AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brookman, Matthew P.	US District Court for the Southern District of Indiana	05/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Magistrate Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
101 Martin Luther King Blvd Evansville, IN 47708		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Teaching program assistant
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Investment Account #1 (H)									
2. -AT&T	A	Dividend	J	T					
3. -Ares Capital Corp	A	Dividend	J	T					
4. -Chevron Corp	A	Dividend	J	T					
5. -ENI SPA Sponsored ADR	A	Dividend	J	T					
6. -Equinor ASA Sponsored EQNR	A	Dividend	J	T					
7. -Total SA Sponsored ADR	A	Dividend	J	T					
8. -Williams Co Inc	A	Dividend	J	T					
9. - Capital One Finl Corp	A	Dividend			Buy (add'l)	12/01/21	J		
10. - Capital One Finl Corp	A	Dividend			Redeemed	12/01/21	J	A	
11. -Public Storage	A	Dividend	J	T					
12. -Public Storage	A	Dividend			Redeemed (part)	12/30/21	J	A	
13. -US Bank (cash equivalent accounts)	A	Interest	J	T					
14. -Iron Mountain Inc.	A	Dividend	J	T					
15. -Jinkosolar Hldg Co Ltd ADR	A	Dividend			Sold	07/08/21	J	C	
16. -Diversified Healthcare Trust	A	Dividend			Sold	07/09/21	J	A	
17. -Atlantica Yield PLC	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. -Targa Resources Corp	A	Dividend	J	T					
19. -Axa Equitable Hldgs Inc.	A	Dividend	J	T					
20. -Cisco Systems Inc.	A	Dividend	J	T					
21. -Nextera Energy Partners LP	A	Dividend	J	T					
22. -Nextera Energy Partners LP	A	Dividend	J	T	Buy (add'l)	07/09/21	J		
23. -Oneok Inc.	B	Dividend	K	T					
24. -Hercules Capital Inc.	A	Dividend	J	T					
25. Clearway Energy	A	Dividend	J	T	Buy	07/09/21	J		
26. B2Gold Corp	A	Dividend	J	T	Buy	01/26/21	J		
27. Investment Account #2 (H)									
28. -Energy Transfer Partners	A	Dividend	J	T					
29. -AT&T	A	Dividend			Sold	12/07/21	J	A	
30. -Annaly Capital (Y)									
31. -Ares Cap					Sold	12/07/21	J	A	
32. -Capstead Mortgage					Redeemed	10/19/21	J	A	
33. -ENI SPA Sponsored ADR	A	Dividend	J	T					
34. -Total SA	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35. -Verizon	A	Dividend	J	T					
36. -US Treasury Notes	A	Int./Div.	K	T	Sold (part)	06/30/21	J	A	
37. -US Bank (cash-equivalent accounts)	A	Interest	J	T					
38. -Williams Companies Inc	A	Dividend	J	T					
39. -Atlantica Yield PLC	A	Dividend	J	T					
40. -Targa Resources Corp (Y)									
41. -Midfirst Bank	A	Dividend			Sold	12/27/21	J	A	
42. -American Litium Corp (Y)									
43. -EXXON Mobile Corp (Y)									
44. Investment Account #3 (H)									
45. -AT&T	A	Dividend	J	T					
46. -Annaly Capital (Y)									
47. -Capstead Mortgage					Redeemed	10/19/21	J	A	
48. -Energy Transfer Partners (Y)									
49. -Equinor ASA Sponsored EQNR	A	Dividend	J	T					
50. -Verizon	A	Dividend	J	T					
51. -Wisdomtree High Div Fund	A	Dividend	J	T					

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H1 =$1,000,001 - $5,000,000
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2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
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M =$100,001 - $250,000
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52. -Public Storage	A	Dividend	J	T					
53. -Kimco Realty Corp	A	Dividend	J	T					
54. -Oneok Inc	A	Dividend	J	T					
55. -US Bank (cash-equivalent accounts)	A	Interest	J	T					
56. -Enbridge Transfer LP	A	Dividend	J	T					
57. -Atlantica Yield PLC (Y)									
58. -Axa Equitable Hldgs Inc	A	Dividend	J	T					
59. -Targa Resources Corp (Y)									
60. IRA #1 (H)									
61. -Putnam Growth Opportunities - A/IRA	A	Dividend	J	T					
62. -Putnam Research Fund - A/IRA	A	Dividend	J	T					
63. IRA #2 (H)									
64. -The Growth Fund of America - A/IRA	A	Dividend	K	T					
65. -Washington Mutual Investors Fund - A/IRA	A	Dividend	J	T					
66. German American Bank (H)									
67. -German American Bank (X)	A	Interest	J	T					

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Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/03/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/03/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Matthew P. Brookman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544