AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brown, Michael L.	United States District Court Northern District of Georgia	08/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States District Court 75 Ted Turner Drive NW Atlanta, GA 30303		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Self-employed (attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brown, Michael L.	08/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Truist Bank (cash)	A	Interest	N	T					
3. ExxonMobil Common Stock (XOM)	D	Dividend	N	T					
4. Northwestern Mutual Insurance Estate Comp Life (Whole Life Policy #1)	D	Dividend	M	T					
5. Northwestern Mutual Insurance Estate Comp Life (Whole Life Policy #2)	D	Dividend	M	T					
6. Northwestern Mutual Insurance 65 Life (Whole Life Policy)	C	Dividend	M	T					
7. Account #1 (H)									
8. Fidelity Govt Cash Reserves (FDRXX) (cash eq.)	A	Dividend			Sold (part)	01/18/22	J		
9.					Buy (add'l)	02/07/22	J		
10.					Sold (part)	02/14/22	J		
11.					Sold	04/18/22	J		
12. Fidelity Advisor Financial Svcs Cl I (FFSIX)	A	Dividend	J	T					
13. Oshaughnessy Market Leaders Value CL I (OFVIX)	A	Dividend	J	T	Buy	02/14/22	J		
14. Cullen Emerging Mrkt High Dividend Cl I (CEMFX)	A	Dividend	J	T					
15. Federated Hermes MDT Small Cap Core IS (QISCX)	B	Dividend	K	T					
16. Polen Growth Fund Instl Shares (POLIX)	B	Dividend	K	T					
17. Goldman Sachs Small/Mid Cap Growth (GSMYX)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. JPMorgan Large Cap Growth Class I (SEEGX)	B	Dividend	L	T					
19. Putnam Equity Income Fund Cl Y (PEIYX)	A	Dividend	J	T					
20. SPDR S&P Midcap 400 ETF (MDY)	A	Dividend	K	T					
21. Wisdomtree Emerging Markets Smallcap (DGS)	B	Dividend	K	T					
22. Account #2 (H)									
23. Fidelity Govt Cash Reserves (FDRXX) (cash eq.)	A	Dividend			Sold (part)	01/18/22	J		
24.					Buy (add'l)	02/07/22	J		
25.					Sold (part)	02/14/22	J		
26.					Sold	04/18/22	J		
27. Fidelity Advisor Financial Svcs Cl I (FFSIX)	A	Dividend	J	T					
28. Oshaughnessy Market Leaders Value CL I (OFVIX)	A	Dividend	J	T	Buy	02/14/22	J		
29. Cullen Emerging Mrkt High Dividend Cl I (CEMFX)	A	Dividend	J	T					
30. Federated Hermes MDT Small Cap Core IS (QISCX)	A	Dividend	K	T					
31. Polen Growth Fund Instl Shares (POLIX)	B	Dividend	K	T					
32. Goldman Sachs Small/Mid Cap Growth (GSMYX)	B	Dividend	K	T					
33. JPMorgan Large Cap Growth Class I (SEEGX)		None	L	T					
34. Putnam Equity Income Fund Cl Y (PEIYX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35. SPDR S&P Midcap 400 ETF (MDY)	A	Dividend	K	T					
36. Wisdomtree Emerging Markets Smallcap (DGS)	B	Dividend	K	T					
37. Account #3 (H)									
38. Alston & Bird Enhanced Partner Cash Balance Plan (cash)	E	Interest	N	T					
39. AllSpring Enhanced Stock Market Fd (ESMKTN)		None	P1	T					
40. Franklin Growth Advantage (FCGAX)		None	P1	T					
41. Account #4 (H)									
42. Alston & Bird Enhanced Partner Cash Balance Plan (cash)	E	Interest	O	T					
43. Franklin Growth Advantage (FCGAX)		None	P1	T	Buy (add'l)	01/04/22	J		
44.					Buy (add'l)	04/04/22	J		
45.					Buy (add'l)	07/05/22	J		
46.					Buy (add'l)	10/04/22	J		
47. Allspring Enhanced Stock Market Fd (ESMKTN)		None	P1	T	Buy (add'l)	01/04/22	J		
48.					Buy (add'l)	04/04/22	J		
49.					Buy (add'l)	07/05/22	J		
50.					Buy (add'l)	10/04/22	J		
51. Account #5 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. Fidelity Govt Cash Reserves (FDRXX) (cash eq.)		None	L	T	Buy (add'l)	01/13/22	J		
53.					Sold (part)	01/18/22	J		
54.					Buy (add'l)	02/03/22	P1		
55.					Sold (part)	02/07/22	J		
56.					Sold (part)	02/14/22	M		
57.					Sold (part)	02/15/22	M		
58.					Sold (part)	03/03/22	N		
59.					Buy (add'l)	03/30/22	J		
60.					Buy (add'l)	03/31/22	J		
61.					Sold (part)	04/18/22	K		
62.					Buy (add'l)	05/03/22	J		
63.					Buy (add'l)	06/03/22	J		
64.					Buy (add'l)	06/15/22	J		
65.					Buy (add'l)	06/29/22	J		
66.					Buy (add'l)	07/01/22	J		
67.					Sold (part)	07/19/22	J		
68.					Buy (add'l)	08/02/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.					Buy (add'l)	09/01/22	J		
70.					Buy (add'l)	09/30/22	J		
71.					Buy (add'l)	10/03/22	J		
72.					Sold (part)	10/18/22	J		
73.					Buy (add'l)	11/02/22	J		
74.					Buy (add'l)	12/01/22	J		
75.					Buy (add'l)	12/19/22	J		
76. Fidelity Advisor Equity Income Cl I (EQPIX)	A	Dividend	K	T					
77. Fidelity Advisor Energy Fund CL I (FANIX)	B	Dividend	L	T	Buy	02/14/22	K		
