AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brown, Jeffrey V.	U.S. District Court, Southern District of Texas	05/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 601 25th Street Galveston, Texas 77550		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Bay Area Council, Boy Scouts of America
2.	Director	University of Houston Law Foundation
3.	Director	Houston Law Review
4.	Independent Contractor (book editor)	Thomson Reuters
5.	Treasurer	Galveston County Bar Association

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	State of Texas: Judicial Pension (defined benefit pension plan)
2.	2001	Harris County, Texas: Employee Pension (reportable assets are listed in Part VII)
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Thomson-Reuters, book-editing income	$2,000.00
2.	2021	Texas Judicial Retirement System, judicial pension	$107,867.04
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	The Bryan Museum (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason Law and Economics	June 6-12, 2021	Beaver Creek, CO	Beaver Creek Coloquium	Transportation, meals, lodging
2.	George Mason Law and Economics	Nov. 17-21, 2021	Charleston, SC	Capitalism & the Rule of Law in the Woke Era	Transportation, meals, lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brown, Jeffrey V.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Chase Bank (cash)	A	Interest	K	T					
3. Morgan Stanley (cash)	A	Interest	J	T					
4. Account #1 (H)									
5. Blackrock Total Ret l (MAHQX)	A	Dividend	J	T	Buy	10/06/21	J		
6. BNY Mellon Intl Stock I (DISRX)	A	Dividend	J	T					
7. Clearbridge Small Cap Gwth I (SBPYX)	A	Dividend	J	T					
8. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend			Sold	06/04/21	J	A	
9. Edgewood Growth Instl Fund (EGFIX)	A	Dividend	J	T	Sold (part)	09/17/21	J	A	
10. GQG Partners Emrg Mkts Eq Ins (GQGIX)	A	Dividend	J	T					
11. Hartford Dividend & Growth I (HDGIX)	A	Dividend	J	T					
12. Hartford Schroder Emerging Markets Eq I (SEMNIX)	A	Dividend	J	T					
13. JPMorgan Value Advantage I Fund (JVASX)	B	Dividend	J	T					
14. Lazard Intl Strat Eq Ptf Inst Fund (LISIX)	A	Dividend	J	T					
15. Loomis Growth Y Fund (LSGRX)	A	Dividend	J	T					
16. Metropolitan West Tot Ret Bd I Fund (MWTIX)	A	Dividend	J	T	Buy (add'l)	06/04/21	J		
17.					Sold (part)	10/06/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Brown, Jeffrey V.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MFS Mid Cap Growth I (OTCIX)	A	Dividend	J	T	Sold (part)	09/17/21	J	A	
19. Neuberger Intrinsic Value Inst Fund (NINLX)	A	Dividend	J	T					
20. Nuance Mid Cap Value Institutional (NMVLX)	A	Dividend	J	T					
21. Peartree Polaris FGN VL I Fund (QFVIX)	A	Dividend	J	T	Sold (part)	04/09/21	J	A	
22. PGIM High Yield Z (PHYZX)	A	Dividend	J	T	Sold (part)	06/04/21	J	A	
23. Pimco Low Duration Inc I2 (PFTPX)	A	Dividend	J	T	Buy (add'l)	04/09/21	J		
24.					Sold (part)	06/04/21	J	A	
25.					Buy (add'l)	09/17/21	J		
26. Pimco Short Term 12 (PTSPX)	A	Dividend	J	T	Sold (part)	06/04/21	J	A	
27.					Buy (add'l)	09/17/21	J		
28. Virtus Kar Small Cap Value I (PXQSX)	A	Dividend	J	T					
29. Western Asset Core Plus Bond I (WACPX)	A	Dividend	J	T	Buy (add'l)	04/09/21	J		
30.					Buy (add'l)	06/04/21	J		
31.					Sold (part)	10/06/21	J		
32. Account #2 (H)									
33. BlackRock LifePath Index 2035 Fund		None	K	T					
34. Account #3 (H)									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Calvert VP SRI Balanced Portfolio		None	J	T					
36. Account #4 (H)									
37. Invesco CollegeBound Today Portfolio A		None	J	T	Redeemed (part)	06/01/21	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Brown, Jeffrey V.	05/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey V. Brown

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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