Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Briccetti, Vincent L.
U.S. District Court - New York Southern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Briccetti, Vincent L. [electronically signed on Apr 15, 2026 10:19am by Briccetti, Vincent L. in JEFS]

I. Positions

	ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
1.	Fordham Law School	University/College	Adjunct Professor of Law
2.	Fordham Law Alumni Association	University/College	Director

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

	SOURCE	INCOME TYPE	INCOME AMOUNT	HONORARIUM DATE
1.	Fordham Law School	Teaching Income	$10,625.00	

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Hon. William C. Conner Inn of Co	Jan 22, 2025	Jan 22, 2025	New York, NY	Received award from Bar Association	Uber to and from event

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**Rental property #1, Westchester County, NY (1993, $62,458)**	$5,001 - $15,000	Rent	$50,001 - $100,000	Cost (Real Estate Only)
2.	**Chase Bank, various accounts**	$1,000 or less	Interest	$100,001 - $250,000	Cash/Market
3.	**Fidelity Treasury Only Fund (FDLXX)**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Additional Explanation: Money market fund in this account changed from FZFXX to FDLXX				
4.	**Vanguard NY LT Tax- Fund Investor**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
5.	**(H) IRA #5 (Fidelity) (H)**				
5-A.	- Bank of America Corp Bond	$1,000 or less	Interest		
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Matured / Apr 21, 2025 / $15,001 - $50,000				
5-B.	- Goldman Sachs Group Inc Medium Term Note	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
5-C.	Invesco Taxable Municpal Bond Fund (BAB)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy (add'l) / Feb 13, 2025 / $15,000 or less				
5-D.	Angel Oak Funds Trust Income ETF (CARY)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy (add'l) / Oct 28, 2025 / $15,000 or less				
5-E.	iShares CMBS ETF (CMBS)	$1,000 or less	Dividend		
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Sold / Jun 25, 2025 / $15,001 - $50,000				
5-F.	Dimensional US Small Cap ETF (DFAS)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
5-G.	Dimensional International Value ETF (DFIV)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Sold (part) / Oct 28, 2025 / $15,000 or less				
5-H.	Dimensional Short Duration Fixed Income ETF (DFSD)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy (add'l) / May 19, 2025 / $15,001 - $50,000				
	(2). Buy / Mar 18, 2025 / $15,000 or less				
5-I.	DFA International Small Cap Value (DISVX)	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
5-J.	DoubleLine Mortgage ETF (DMBS)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
5-K.	Fidelity Inflation Protected Bond Index (FIPDX)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 18, 2025	$15,001 - $50,000

5-L.	Fidelity Treasury Money Market Fund (FZFXX)	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market
5-M.	iShares Core MSCI EAFE ETF (IEFA)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
5-N.	iShares S&P 500 Index (IVV)	$2,501 - $5,000	Dividend	$250,001 - $500,000	Cash/Market
5-O.	Janus Henderson AAA CLO Fund (JAAA)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
5-P.	Simplify Mortgage Backed Securities (MTBA)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Buy (add'l)	Aug 5, 2025	$15,001 - $50,000
(3).	Sold (part)	Mar 18, 2025	$15,001 - $50,000
(4).	Buy (add'l)	Jan 6, 2025	$15,000 or less

5-Q.	PIMCO Income Instl (PIMIX)	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
5-R.	Schwab US REIT ETF (SCHH)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
5-S.	iShares 0-3 Month Treasury Bond ETF (SGOV)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 25, 2025	$15,001 - $50,000
(2).	Sold	Aug 5, 2025	$15,001 - $50,000

5-T.	iShares 0-5 Year TIPS Bond ETF (STIP)	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 18, 2025	$15,001 - $50,000

5-U.	iShares 20+ Year Treasury Bond BuyWrite Strategy (TLTW)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Feb 13, 2025	$15,001 - $50,000

5-V.	Vanguard GNMA Fund (VFIJX)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
5-W.	Vanguard Long-Term Treasury Index Fund (VGLT)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Feb 13, 2025	$15,001 - $50,000

5-X.	Vanguard Emerging Markets (VWO)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market