78. Fidelity Advisor Utilities Fund Cl I (FUGIX)	C	Dividend	L	T					
79. Fidelity Advisor Technology Cl I (FATIX)	A	Dividend	K	T					
80. Fidelity Advisor Financial Svcs Cl I (FFSIX)	D	Dividend	M	T					
81. Fidelity Advisor Health Care Cl I (FHCIX)		None	M	T	Buy (add'l)	02/14/22	K		
82. Kopernik International I (KGIIX)	B	Dividend	L	T					
83. O'shaughnessy Market Leaders Value CL I (OFVIX)	D	Dividend	M	T	Buy (add'l)	02/14/22	K		
84. American Funds Capital Income Builder F3 (CFIHX)	B	Dividend	L	T					
85. Federated Hermes Strategic Value Dividend IS (SVAIX)	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86. Federated Hermes Capital Income Fund Cl IS (CAPSX)	D	Dividend	M	T					
87. Federated Hermes Kaufmann Fund CL IS (KAUIX)		None	K	T					
88. Federated Hermes MDT Small Cap Core IS (QISCX)	B	Dividend	K	T					
89. Polen Growth Fund Instl Shares (POLIX)	E	Dividend	M	T					
90. Polen US Small Company Growth I (PBSIX)		None	K	T	Buy	02/14/22	K		
91. Goldman Sachs Small/Mid Cap Growth (GSMYX)		None	L	T					
92. American Funds The Growth Fund of America F3 (GAFFX)	B	Dividend	K	T					
93. Hartford Growth Oppt Fund Cl Y (HGOYX)		None	K	T					
94. JPMorgan Income Builder Fund Class I (JNBSX)	B	Dividend	L	T					
95. JPMorgan Equity Income Class 1 (HLIEX)	C	Dividend	L	T					
96. MFS International Diversification Fd A (MDIDX)	A	Dividend	K	T					
97. MFS Growth Fund Class I (MFEIX)	A	Dividend	L	T					
98. Putnam Lg Cap Val Fund Cl Y (PEIYX)	D	Dividend	M	T					
99. Victory RS Small Cap Growth Cl Y (RSYEX)	C	Dividend	K	T					
100. JPMorgan Core Bond Class I (WOBDX)	A	Dividend	J	T					
101. Fidelity Advisor Strategic Inc Cl I (FSRIX)	A	Dividend			Sold	01/13/22	J		
102. Fidelity MSCI Energy Index ETF (FENY)	A	Dividend	L	T	Buy	02/15/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. Invesco QQQ Tr Unit Ser 1 (QQQ)	A	Dividend	K	T					
104. Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
105. Ishares Trust MSCI Emg Mkts ETF USD Dis (EEM)	B	Dividend	L	T	Buy (add'l)	02/15/22	L		
106. Ishares Tr Rus MD CP GR ETF (IWP)	A	Dividend	K	T					
107. Ishares Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T					
108. Ishares Russell 2000 ETF (IWM)	A	Dividend	K	T					
109. Ishares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					
110. Ishares Tr Glb Infrastr ETF (IGF)	B	Dividend	K	T					
111. Ishares Tr Intl Sel Div ETF (IDV)	C	Dividend	K	T					
112. Ishares Trust Ishares Core High (HDV)	B	Dividend	K	T					
113. SPDR Ser Tr S&P BK ETF (KBE)	B	Dividend	K	T	Buy	02/15/22	L		
114. SPDR Ser Tr S&P Biotech (XBI)		None	K	T					
115. SPDR S&P Midcap 400 ETF Tr UT Ser 1 (MDY)	A	Dividend	K	T					
116. Select Sector SPDR TR Health Care (XLV)	A	Dividend	L	T					
117. Consumer Discretionary Select Sector SPDR Fund (XLY)	A	Dividend	K	T	Buy	02/15/22	K		
118. Select Sector SPDR Tr Financial (XLF)	A	Dividend	K	T	Buy	02/15/22	L		
119. Wisdomtree Emerging Markets Smallcap (DGS)	B	Dividend	K	T	Buy (add'l)	02/15/22	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brown, Michael L.	08/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. Citigroup Global Mkts Hlds In Ser N (17330AAU6)	E	Interest	M	T	Buy	02/28/22	M		
121. GS Fin Corp Ser F Mtn Zero Spn (40057KZB2)		None	M	T	Buy	02/28/22	M		
122. Account #6 (H)									
123. NYS 529 Moderate Age Based Option: Income Port		None	L	T					
124. NYS 529 Conservative Age Based Option: Conserv. Income Port		None			Sold	07/06/22	L		
125. NYS 529 Conservative Age Based Option: Interest Accum Port		None	L	T	Buy	07/06/22	L		
126. Account #7 (H)									
127. NYS 529 Moderate Age Based Option: Conserv Port		None	L	T	Buy (add'l)	07/18/22	J		
128. NYS 529 Conservative Age Based Option: Balanced Income Port		None	L	T	Buy (add'l)	07/18/22	J		
129. Account #8 (H)									
130. NYS 529 Moderate Age Based Option: Conserv Growth Port		None	M	T	Buy (add'l)	07/18/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brown, Michael L.	08/13/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Parenthetical numbers in Part VII are CUSIPs.

Account #4 is controlled and managed by the filer's former employer. The account holder is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the filer. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Name of Person Reporting	Date of Report
Brown, Michael L.	08/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael L. Brown

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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