AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Broomes, John W.	U.S. District Court, Kansas	06/09/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
232 United States Courthouse 401 N. Market Street Wichita, Kansas 67202		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3. Trustee	[redacted] Trust #1
4. Trustee	[redacted] Trust #2 (no reportable assets)
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Broomes, John W.	06/09/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Intrust Bank cash account	A	Interest	K	T					
2.	Brokerage Account #1 (H)									
3.	E-Trade Cash account	A	Interest			Closed	03/25/24	K		
4.	IB Cash Account (X)	A	Int./Div.	J	T					
5.	SPDR GOLD TR GOLD SHS		None	J	T					
6.	ISHARES SILVER SHARES		None	J	T					
7.	US Gov't Bonds	A	Interest	J	T					
8.	US Gov't Treasury Bills	A	Interest	J	T					
9.	Retirement Account #2 (H)									
10.	EQ/International Equity mutual fund		None	J	T					
11.	EQ/AB Small Cap Growth mutual fund		None	J	T					
12.	EQ/International Core Volatility mutual fund		None	J	T					
13.	EQ/Equity Managed Volatility mutual fund		None	J	T					
14.	EQ/Large Cap Growth mutual fund		None	K	T					
15.	EQ/International Value Volatility mutual fund		None	J	T					
16.	EQ/Janus Enterprise mutual fund		None	J	T					
17.	EQ/Aggressive Growth Strategy		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Retirement Account #3 (H)									
19. EQ/International Equity mutual fund		None	J	T					
20. EQ/AB Small Cap Growth mutual fund		None	J	T					
21. EQ/International Core Volatility mutual fund		None	J	T					
22. EQ/Equity Managed Volatility mutual fund		None	J	T					
23. EQ/Large Cap Growth mutual fund		None	K	T					
24. EQ/Janus Enterprise mutual fund		None	J	T					
25. EQ/Aggressive Growth Strategy		None	J	T					
26. Retirement Account #4 (H)									
27. Financial Square Tr Government Institutional Class Fd [redacted] (Cash Acct)	A	Int./Div.			Closed	04/09/24	M		
28. Artisan Mid Cap Fund-Ins		None			Sold (part)	01/04/24	J		
29.					Sold	03/20/24	J		
30. Commerce Growth Fund Institutional Fund [redacted]	A	Dividend			Buy (add'l)	01/04/24	J		
31.					Sold	03/20/24	K	C	
32. Commerce Midcap Growth Fund Institutional [redacted]	A	Dividend			Sold (part)	01/04/24	J		
33.					Sold	03/20/24	J	A	
34. Commerce Value Fund Institutional Fund [redacted]	A	Dividend			Buy (add'l)	01/04/24	J		

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35.					Sold	03/20/24	K	B	
36. DFA US Large Cap Value Portfolio		None			Buy (add'l)	01/04/24	J		
37.					Sold	03/20/24	J	C	
38. Fidelity Mid Cap Value Index Fund		None			Sold (part)	01/04/24	J	A	
39.					Sold	03/20/24	J	C	
40. Invesco Main Street Small Cap Fund R6		None			Sold (part)	01/04/24	J		
41.					Sold	03/20/24	J	A	
42. T Rowe Price Growth Stock Fund-I		None			Buy (add'l)	01/04/24	J		
43.					Sold	03/20/24	K	D	
44. TIAA-CREF Large-Cap Growth Index Fund		None			Buy (add'l)	01/04/24	J		
45.					Sold	03/20/24	J	C	
46. TIAA-CREF Large-Cap Value Index Fund		None			Buy (add'l)	01/04/24	J		
47.					Sold	03/20/24	J	C	
48. DFA Emerging Markets Portfolio		None			Sold	03/20/24	J	A	
49. DFA International Small Company Portfolio		None			Sold (part)	01/04/24	J		
50.					Sold	03/20/24	J	A	
51. MFS Research International Fund R6		None			Buy (add'l)	01/04/24	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.						Sold	03/20/24	J	B	
53.	T Rowe Price Overseas Stock Fund-I		None			Buy (add'l)	01/04/24	J		
54.						Sold	03/20/24	J	B	
55.	Commerce Bond Fund Fund [redacted]	A	Dividend			Buy (add'l)	01/04/24	J		
56.						Sold	03/20/24	K		
57.	Dodge & Cox Income Fund		None			Buy (add'l)	01/04/24	J		
58.						Sold	03/20/24	K		
59.	Retirement Account #5 (H)									
60.	Financial Square Tr Government Institutional Class Fd [redacted] (Cash Acct)	A	Int./Div.			Closed	04/05/24	K		
61.	Artisan Mid Cap Fund-Ins	A	Dividend			Sold	03/20/24	J		
62.	Commerce Growth Fund Institutional Fund [redacted]	A	Dividend			Buy (add'l)	01/04/24	J		
63.						Sold	03/20/24	J	A	
64.	Commerce Midcap Growth Fund Institutional [redacted]	A	Dividend			Sold	03/20/24	J	A	
65.	Commerce Value Fund Institutional Fund [redacted]	A	Dividend			Buy (add'l)	01/04/24	J		
66.						Sold	03/20/24	J	A	
67.	DFA US Large Cap Value Portfolio		None			Buy (add'l)	01/04/24	J		
68.						Sold	03/20/24	J	A	

