AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brown, Michael L.	United States District Court Northern District of Georgia	05/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 75 Ted Turner Drive NW Atlanta, GA 30303		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brown, Michael L.	05/14/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brown, Michael L.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Individual Assets (H)									
2.	Truist Bank (cash)	A	Interest	O	T					
3.	ExxonMobil Common Stock (XOM)	D	Dividend	M	T					
4.	Northwestern Mutual Insurance Estate Comp Life (Whole Life Policy #1)	D	Dividend	M	T					
5.	Northwestern Mutual Insurance Estate Comp Life (Whole Life Policy #2)	D	Dividend	M	T					
6.	Northwestern Mutual Insurance 65 Life (Whole Life Policy)	C	Dividend	M	T					
7.	Account #1 (H)									
8.	Fidelity Advisor Financial Svcs Cl I (FFSIX)	A	Dividend	K	T					
9.	Cullen Emerging Mrkt High Dividend Cl I (CEMFX)	A	Dividend	J	T					
10.	Federated Hermes MDT Small Cap Core IS (QISCX)	D	Dividend	K	T	Buy	01/28/21	K		
11.	Polen Growth Fund Instl Shares (POLIX)	B	Dividend	K	T	Buy (add'l)	01/27/21	J		
12.	Goldman Sacs Small/Mid Cap Groweth (GSMYX)	C	Dividend	K	T	Buy	01/28/21	K		
13.	JPMorgan Large Cap Growth Class I (SEEGX)	D	Dividend	L	T	Sold (part)	05/27/21	K	E	
14.	Putnam Equity Income Fund Cl Y (PEIYX)	A	Dividend	J	T	Sold (part)	01/27/21	L	E	
15.	SPDR S&P Midcap 400 ETF (MDY)	A	Dividend	K	T	Buy	05/28/21	K		
16.	Wisdomtree Emerging Markets Smallcap (DGS)	A	Dividend	K	T	Buy	05/28/21	K		
17.	Account #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Brown, Michael L.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Fidelity Advisor Financial Svcs Cl I (FFSIX)	A	Dividend	K	T					
19.	Cullen Emerging Mrkt High Dividend Cl I (CEMFX)	A	Dividend	J	T					
20.	Federated Hermes MDT Small Cap Core IS (QISCX)	C	Dividend	K	T	Buy	01/28/21	K		
21.	Polen Growth Fund Instl Shares (POLIX)	B	Dividend	K	T	Buy (add'l)	01/27/21	J		
22.	Goldman Sacs Small/Mid Cap Groweth (GSMYX)	C	Dividend	K	T	Buy	01/28/21	K		
23.	JPMorgan Large Cap Growth Class I (SEEGX)	D	Dividend	L	T	Sold (part)	05/27/21	K	E	
24.	Putnam Equity Income Fund Cl Y (PEIYX)	B	Dividend	K	T	Sold (part)	01/27/21	L		
25.	SPDR S&P Midcap 400 ETF (MDY)	A	Dividend	K	T	Buy	05/28/21	K		
26.	Wisdomtree Emerging Markets Smallcap (DGS)	A	Dividend	K	T	Buy	05/28/21	J		
27.	Account #3 (H)									
28.	Alston & Bird Enhanced Partner Cash Balance Plan (cash)	E	Interest	N	T					
29.	Franklin Growth Advantage (FCGAX)		None	P1	T					
30.	Wells Fargo Enhanced Stock Market Fd (ESMKTN)		None	P1	T					
31.	Account #4 (H)									
32.	Alston & Bird Enhanced Partner Cash Balance Plan (cash)	E	Interest	O	T					
33.	Franklin Growth Advantage (FCGAX)		None	P1	T					
34.	Wells Fargo Enhanced Stock Market Fd (ESMKTN)		None	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Account #5 (H)									
36. Fidelity Govt Cash Reserves (FDRXX)	A	Interest	J	T					
37. Fidelity Advisor Equity Income Cl I (EQPIX)	C	Dividend	K	T					
38. Fidelity Advisor Utilities Fund Cl I (FUGIX)	B	Dividend	L	T					
39. Fidelity Advisor Technology Cl I (FATIX)	C	Dividend	K	T					
40. Fidelity Advisor Financial Svcs Cl I (FFSIX)	D	Dividend	M	T	Buy (add'l)	01/28/21	K		
41. Fidelity Advisor Health Care Cl I (FHCIX)	D	Dividend	M	T					
42. Kopernik International I (KGIIX)	D	Dividend	L	T	Buy (add'l)	01/28/21	K		
43. O'shaughnessy Market Leaders Value CL I (OFVIX)	B	Dividend	L	T	Buy	01/27/21	K		
