Inflation Protected Bond Index (FIPDX)	A	Dividend			Sold (part)	02/10/21	K	B	
15.						Sold	03/17/21	M	D	
16.	Fidelity Intermediate Treasury Bond Fund (FUAMX)	B	Dividend	L	T	Buy	03/17/21	L		
17.	- Goldman Sachs Group Inc Medium Term Note	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Briccetti, Vincent L.	05/02/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Invesco Taxable Municpal Bond Fund (BAB)	C	Dividend	M	T					
19.	Stone Ridge US Hedged Equity Fund (VRLIX)	C	Dividend	L	T	Buy (add'l)	02/10/21	K		
20.	iShares MBS ETF (MBB)	A	Dividend	L	T	Sold (part)	04/20/21	K	A	
21.	iShares Micro-Cap (IWC)	A	Dividend	K	T					
22.	- iShares S&P 500 Index (IVV)	C	Dividend	M	T	Sold (part)	05/28/21	J	D	
23.						Sold (part)	10/28/21	J	D	
24.	JP Morgan Short Duration Core Plus ETF (JSCP)	A	Dividend	K	T	Buy	10/28/21	K		
25.	- PIMCO Income Instl (PIMIX)	C	Dividend	L	T					
26.	Stone Ridge Reinsurance Risk Premium (SRRIX)		None			Sold (part)	02/26/21	J		
27.						Sold (part)	05/21/21	J		
28.						Sold	08/20/21	J		
29.	- Vanguard Emerging Markets (VWO)	B	Dividend	L	T					
30.	IRA #6 (Fidelity) (H)									
31.	Aberdeen Asia-Pacific Income Fund (FAX)	B	Dividend			Sold	02/10/21	K	B	
32.	DoubleLine Total Return Bond Fund (DBLTX)	C	Dividend	L	T					
33.	Fidelity Treasury Money Market Fund	A	Dividend	L	T					
34.	Fidelity Total Market Index (FSKAX)	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

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	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	Invesco Taxable Municipal Bond ETF (BAB)	A	Dividend	M	T	Buy (add'l)	02/10/21	K		
36.	iShares MBS ETF (MBB)	A	Dividend			Sold	03/17/21	K		
37.	iShares Core MSCI EAFE ETF (IEFA)	B	Dividend	K	T					
38.	iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T					
39.	iShares Core S&P 500 (IVV)	A	Dividend	K	T	Sold (part)	03/17/21	K	D	
40.						Sold (part)	09/16/21	K	D	
41.	iShares Core S&P US Value (IUSV)	B	Dividend	L	T					
42.	iShares Core S&P Small-Cap (IJR)	A	Dividend	K	T					
43.	iShares Trust CMBS ETF (CMBS)	B	Dividend	L	T	Buy	03/17/21	K		
44.						Buy (add'l)	04/20/21	K		
45.	JPMorgan Ultra-Short Income ETF (JPST)	A	Dividend	K	T	Sold (part)	03/17/21	K	A	
46.	Nuveen Credit Strategies Income Fund (JQC)	A	Dividend			Sold	02/10/21	K	C	
47.	PIMCOO Investment Grade Credit Bond Fund (PIGIX)	C	Dividend	L	T	Buy (add'l)	03/17/21	L		
48.	Vanguard GNMA Admiral (VFIJX)	B	Dividend	M	T					
49.	Wisdoem Tree Emerging Markets Small Cap Dividend Fund	A	Dividend	J	T	Buy	09/16/21	J		
50.	Northwestern Mutual Whole Life Policy	C	Dividend	M	T					
51.	Northwestern Paid Up Life Insurance Policy #1	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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52. Northwestern Paid Up Life Insurance Policy #2	C	Dividend	M	T					
53.									
54. IRA #7 (Fidelity) (H)									
55. Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					
56. iShares Trust Core MSCI EAFE (IEFA)	A	Dividend	J	T					
57. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T					
58. iShares 0-5 Year Invstment Grade Corporate (SLQD)	A	Dividend	K	T					
59. iShares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					
60. NY 529 #1 (H)									
61. Aggressive Growth Portfolio		None	J	T	Buy (add'l)	01/14/21	J		
62.					Buy (add'l)	02/12/21	J		
63.					Buy (add'l)	03/12/21	J		
64.					Buy (add'l)	04/14/21	J		
65.					Buy (add'l)	05/14/21	J		
66.					Buy (add'l)	06/14/21	J		
67.					Buy (add'l)	07/14/21	J		
68.					Buy (add'l)	08/13/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Buy (add'l)	09/14/21	J		
70.					Buy (add'l)	10/14/21	J		
71.					Buy (add'l)	11/12/21	J		
72.					Buy (add'l)	12/14/21	J		
73. Bond Market Index Portfolio		None	J	T	Buy (add'l)	01/14/21	J		
74.					Buy (add'l)	02/12/21	J		
75.					Buy (add'l)	03/12/21	J		
76.					Buy (add'l)	04/14/21	J		
77.					Buy (add'l)	05/14/21	J		
78.					Buy (add'l)	06/14/21	J		
79.					Buy (add'l)	07/14/21	J		
80.					Buy (add'l)	08/13/21	J		
81.					Buy (add'l)	09/14/21	J		
82.					Buy (add'l)	10/14/21	J		
83.					Buy (add'l)	11/12/21	J		
84.					Buy (add'l)	12/14/21	J		
85. NY 529 #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86. Aggressive Growth Portfolio		None	J	T	Buy	08/19/21	J		
87.					Buy (add'l)	09/14/21	J		
88.					Buy (add'l)	10/14/21	J		
89.					Buy (add'l)	11/12/21	J		
90.					Buy (add'l)	12/14/21	J		
91. Bond Market Index Portfolio		None	J	T	Buy	08/19/21	J		
92.					Buy (add'l)	09/14/21	J		
93.					Buy (add'l)	10/14/21	J		
94.					Buy (add'l)	11/12/21	J		
95.					Buy (add'l)	12/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	05/02/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Vincent L. Briccetti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544