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69. Fidelity Mid Cap Value Index Fund		None			Sold (part)	01/04/24	J	A	
70.					Sold	03/20/24	J	A	
71. Invesco Main Street Small Cap Fund R6		None			Sold (part)	01/04/24	J		
72.					Sold	03/20/24	J	A	
73. T Rowe Price Growth Stock Fund-I		None			Buy (add'l)	01/04/24	J		
74.					Sold	03/20/24	J	B	
75. TIAA-CREF Large-Cap Growth Index Fund		None			Buy (add'l)	01/04/24	J		
76.					Sold	03/20/24	J	A	
77. TIAA-CREF Large-CAp Value Index Fund		None			Buy (add'l)	01/04/24	J		
78.					Sold	03/20/24	J	A	
79. DFA Emerging Markets Portfolio		None			Sold	03/20/24	J	A	
80. DFA International Small Company Portfolio		None			Sold (part)	01/04/24	J		
81.					Sold	03/20/24	J		
82. MFS Research International Fund R6		None			Sold	03/20/24	J	A	
83. T Rowe Price Overseas Stock Fund-I		None			Buy (add'l)	01/04/24	J		
84.					Sold	03/20/24	J	A	
85. Commerce Bond Fund Fund [redacted]	A	Dividend			Sold	03/20/24	J		

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86. Dodge & Cox Income Fund		None			Buy (add'l)	01/04/24	J		
87.					Sold	03/20/24	J		
88. Retirement Account #6 (H)									
89. INTERACTIVE BROKERS CASH ACCOUNT	A	Int./Div.	J	T					
90. AGNICO EAGLE LTC	A	Dividend	J	T	Buy	04/10/24	J		
91. ALAMOS GOLD INC	A	Dividend	J	T	Buy	05/07/24	J		
92. BHP GROUP LTD	A	Dividend			Buy	04/12/24	J		
93.					Sold	12/18/24	J		
94. B2GOLD CORP	A	Dividend	J	T	Buy	04/10/24	J		
95. CONOCOPHILLIPS	A	Dividend	J	T	Buy	10/09/24	J		
96. CHEVRON CORP	A	Dividend	J	T	Buy	04/10/24	J		
97. HEALTHPEAK PROPERTIES INC	A	Dividend	J	T	Buy	04/12/24	J		
98. DEVON ENERGY CORP	A	Dividend	J	T	Buy	04/10/24	J		
99. EXPAND ENERGY CORP	A	Dividend	J	T	Buy	04/12/24	J		
100.					Buy (add'l)	06/06/24	J		
101. FRANCO NEVADA CORP	A	Dividend	J	T	Buy	04/12/24	J		
102. SPDR GOLD MINISHARES TRUST		None	K	T	Buy	06/06/24	J		

