AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Briscoe, Mary B.	Tenth Circuit Court of Appeals	03/07/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
645 Massachusetts Suite 400 Lawrence, KS 66044		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1984-2023	Kansas Public Employees Retirement System (KPERS) - Judges' Retirement Program - vested
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	KS Public Employees Retirement System - Judge's Retirement Program	$37,634.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Allspring Diversidied Income Builder Fund Class A EKSAX--(WellsFargo)	D	Dividend	M	T	Sold (part)	09/20/23	J		
2.					Sold (part)	12/08/23	J		
3. American Funds Tax Advantage TAIFX	C	Dividend	L	T					
4. Baird Agg Bond Fund BAGSX	C	Dividend	M	T	Buy	09/15/23	J		
5.					Sold (part)	12/11/23	J		
6. BlackRock iShares Barclays Aggregate Bond Fund AGG	D	Dividend	N	T	Buy	11/30/23	J		
7.					Buy	11/30/23	J		
8.					Buy	11/30/23	J		
9.					Buy	12/01/23	K		
10. BlackRock iShares Core MSCI Emerging Markets ETF IEMG	A	Dividend	K	T	Buy	02/06/23	K		
11.					Sold (part)	09/15/23	J	B	
12. BlackRock iShares JP Morgan USD Emerging Markets Bond ETF EMB		None			Buy	02/06/23	K		
13.					Sold	05/29/23	K		
14. BlackRock iShares National Muni Bond ETF MUB	C	Dividend	M	T	Buy	02/06/23	L		
15.					Sold	10/03/23	K		
16.					Buy	12/01/23	L		
17. BlackRock iShares Russell 1000 Value ETF IWD	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. BlackRock iShares S&P 500 Growth Index IVW	B	Dividend	M	T	Buy	03/08/23	K		
19.					Buy	10/03/23	J		
20.					Sold (part)	12/11/23	J		
21. BlackRock iShares S&P 500 Value IVE	A	Dividend	K	T	Buy	09/15/23	J		
22.					Buy	09/28/23	J		
23.					Buy	10/03/23	J		
24.					Sold (part)	12/11/23	J		
25. BlackRock iShares S&P MidCap 400 Growth IJK	A	Dividend	J	T					
26. BlackRock iShares S&P MidCap 400 Value ETF IJJ	A	Dividend	J	T	Buy	09/28/23	J		
27. BlackRock iShares S&P 500 SmallCap 600 Index IJR	A	Dividend			Buy	02/06/23	J		
28.					Sold (part)	05/09/23	J		
29.					Sold	05/09/23	J		
30. BlackRock iShares TR/CORE MSCI EAFE ETF IEFA	A	Dividend	K	T	Buy	02/06/23	J		
31.					Buy	10/03/23	J		
32.					Sold (part)	12/11/23	J		
33. BlackRock iShares Intl Aggregate Bond IAGG	C	Dividend			Buy	02/06/23	K		
34.					Sold (part)	10/03/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Buy	12/01/23	J		
36.					Sold	12/11/23	J		
37. BlackRock iShares Trust CMBS ETF	B	Dividend	L	T					
38. BlackRock iShares Gold Trust IAU		None			Sold (part)	10/03/23	K		
39.					Sold	10/03/23	K		
40. BlackRock MidCap Growth CMGIX		None	J	T					
41. CenterPoint Energy common stock CNP	B	Dividend	L	T					
42. Columbia Capital Allocation Moderate Porfolio ABUAX	B	Dividend	L	T	Sold (part)	12/08/23	J		
43. Columbia Income Builder Fund RBBAX	B	Dividend	K	T	Sold (part)	12/08/23	J		
44. Dodge & Cox Income Fund DODIX	C	Dividend	M	T					
45. DoubleLine Total Return Bond Fund Class N DLTNX	D	Dividend	N	T	Sold (part)	09/20/23	J		
46. Eaton Vance Floating Rate Advantage Fund Class A EAFAX	C	Dividend	L	T	Sold (part)	12/08/23	J		
47.					Sold (part)	12/08/23	J		
48. Fidelity Investment Grade Bond Fund FBNDX	D	Dividend	M	T					
49. Fidelity Low Priced Stock Fund FLPSX	C	Dividend	K	T					
50. Fidelity Puritan FPURX	C	Dividend	L	T					
51. Fidelity Total Bond Fund FTBFX	D	Dividend	M	T	Sold (part)	09/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. Fidelity US Bond Index Fund FXNAX	A	Dividend			Sold	08/22/23	K		
53. Fidelity VIP Bond Index FBIQC		None	K	T	Buy	09/15/23	K		
54. Fidelity VIP Overseas FEMMC		None	M	T	Sold (part)	09/15/23	J		
55. Fidelity VIP Govt Money Mkt FTNJC		None			Sold	09/15/23	J		
56. Harbor Bond Admin CL HABDX	B	Dividend	K	T					
57. Harbor Small Cap Value HSVRX		None			Sold	05/09/23	J	B	
58. Invesco Oppenheimer Rochester AMT-Free Muni OPTAX	C	Dividend	L	T					
59. Metropolitan West Total Return Bond Fund MWTRX	D	Dividend	M	T	Sold (part)	11/30/23	J		
60. Performance Trust Strategic Bond Fund PTIAX	C	Dividend	L	T					
61. PIMCO Income Fund Class A PONAX	D	Dividend	M	T	Sold (part)	09/20/23	J		
62.					Sold (part)	12/08/23	J		
63. PIMCO Intl Bd (U.S. Dollar-hedged) I-3 PFONX	B	Dividend	M	T	Buy	02/06/23	J		
64.					Sold (part)	12/11/23	J		
65. PIMCO Total Return Fund CL I3 PTTNX	B	Dividend	K	T					
66. PIMCO VIT Total Return FPOBC		None	K	T	Open	09/15/23	K		
67. Principal Equity Income Fund PEIIX	C	Dividend	M	T	Sold (part)	02/06/23	J	D	
68. The Hartford Intl Opportunity Fund IHOIX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. Vanguard Life Strategy VASIX	B	Dividend	K	T	Sold (part)	12/08/23	J		
70. Vanguard Short Term VFSTX	D	Dividend	M	T					
71. Voya Cr Fund VCFAX	B	Dividend	L	T					
72. Capitol Federal Savings Bank: cash accounts	E	Interest	O	T					
73. Farmers and Drovers Bank: cash accounts	B	Interest	M	T					
74. Fidelity Core Accounts: cash reserve accounts	D	Interest	M	T					
75. Wells Fargo Bank NA: cash accounts		None	L	T	Buy	09/22/23	K		
76.					Buy	09/22/23	K		
77.					Buy	12/21/23	K		
78. Morgan Stanley Bank NA: cash account		None	K	T	Buy	11/02/23	K		
79. Morgan Stanley Private Bank: cash account		None	K	T	Buy	11/02/23	K		
80. Discover Bank NA: cash account		None	K	T	Buy	09/21/23	K		
81. Bank of America: cash accounts		None	K	T	Buy	10/30/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/07/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary B. Briscoe

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544