6.	**(H) IRA #6 (Fidelity) (H)**				
6-A.	Avantis US Equity ETF (AVUS)	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
6-B.	Invesco Taxable Municipal Bond ETF (BAB)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
6-C.	Pioneer CAT Bond Y (CBYYX)	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Feb 13, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Oct 28, 2025	$15,000 or less
(3).	Buy (add'l)	Dec 2, 2025	$15,000 or less

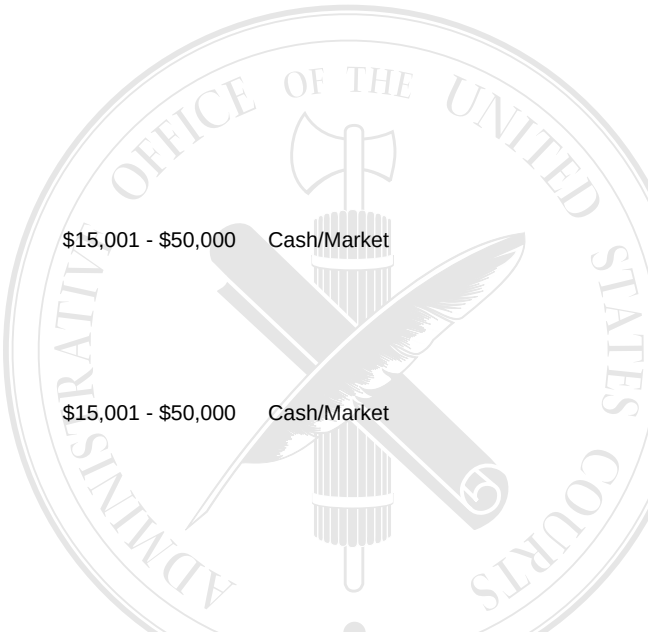
6-D.	iShares Trust CMBS ETF (CMBS)	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 25, 2025	$50,001 - $100,000

6-E.	DoubleLine ETF Trust Commercial Real Estate Debt ETF (DCRE)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Sep 16, 2025	$15,000 or less
(2).	Buy	Jun 25, 2025	$15,001 - $50,000

6-F.	DFA Emerging Markets Core ETF (DFAE)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Oct 28, 2025	$15,000 or less
(2).	Sold (part)	Sep 16, 2025	$15,000 or less
(3).	Buy (add'l)	Apr 8, 2025	$15,000 or less

6-G.	Dimensional International Core ETF (DFAI)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 12, 2025	$15,000 or less

6-H.	Dimensional US Small Cap Value ETF (DFSV)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 12, 2025	$15,000 or less
(2).	Buy (add'l)	Apr 8, 2025	$15,000 or less

6-I.	Wisdom Tree Emerging Markets Small Cap Dividend Fund (DGS)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
6-J.	DoubleLine Mortgage ETF (DMBS)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Sep 16, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Aug 5, 2025	$15,000 or less

6-K.	Fidelity Treasury Money Market Fund (FZFXX)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-L.	iShares Core MSCI EAFE ETF (IEFA)	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 18, 2025	$15,000 or less

6-M.	iShares Core S&P Small-Cap (IJR)	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
6-N.	iShares Core S&P US Value (IUSV)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
6-O.	iShares Core S&P 500 (IVV)	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Sep 16, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Mar 18, 2025	$15,000 or less

6-P.	Simplify Mortgage Backed Securities (MTBA)	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 12, 2025	$15,000 or less

6-Q.	Schwab US TIPS (SCHP)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Sep 16, 2025	$15,000 or less

6-R.	iShares 0-3 Month Treasury Bond ETF (SGOV)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Sep 16, 2025	$15,001 - $50,000
(2).	Buy	Jun 25, 2025	$15,001 - $50,000

6-S.	Stone Ridge High Yield Reinsurance Risk Premium (SHRIX)	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
6-T.	iShares 0-5 Year TIPS Bond ETF (STIP)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 8, 2025	$15,000 or less
(2).	Buy (add'l)	Sep 16, 2025	$15,000 or less