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103.					Buy (add'l)	06/11/24	J		
104.					Buy (add'l)	06/14/24	J		
105.					Buy (add'l)	06/21/24	J		
106.					Buy (add'l)	07/08/24	J		
107.					Buy (add'l)	09/12/24	J		
108. BARRICK GOLD CORP	A	Dividend	J	T	Buy	08/19/24	J		
109. KINDER MORGAN INC	A	Dividend	J	T	Buy	04/10/24	J		
110.					Buy (add'l)	11/25/24	J		
111. MAG SILVER CORP		None	J	T	Buy	04/10/24	J		
112. NEWMONT CORP	A	Dividend	J	T	Buy	04/10/24	J		
113. NUTRIEN LTD	A	Dividend	J	T	Buy	04/10/24	J		
114. OSISKO GOLD ROYALTIES	A	Dividend	J	T	Buy	05/07/24	J		
115. PAN AMERICAN SILVER CORP	A	Dividend			Buy	06/06/24	J		
116.					Sold	12/11/24	J	A	
117. ABERDEEN PHYSICAL PLATINUM ETF		None	J	T	Buy	04/08/24	J		
118.					Buy (add'l)	06/06/24	J		
119.					Sold (part)	09/12/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. ROYAL GOLD INC	A	Dividend	J	T	Buy	04/12/24	J		
121. RIO TINTO PLC		None	J	T	Buy	05/06/24	J		
122. SANDSTORM GOLD LTD	A	Dividend	J	T	Buy	04/10/24	J		
123. SOUTHERN COPPER CORP	A	Dividend	J	T	Buy	06/06/24	J		
124. ISHARES SILVER TRUST		None	J	T	Buy	06/06/24	J		
125.					Buy (add'l)	06/11/24	J		
126.					Buy (add'l)	06/14/24	J		
127.					Buy (add'l)	06/21/24	J		
128.					Buy (add'l)	07/08/24	J		
129.					Buy (add'l)	09/12/24	J		
130. TRIPLE FLAG PRECIOUS M	A	Dividend	J	T	Buy	05/06/24	J		
131.					Buy (add'l)	12/11/24	J		
132. WOODSIDE ENERGY GROUP	A	Dividend	J	T	Buy	04/12/24	J		
133.					Buy (add'l)	06/06/24	J		
134. WILLIAMS COS INC	A	Dividend	J	T	Buy	04/10/24	J		
135. WHEATON PRECIOUS METAL	A	Dividend	J	T	Buy	04/10/24	J		
136. EXXON MOBIL CORP	A	Dividend	J	T	Buy	05/06/24	J		

