AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Briccetti, Vincent L.	U.S. District Court, Southern District of New York	04/28/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 300 Quarropas Street White Plains, NY 10601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Fordham Law Alumni Association
2.	Adjunct Professor of Law	Fordham Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Fordham Law School teaching salary	$5,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Philip M. Halpern	Golf Lessons	$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	04/28/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Rental property #1, Westchester County, NY (1993, $62,458)	E	Rent	L	R					
2.	Chase Bank, various accounts	A	Interest	K	T					
3.	Fidelity New York Municipal Income Fund (FTFMX)	A	Dividend			Sold	04/18/22	J		
4.	Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					
5.	NMIS General NY MM Fund Class B	A	Interest			Sold	05/05/22	J		
6.	Vanguard High Yield Tax Exempt Admiral (VWALX)	B	Dividend	K	T					
7.	Vanguard NY LT Tax-Exempt Fund Investor	A	Dividend	J	T					
8.	IRA #5 (Fidelity) (H)									
9.	- Bank of America Corp Bond	A	Interest	K	T					
10.	DFA International Small Cap Value (DISVX)	B	Dividend	L	T					
11.	Fidelity Treasury Money Market Fund (FZFXX)	A	Dividend	M	T					
12.	Fidelity Inflation Protected Bond Index (FIPDX)	A	Dividend	L	T	Buy	07/19/22	K		
13.						Buy (add'l)	08/11/22	K		
14.	Fidelity Intermediate Treasury Bond Fund (FUAMX)	B	Dividend			Sold (part)	07/19/22	K		
15.						Sold	08/11/22	L		
16.	Fidelity Short-Term Treasury Bond Index Fund (FUMBX)	A	Dividend	K	T	Buy	02/24/22	L		
17.						Sold (part)	09/08/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18.	- Goldman Sachs Group Inc Medium Term Note	B	Interest	K	T					
19.	Invesco Taxable Municpal Bond Fund (BAB)	C	Dividend	M	T					
20.	Stone Ridge US Hedged Equity Fund (VRLIX)	C	Dividend	L	T					
21.	iShares MBS ETF (MBB)	B	Dividend	M	T	Buy (add'l)	07/19/22	K		
22.	iShares Micro-Cap (IWC)	A	Dividend	K	T					
23.	- iShares S&P 500 Index (IVV)	C	Dividend	M	T					
24.	iShares 0-5 Year TIPS Bond ETF	A	Dividend	K	T	Buy	09/08/22	K		
25.	JP Morgan Short Duration Core Plus ETF (JSCP)	A	Dividend			Sold	05/10/22	K		
26.	- PIMCO Income Instl (PIMIX)	D	Dividend	L	T					
27.	- Vanguard Emerging Markets (VWO)	B	Dividend	L	T					
28.	Vanguard Intermediate-Term Investment Grade Fund (VFIDX)	B	Dividend	L	T	Buy	01/12/22	K		
29.						Buy (add'l)	02/24/22	L		
30.						Buy (add'l)	05/10/22	K		
31.						Sold (part)	07/19/22	K		
32.	IRA #6 (Fidelity) (H)									
33.	DoubleLine Total Return Bond Fund (DBLTX)	C	Dividend	L	T					
34.	Fidelity Treasury Money Market Fund	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Fidelity Total Market Index (FSKAX)	B	Dividend	M	T					
36. Invesco Taxable Municipal Bond ETF (BAB)	B	Dividend	K	T					
37. iShares Core MSCI EAFE ETF (IEFA)	B	Dividend	K	T					
38. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T					
39. iShares Core S&P 500 (IVV)	A	Dividend	K	T	Buy (add'l)	06/15/22	J		
40. iShares Core S&P US Value (IUSV)	B	Dividend	K	T					
41. iShares Core S&P Small-Cap (IJR)	A	Dividend	K	T	Buy (add'l)	05/10/22	J		
42. iShares Trust CMBS ETF (CMBS)	B	Dividend	L	T					
43. iShares 20+ Year Treasury Bond BuyWrite Strategy (TLTW)	B	Dividend	K	T	Buy	11/17/22	K		
44. JPMorgan Ultra-Short Income ETF (JPST)	A	Dividend			Sold	03/30/22	K		
45. PIMCOO Investment Grade Credit Bond Fund (PIGIX)	C	Dividend	L	T	Sold (part)	11/17/22	K		
46.					Buy (add'l)	03/30/22	K		
47. Vanguard GNMA Admiral (VFIJX)	C	Dividend	M	T					
48. Vanguard Long-Term Treasury Index Fund (VGLT)	A	Dividend	K	T	Buy	10/13/22	K		
49. Wisdom Tree Emerging Markets Small Cap Dividend Fund	A	Dividend	K	T	Buy (add'l)	06/15/22	J		
50. Northwestern Mutual Whole Life Policy	C	Dividend	M	T					
51. Northwestern Paid Up Life Insurance Policy #1	B	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Northwestern Paid Up Life Insurance Policy #2	C	Dividend	M	T					
53.									
54. IRA #7 (Fidelity) (H)									
55. Fidelity Treasury Fund (FZFXX)	A	Dividend	K	T					
56. iShares Trust Core MSCI EAFE (IEFA)	A	Dividend	J	T					
57. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	J	T					
58. iShares 0-5 Year Invstment Grade Corporate (SLQD)	A	Dividend			Sold	05/10/22	K		
59. iShares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					
60. NY 529 #1 (H)									
61. Aggressive Growth Portfolio		None	J	T	Buy (add'l)	01/14/22	J		
62.					Buy (add'l)	02/14/22	J		
63.					Buy (add'l)	03/14/22	J		
64.					Buy (add'l)	04/14/22	J		
65.					Buy (add'l)	05/13/22	J		
66.					Buy (add'l)	06/14/22	J		
67.					Buy (add'l)	07/14/22	J		
68.					Buy (add'l)	08/12/22	J		

