AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brimmer, Philip A.	U.S. District Court, Colorado	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
901 19th Street Denver, CO 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Executive Committee	Colorado IP Inn of Court
2.	Successor co-trustee	[redacted] Trust
3.	Co-trustee	[redacted] Trust
4.	Co-personal representative (i.e. co-executor)	[redacted] Estate
5.	Manager and member	Family LLC #1

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	Denver Employees Retirement Plan; pension at age 55; no control.
2.		
3.		

Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Denver Employees Retirement Plan	$7,856.13
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2021	Self-employed behavioral scientist
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	FedLoan Servicing	Student Loan	L
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One 360	A	Interest	J	T					
2.									
3. Invesco (Van Kampen) High Yield Municipal Fund A	A	Dividend	J	T					
4. Invesco (Van Kampen) Equity & Income	B	Dividend	J	T					
5. Invesco (Van Kampen) Comstock Class A (IRA)	B	Dividend	J	T					
6. Invesco (Van Kampen) Mid Cap Growth Fund A (IRA)	D	Dividend	K	T					
7. Invesco (Van Kampen) Developing Markets Class A (IRA)	A	Dividend	J	T					
8. Vanguard 500 Index	E	Dividend	P1	T					
9. Vanguard Intermediate Term Bond	B	Dividend	L	T					
10. Vanguard Global Capital Cycles	A	Dividend	K	T					
11. Vanguard REIT Index	B	Dividend	L	T					
12. Vanguard Total Internat'l Stock	A	Dividend	K	T					
13. Vanguard Long-Term Tax Exempt Bond	B	Dividend	K	T					
14. Vanguard Prime Money Market (IRA)	A	Dividend	K	T					
15. Vanguard Long-Term Bond Index (IRA)	A	Dividend	K	T					
16. Vanguard Extended Market Index (IRA)	D	Dividend	O	T					
17. Vanguard 500 Index (Roth IRA)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Pacific Index (Roth IRA)	A	Dividend	J	T					
19. Vanguard Windsor II (IRA)	E	Dividend	N	T					
20. Vanguard Total Stock Market Index (Roth IRA)	A	Dividend	J	T					
21. Berkshire Hathaway Class B		None	M	T					
22. Vanguard Prime Money Market (rollover IRA)	A	Dividend	L	T					
23. CollegeInvest Direct Portfolio 529 Plan, Mod. Age-Based Option (#1)		None	N	T					
24. CollegeInvest Direct Portfolio 529 Plan, Mod. Age-Based Option (#2)		None	N	T					
25.									
26.									
27. Vanguard 500	A	Dividend	J	T					
28. Vanguard Long-Term Tax-Exempt Bond	B	Dividend	L	T					
29. Vanguard Windsor	E	Dividend	N	T					
30. Vanguard Windsor IRA	B	Dividend	K	T	Sold (part)	12/01/21	J		
31. RBC Bank Deposit Program	A	Interest	M	T					
32. BP PLC	A	Dividend	K	T					
33. CVX	B	Dividend	K	T					
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Brimmer, Philip A.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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35.										
36.										
37.	American Funds - Europacific Growth Fund FD (AEPGX)	C	Dividend	K	T					
38.	XOM	B	Dividend	K	T					
39.										
40.										
41.	INTC	A	Dividend	J	T					
42.										
43.	KEY	A	Dividend	K	T					
44.	MSFT	A	Dividend	K	T					
45.										
46.										
47.	Lost Soldier Field (mineral interest, Sweetwater Cty, WY) ((LLC #1))	D	Royalty	N	W					
48.	South Baggs Field (mineral interest, Carbon Cty, WY) (LLC #1)	A	Royalty	J	W					
49.	Medicine Bow Field (mineral interest, Carbon Cty, WY)(LLC #1)	A	Royalty	J	W					
50.	Battle Mtn Field (mineral interest, Moffat Cty, CO) (LLC #1)	A	Royalty	J	W					
51.	Robbers Dog Field (mineral interest, Carbon Cty, WY) (LLC #1)	A	Royalty	J	W					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Lost Mahoney Dome Field (mineral interest, Carbon Cty, WY) (LLC #1)	A	Royalty	J	W					
53. Mineral working interest, W. Vir., Logan Cty (LLC #1)	A	Royalty	J	W					
54. Big Hole Land Bank (Moffat Cty, CO) (LLC #1)	A	Royalty	J	W					
55. Crooks Gap Field (mineral interest, Fremont Cty, WY) (LLC #1)	A	Royalty	J	W					
56. Nonprod. mineral int. Carbon, Fremont, Sweetwater Ctys, WY (LLC #1)		None	J	W					
57. Nonproducing mineral int. in Routt and Moffat Ctys, CO (LLC #1)		None	J	W					
58. Residential property near Centenial, WY (1/6 interest)		None	M	W					
59.									
60.									
61. Jonah Bank checking account (CAB estate)	A	Interest	K	T					
62. Vanguard Intermediate Term Bond (IRA)	A	Dividend	J	T					
63. Vanguard Total Stock Market Index (IRA)	A	Dividend	K	T					
64. Vanguard Federal Money Market Fund (SEP IRA)	A	Dividend	L	T					
65. Vanguard FTSE All-World ex-US Index Fund (SEP IRA)	A	Dividend	J	T	Buy (add'l)	12/30/21	J		
66. Vanguard Small Cap Index Fund (SEP IRA)	A	Distribution	K	T	Buy (add'l)	12/30/21	J		
67. Vanguard Total Stock Index (SEP IRA)	A	Distribution	K	T	Buy (add'l)	12/30/21	J		
68. Vanguard Small-Cap Growth Index	A	Dividend	K	T					

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Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I, line 2: [redacted] trust contains no assets.

Section III.A (Non-Investment Income) -- I received income during the reporting period from employment by the United States.

Name of Person Reporting	Date of Report
Brimmer, Philip A.	05/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Philip A. Brimmer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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