AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brookman, Matthew P.	US District Court for the Southern District of Indiana	05/02/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active). Formerly a Magistrate	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
101 Martin Luther King Blvd Evansville, IN 47708		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Teaching program assistant
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/02/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Investment Account #1 (H)									
2. -AT&T	A	Dividend	J	T					
3. -Ares Capital Corp	A	Dividend	J	T					
4. -Chevron Corp	A	Dividend	J	T					
5. -ENI SPA Sponsored ADR	A	Dividend	J	T					
6. -Equinor ASA Sponsored EQNR	A	Dividend	J	T					
7. -Total SA Sponsored ADR	A	Dividend	J	T					
8. -Williams Co Inc	A	Dividend	J	T					
9. - Capital One Finl Corp	A	Dividend	J	T					
10. -Public Storage	A	Dividend	J	T					
11. -US Bank (cash equivalent accounts)	A	Interest	J	T					
12. -Iron Mountain Inc.	A	Dividend	J	T					
13. -Atlantica Yield PLC	A	Dividend	J	T					
14. -Targa Resources Corp	A	Dividend	J	T					
15. -Axa Equitable Hldgs Inc.	A	Dividend	J	T					
16. -Cisco Systems Inc.	A	Dividend	J	T					
17. -Nextera Energy Partners LP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/02/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Oneok Inc.	B	Dividend	K	T					
19. -Hercules Capital Inc.	A	Dividend	J	T					
20. Clearway Energy	A	Dividend	J	T					
21. B2Gold Corp	A	Dividend	J	T					
22. Amplify	A	Dividend	J	T					
23. US Bancorp De Dep	A	Dividend	J	T					
24. Investment Account #2 (H)									
25. -Energy Transfer Partners	A	Dividend			Sold	07/13/23	J	A	
26. -Annaly Capital (Y)									
27. -ENI SPA Sponsored ADR	A	Dividend			Sold	07/13/23	J	A	
28. -Verizon	A	Dividend			Sold	07/13/23	J	A	
29. -US Bank (cash-equivalent accounts)	A	Interest	J	T					
30. -Atlantica Yield PLC	A	Dividend	J	T					
31. -Targa Resources Corp (Y)									
32. -American Litium Corp (Y)									
33. -EXXON Mobile Corp (Y)									
34. -Nextera Energy Partners LP	A	Dividend	J	T	Buy	11/14/23	J		

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2. Value Codes (See Columns C1 and D3)
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35. -Hercules Capital Inc.	A	Dividend	J	T					
36. Investment Account #3 (H)									
37. -AT&T	A	Dividend	J	T					
38. -Annaly Capital (Y)									
39. -Energy Transfer Partners (Y)									
40. -Equinor ASA Sponsored EQNR	A	Dividend	J	T					
41. -Verizon	A	Dividend	J	T					
42. -Wisdomtree High Div Fund	A	Dividend	J	T					
43. -Kimco Realty Corp	A	Dividend	J	T					
44. -Oneok Inc	A	Dividend	J	T					
45. -US Bank (cash-equivalent accounts)	A	Interest	J	T					
46. -Enbridge Transfer LP	A	Dividend	J	T					
47. -Atlantica Yield PLC (Y)									
48. -Axa Equitable Hldgs Inc	A	Dividend	J	T					
49. -Targa Resources Corp (Y)									
50. -US Bancorp De Dep	A	Dividend	J	T					
51. -Amplify	A	Dividend	J	T					

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52. -Nextera Energy Partners	A	Dividend	J	T	Buy	11/14/23	J		
53. IRA #3 (H)									
54. -The Growth Fund of America Cl A	A	Dividend			Sold	03/13/23	K	A	
55. -Washington Mutual Investors Cl A	A	Dividend			Sold	03/13/23	J	A	
56. -IShares Core MSCI EAFE ETF	A	Dividend	J	T	Buy	03/14/23	J		
57. -IShares Russell 2000 ETF	A	Dividend	J	T	Buy	03/14/23	J		
58. -IShares Russell 1000 Value ETF	A	Dividend	J	T	Buy	03/14/23	J		
59. -IShares Russell 1000 Growth ETF	A	Dividend	J	T	Buy	03/14/23	J		
60. -IShares Russell Mid Cap ETF	A	Dividend	J	T	Buy	03/14/23	J		
61. -Vanguard FTSE All World EX US ETF	A	Dividend	J	T	Buy	03/14/23	J		
62. IRA #4 (H)									
63. -Putnam Growth Opptys	A	Dividend	J	T					
64. -Putnam Research Cl A	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Brookman, Matthew P.	05/02/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Matthew P. Brookman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544