44. American Funds Capital Income Builder F3 (CFIHX)	C	Dividend	L	T					
45. Federated Hermes Strategic Value Dividend IS (SVAIX)	C	Dividend	L	T					
46. Federated Hermes Capital Income Fund Cl IS (CAPSX)	D	Dividend	M	T					
47. Federated Hermes Kaufmann Fund CL IS (KAUIX)	C	Dividend	K	T	Buy	01/27/21	K		
48. Federated Hermes MDT Small Cap Core IS (QISCX)	D	Dividend	L	T	Buy	01/27/21	K		
49. Polen Growth Fund Instl Shares (POLIX)	E	Dividend	N	T	Buy (add'l)	01/27/21	K		
50. Goldman Sacs Small/Mid Cap Growth	D	Dividend	M	T	Buy	01/27/21	L		
51. American Funds The Growth Fund of America F3 (GAFFX)	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	Hartford Growth Oppt Fund Cl Y (HGOYX)	C	Dividend	K	T	Sold (part)	05/27/21	K	D	
53.	JPMorgan Income Builder Fund Class I (JNBSX)	C	Dividend	L	T					
54.	JPMorgan Equity Income Class 1 (HLIEX)	B	Dividend	L	T					
55.	MFS International Diversification Fd A (MDIDX)	A	Dividend	K	T					
56.	MFS Growth Fund Class I (MFEIX)	B	Dividend	L	T	Sold (part)	05/27/21	K	E	
57.	Putnam Equity Income Fund Cl Y (PEIYX)	D	Dividend	M	T					
58.	Victory RS Small Cap Growth Cl Y (RSYEX)	D	Dividend	L	T					
59.	Fidelity Advisor Strategic Inc Cl I (FSRIX)	A	Dividend	K	T					
60.	Blackrock Multi-Asset Income Instl (BIICX)	A	Dividend			Sold	01/27/21	L		
61.	JPMorgan Core Bond Class I (WOBDX)	A	Dividend	J	T					
62.	Invesco QQQ Tr Unit Ser 1 (QQQ)	A	Dividend	K	T					
63.	Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
64.	Ishares Trust MSCI Emg Mkts ETF USD Dis (EEM)	A	Dividend	K	T	Buy	01/28/21	K		
65.	Ishares Tr Rus MD CP GR ETF (IWP)	A	Dividend	L	T	Buy	05/28/21	K		
66.	Ishares Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T					
67.	Ishares Russell 2000 ETF (IWM)	A	Dividend	L	T	Buy	01/28/21	K		
68.	Ishares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69. Ishares Tr Glb Infrastr ETF (IGF)	B	Dividend	L	T	Buy	05/28/21	K		
70. Ishares Tr Intl Sel Div ETF (IDV)	B	Dividend	L	T	Buy	01/28/21	K		
71. Ishares Trust Ishares Core High (HDV)	A	Dividend	K	T					
72. SPDR Ser Tr S&P Biotech (XBI)		None	L	T					
73. SPDR S&P Midcap 400 ETF Tr UT Ser 1 (MDY)	A	Dividend	K	T					
74. Select Sector SPDR TR Health Care (XLV)	A	Dividend	L	T					
75. Wisdomtree Emerging Markets Smallcap (DGS)	B	Dividend	L	T	Buy	01/28/21	K		
76. Account #6 (H)									
77. 529 Plan NY Saves Moderate Age Based Option: Income Port		None	L	T	Buy (add'l)	02/01/21	J		
78. 529 Plan NY Saves Conservative Age Based Option: Conserv. Income Port		None	L	T	Buy (add'l)	02/01/21	J		
79. Account #7 (H)									
80. 529 Plan NY Saves Moderate Age Based Option: Conserv Growth Port		None			Sold	01/06/21	L		
81. 529 Plan NY Saves Moderate Age Based Option: Conserv Port		None	L	T	Buy	01/06/21	L		
82.					Buy (add'l)	02/01/21	J		
83. 529 Plan NY Saves Conservative Age Based Option: Income Port		None			Sold	01/06/21	K		
84. 529 Plan NY Saves Conservative Age Based Option: Balanced Income Port		None	L	T	Buy	01/06/21	K		
85.					Buy (add'l)	02/01/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.	Account #8 (H)									
87.	529 Plan NY Saves Moderate Age Based Option: Disc Growth Port		None			Buy (add'l)	02/01/21	K		
88.						Sold	10/06/21	M		
89.	529 Plan NY Saves Moderate Age Based Option: Conserv Growth Port		None	M	T	Buy	10/06/21	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Truist Bank (cash) was Sun Trust (cash).

Name of Person Reporting	Date of Report
Brown, Michael L.	05/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael L. Brown

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544