6-U.	iShares 20+ Year Treasury Bond BuyWrite Strategy (TLTW)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Feb 13, 2025	$15,001 - $50,000

6-V.	Vanguard GNMA Admiral (VFIJX)	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
6-W.	Vanguard Long-Term Treasury Index Fund (VGLT)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 6, 2025	$15,000 or less

7. **(H) IRA #7 (Fidelity) (H)**

7-A.	DFA Emerging Markets Value ETF (DFEV)	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	May 19, 2025	$15,000 or less

7-B.	Fidelity Treasury Fund (FZFXX)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
7-C.	iShares Trust Core MSCI EAFE (IEFA)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
7-D.	iShares Core S&P US Value ETF (IUSV)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 13, 2025	$15,000 or less
(2).	Sold (part)	May 19, 2025	$15,000 or less

7-E.	Schwab US TIPS (SCHP)	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 19, 2025	$15,000 or less

7-F.	Vanguard Long-Term Treasury Index Fund ETF (VGLT)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 19, 2025	$15,000 or less

8. **(H) NY 529 #1 (H)**

8-A.	Global Equity Portfolio	None	

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 15, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Sold	Dec 22, 2025	$15,001 - $50,000

8-B.	Bond Market Index Portfolio		None	$15,000 or less	Cash/Market



DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Buy (add'l)	Dec 22, 2025	$15,000 or less

8-C. US Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

8-D. International Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

9. **(H) NY 529 #2 (H)**

9-A. Global Equity Portfolio — None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Sold	Dec 22, 2025	$15,000 or less

9-B. Bond Market Index Portfolio — None — $15,000 or less — Cash/Market

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(2).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(3).	Buy (add'l)	May 14, 2025	$15,000 or less
(4).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(5).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(6).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(7).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(8).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(9).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(10).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(11).	Buy (add'l)	Dec 22, 2025	$15,000 or less
(12).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(13).	Buy (add'l)	Jan 14, 2025	$15,000 or less

9-C. US Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

9-D. International Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

10. **(H) NY 529 #3 (H)**

10-A. Global Equity Portfolio — None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Sold	Dec 22, 2025	$15,000 or less

10-B. Bond Market Index Portfolio — None — $15,000 or less — Cash/Market

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Buy (add'l)	Dec 22, 2025	$15,000 or less

10-C. US Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

10-D. International Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

11. **(H) NY 529 #4**

11-A. Global Equity Portfolio — None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Sold	Dec 22, 2025	$15,000 or less

11-B. Bond Market Index Portfolio — None — $15,000 or less — Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 14, 2025	$15,000 or less
(2).	Buy (add'l)	Feb 14, 2025	$15,000 or less
(3).	Buy (add'l)	Mar 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 14, 2025	$15,000 or less
(6).	Buy (add'l)	Jun 13, 2025	$15,000 or less
(7).	Buy (add'l)	Jul 14, 2025	$15,000 or less
(8).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(9).	Buy (add'l)	Sep 12, 2025	$15,000 or less
(10).	Buy (add'l)	Oct 14, 2025	$15,000 or less
(11).	Buy (add'l)	Nov 14, 2025	$15,000 or less
(12).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(13).	Buy (add'l)	Dec 22, 2025	$15,000 or less

11-C. US Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

11-D. International Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 22, 2025	$15,000 or less

12. **(H) NY 529 #5**

12-A. Bond Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Nov 19, 2025	$15,000 or less
(2).	Buy (add'l)	Dec 12, 2025	$15,000 or less

12-B. US Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Nov 19, 2025	$15,000 or less
(2).	Buy (add'l)	Dec 12, 2025	$15,000 or less

12-C. International Stock Market Index Portfolio — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Nov 19, 2025	$15,000 or less
(2).	Buy (add'l)	Dec 12, 2025	$15,000 or less

13.	**Northwestern Mutual Whole Life Policy**	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
14.	**Northwestern Paid Up Life Insurance Policy #2**	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
15.	**Northwestern Paid Up Life Insurance Policy #1**	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

VIII. Additional Information or Explanations

I do not have any Additional Information to report
No entries in this section