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69.					Buy (add'l)	09/14/22	J		
70.					Buy (add'l)	10/14/22	J		
71.					Buy (add'l)	11/14/22	J		
72.					Buy (add'l)	12/14/22	J		
73. Bond Market Index Portfolio		None	J	T	Buy (add'l)	01/14/22	J		
74.					Buy (add'l)	02/14/22	J		
75.					Buy (add'l)	03/14/22	J		
76.					Buy (add'l)	04/14/22	J		
77.					Buy (add'l)	05/13/22	J		
78.					Buy (add'l)	06/14/22	J		
79.					Buy (add'l)	07/14/22	J		
80.					Buy (add'l)	08/12/22	J		
81.					Buy (add'l)	09/14/22	J		
82.					Buy (add'l)	10/14/22	J		
83.					Buy (add'l)	11/14/22	J		
84.					Buy (add'l)	12/14/22	J		
85. NY 529 #2 (H)									

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86. Aggressive Growth Portfolio		None	J	T	Buy (add'l)	01/14/22	J		
87.					Buy (add'l)	02/14/22	J		
88.					Buy (add'l)	03/14/22	J		
89.					Buy (add'l)	04/14/22	J		
90.					Buy (add'l)	05/13/22	J		
91.					Buy (add'l)	06/14/22	J		
92.					Buy (add'l)	07/14/22	J		
93.					Buy (add'l)	08/12/22	J		
94.					Buy (add'l)	09/14/22	J		
95.					Buy (add'l)	10/14/22	J		
96.					Buy (add'l)	11/14/22	J		
97.					Buy (add'l)	12/14/22	J		
98. Bond Market Index Portfolio		None	J	T	Buy (add'l)	01/14/22	J		
99.					Buy (add'l)	02/14/22	J		
100.					Buy (add'l)	03/14/22	J		
101.					Buy (add'l)	04/14/22	J		
102.					Buy (add'l)	05/13/22	J		

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103.					Buy (add'l)	06/14/22	J		
104.					Buy (add'l)	07/14/22	J		
105.					Buy (add'l)	08/12/22	J		
106.					Buy (add'l)	09/14/22	J		
107.					Buy (add'l)	10/14/22	J		
108.					Buy (add'l)	11/14/22	J		
109.					Buy (add'l)	12/14/22	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Vincent L. Briccetti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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