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Name of Person Reporting	Date of Report
Broomes, John W.	06/09/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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137. ENTERPRISE PRODS PART LP	A	Dividend			Buy	04/10/24	J		
138.					Sold	05/28/24	J		
139. US TREA\SURY BONDS	A	Interest	J	T					
140. US TREASURY BILLS	A	Interest	L	T					
141. RETIREMENT ACCOUNT #7 (H)									
142. INTERACTIVE BROKERS CASH ACCOUNT	A	Interest	K	T					
143. VANECK GOLD MINERS ETF	A	Dividend	J	T	Buy	06/04/24	J		
144.					Buy (add'l)	06/06/24	J		
145.					Buy (add'l)	06/14/24	J		
146. SPDR GOLD MINISHARES TRUST		None	J	T	Buy	04/08/24	J		
147. SPDR S&P GL NAT RESOURCES	A	Dividend	J	T	Buy	06/04/24	J		
148.					Buy (add'l)	06/06/24	J		
149.					Buy (add'l)	07/17/24	J		
150. ISHARES COHEN & STEERS REIT	A	Dividend	J	T	Buy	06/04/24	J		
151. ABERDEEN PHYSICAL PLATINUM ETF		None	J	T	Buy	04/08/24	J		
152. ISHARES SILVER TRUST ETF		None	J	T	Buy	04/08/24	J		
153. PROSHARES GLB INFRASTRUCTURE	A	Dividend	J	T	Buy	06/04/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Broomes, John W.	06/09/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154.					Buy (add'l)	06/14/24	J		
155. [redacted] Trust #1 (H)									
156. Intrust Bank cash account	A	Interest	L	T					
157. [redacted] Trust #3 (H)									
158. Financial Square Trust Treasury Obligation Fund cash equivalent	A	Int./Div.	J	T					
159. Fidelity Mid Cap Index Fund - Fai	A	Dividend	J	T					
160. Fidelity 500 Index Fund - Ai	A	Dividend	J	T					
161. Commerce Kansas Taxfree Intermediate Bond Fund[redacted]	A	Dividend	J	T					
162. Commerce Natl Tax-Free Bd Fd[redacted]	A	Dividend	J	T					
163. Family Trust #5 (H)									
164. Financial Square Trust Treasury Obligation Fund cash equivalent	B	Int./Div.	K	T					
165. American Century Small Cap Growth Fund-Y	A	Dividend	J	T					
166. Artisan Mid Cap Fund	B	Dividend	J	T	Buy (add'l)	07/26/24	J		
167. Clearbridge Small Cap Growth Fund Is	A	Dividend	J	T					
168. Commerce Growth Fund Institutional Fund [redacted]	A	Dividend	K	T					
169. Commerce Midcap Growth Fund Institutional[redacted]	A	Dividend	J	T	Sold (part)	07/26/24	J		
170. Commerce Value Fund Institutional Fund [redacted]	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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Broomes, John W.	06/09/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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171. DFA US Large Cap Value Portfolio	A	Dividend	K	T					
172. DFA US Targeted Value Portfolio	A	Dividend	J	T					
173. Fidelity Large Cap Growth Index Fund	A	Dividend	K	T					
174. Fidelity Large Cap Value Index Fund - Ins PR	A	Dividend	K	T					
175. Fidelity Mid Cap Value Index Fund	A	Dividend	K	T	Sold (part)	07/26/24	J	B	
176. T Rowe Price Growth Stock Fund-I	B	Dividend	K	T					
177. T Rowe Price New Horizons Fund		None			Sold	07/26/24	J		
178. DFA International Small Company Portfolio	A	Dividend	J	T					
179. Fidelity Emerging Markets Index Fund - Fai	A	Dividend	J	T					
180. Invesco Eqv Emerging Markets All Cap Fund-R6	A	Dividend	J	T					
181. MFS Research International Fund	A	Dividend	K	T					
182. T Rowe Price Overseas Stock Fund	A	Dividend	K	T					
183. Commerce Kansas Taxfree Intermediate Bond Fund [redacted]	D	Dividend	N	T	Buy (add'l)	04/09/24	K		
184.					Buy (add'l)	07/26/24	K		
185.					Buy (add'l)	12/02/24	J		
186. Commerce Natl Tax-Free Bd Fd [redacted]	B	Dividend	L	T					
187. Fidelity Advisor Intermediate Municipal Income Fund Z	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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188. Jpmorgan Hedged Equity Fund - R6	A	Dividend	K	T	Sold (part)	12/02/24	J	A	
189. VANGUARD INTERMEDIATE TERM INVEST GRADE INVESTOR CL - VFICX (X)	A	Dividend	J	T					
190. VANGUARD FEDERAL MONEY MARKET INVESTOR CL - VMFXX (X)	B	Dividend	K	T					
191. VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL CL - VTSAX (X)	A	Dividend	K	T	Buy (add'l)	11/06/24	J		
192. VANGUARD SHORT TERM INVESTMENT GRADE INVESTOR CL - VFSTX (X)	A	Dividend	K	T					
193. VANGUARD SHORT TERM INVESTMENT GRADE ADMIRAL CL - VFSUX (X)	A	Dividend			Sold	05/09/24	K		
194. VANGUARD TOTAL STOCK MARKET ETF - VTI (X)		None			Sold	03/15/24	K	C	
195. [redacted] Trust # 6 (H)									
196. VANGUARD SHORT TERM INVESTMENT GRADE INVESTOR CL - VFSTX (X)	A	Dividend	K	T					
197. VANGUARD FEDERAL MONEY MARKET INVESTOR CL - VMFXX (X)	B	Dividend	K	T					
198. VANGUARD INTERMEDIATE TERM INVEST GRADE INVESTOR CL - VFICX (X)	A	Dividend	J	T					
199. VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL CL - VTSAX (X)	A	Dividend	K	T	Buy (add'l)	11/06/24	J		
200. VANGUARD SHORT TERM INVESTMENT GRADE ADMIRAL CL - VFSUX (X)	A	Dividend			Sold	11/19/24	K		
201. VANGUARD TOTAL STOCK MARKET ETF - VTI (X)		None			Sold	03/15/24	K	C	
202. [redacted] Account #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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203. VANGUARD SHORT TERM INVESTMENT GRADE INVESTOR CL - VFSTX (X)	A	Dividend	K	T					
204. VANGUARD FEDERAL MONEY MARKET INVESTOR CL - VMFXX (X)	A	Dividend	J	T					
205. VANGUARD TOTAL STOCK MARKET ETF - VTI (X)	A	Dividend	K	T					
206. VANGUARD INTERMEDIATE TERM INVEST GRADE INVESTOR CL - VFICX (X)	A	Dividend	J	T					
207. VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL CL - VTSAX (X)	A	Dividend	J	T					
208. VANGUARD SHORT TERM INVESTMENT GRADE ADMIRAL CL - VFSUX (X)	A	Dividend			Sold	11/19/24	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although I am a trustee of [redacted] Trust #2, there are no reportable assets in the trust.

Retirement Account #4 was liquidated and the proceeds transferred and reinvested under Retirement Account #6.
Retirement Account #5 was liquidated and the proceeds transferred and reinvested under Retirement Account #7.

Name of Person Reporting	Date of Report
Broomes, John W.	06/09/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. Broomes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544