AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Calabrese, J. Philip	U.S. District Court, Northern District of Ohio	05/01/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
801 West Suoperior Avenue, Courtroom 16B, Cleveland, Ohio 44113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Calabrese, J. Philip	05/01/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Shaker Heights City School District
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.	George Mason University Judicial Education Progrm	8/7/2021 to 8/13/2021	White Sulfur Springs, WV	Education	Transportation, meals, hotel
3.	George Mason University Judicial Education Program	11/14/2021 to 11/21/2021	Charleston, SC	Education	Transportation, meals, hotel
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Calabrese, J. Philip	05/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	iShares S&P 500 Index Fund	A	Int./Div.	J	T					
2.	Fidelity Investment Grade Bond Fund	A	Dividend	J	T					
3.	Fidelity Money Market Fund	A	Dividend	J	T	Buy (add'l)	01/01/21	J		Monthly contribution
4.	Fidelity Mid-Cap Enhanced Index Fund	A	Dividend	J	T					
5.	401(k) (Fidelity, FXAIX Fund)	A	Dividend	N	T	Distributed (part)	08/02/21	K	D	
6.	401(k) (Fidelity, MIP II Class I Fund)	A	Dividend	J	T					
7.	401(k) (Fidelity, FXAIX Fund)	C	Dividend	O	T					
8.	401(k) (Fidelity, ERASX Fund)	C	Dividend	K	T	Distributed (part)	08/02/21	J	A	
9.	Hawken School 403(b) (Fidelity, FXAIX Fundd)	A	Dividend	K	T					
10.	Fidelity Large Cap Growth Index Fund	C	Dividend	K	T					
11.	Key Bank HSA (Pacific Life Aggressive Growth Fund Class A)	A	Dividend	J	T					
12.	Key Bank HSA (Pacific Life Growth Fund Class A)	C	Dividend	M	T					
13.	Key Bank HSA (Pacific Life Moderate Fund Class A)	A	Dividend	J	T					
14.	Health Savings Account (Key Bank)	A	Interest	J	T	Sold (part)	12/06/21	J	A	
15.	Ohio Public Employees Retirement System OPERS Stock Index Fund	A	Dividend			Distributed	02/05/21	K		
16.	Ohio College Advantage 529 (Vanguard Aggressive Age-Based Growth Fund)	A	Dividend	K	T	Sold (part)	11/30/21	J	B	
17.						Buy (add'l)	01/01/21	J		Monthly contribution

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Calabrese, J. Philip	05/01/2022

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18.	Fidelity 529 (NH College Portfolio)	A	Dividend	K	T	Sold (part)	01/04/21	J	B	
19.						Buy (add'l)	01/01/21	J		Monthly contribution
20.	Acorns IRA Age Based Portfolio	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
21.	Acorns Vanguard FTSE Developed Market Fund	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
22.	Acorns Vanguard Emerging Market ETF	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
23.	Acorns Vanguard S&P 500 ETF	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
24.	Acorns Vanguard REIT Index ETF	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
25.	Acorns Vanguard Small Cap ETF	A	Dividend	J	T	Sold (part)	01/11/21	J	A	
26.	Fidelity VIP Contrafund (NY Life)	A	Dividend	J	T	Buy (add'l)	01/07/21	J		Quarterly contribution
27.	MainStay VP MacKay S&P 500 Index (NY Life)	A	Dividend	J	T	Buy (add'l)	01/07/21	J		Quarterly contribution
28.	MainStay VP MacKay Mid Cap Core Fund (NY Life)	A	Dividend	J	T	Buy (add'l)	01/07/21	J		Quarterly contribution
29.	MainStay VP MacKay Small Cap Core Fund (NY Life)	A	Dividend	J	T	Buy (add'l)	01/07/21	J		Quarterly contribution
30.	American Funds IS Asset Allocation Fund (NY Life)	A	Dividend	J	T	Buy (add'l)	01/07/21	J		Quarterly contribution
31.	Northwestern Mutual Whole Life Insurance Policy	E	Dividend	J	T	Buy (add'l)	01/24/21	J		Quarterly contribution
32.	RW Little #1, #13729, N/2 Section 10-5S-E (Mineral Rights, Oklahoma)	B	Royalty	J	W					
33.	RW Little #1, #13729, N/2 Section 10-5S-E (Mineral Rights, Oklahoma)	A	Royalty	J	W					
34.	First National Checking	A	Interest	K	T					

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35.	First National Money Market	A	Interest	K	T					
36.	First National Savings	A	Interest	J	T					
37.	First National Checking	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Calabrese, J. Philip	05/01/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ J. Philip Calabrese

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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