AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
CAPRONI, VALERIE E.	SOUTHERN DISTRICT OF NEW YORK	05/21/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Court Judge -- Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
500 PEARL STREET, ROOM 1930 NEW YORK, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	SECRETARY AND MEMBER OF BOARD	RESIDENTIAL COOP IN NEW YORK, NY
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	RODEL INSTITUTE	07/25/2024-07/28/2024	SUN VALLEY, ID	RODEL JUDICIAL FELLOWSHIP	HOTEL ROOM; TRANSPORTATION; MEALS
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
CAPRONI, VALERIE E.	05/21/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	BROKERAGE ACCOUNT #1(H)									
2.	-- APPLE INC. COMMON STOCK	A	Dividend	M	T					
3.	--CIGNA CORP. COMMON STOCK	A	Dividend	K	T	Buy (add'l)	10/11/24	J		
4.	-- CISCO SYSTEMS INC. COMMON STOCK	B	Dividend	L	T					
5.	--CLEAN ENERGY FUELS COMMON STOCK		None	J	T	Sold (part)	10/22/24	J		
6.						Buy (add'l)	12/06/24	J		
7.	-- FORD MOTOR CO. COMMON STOCK	B	Dividend	K	T					
8.	-- INTEL CORP. COMMON STOCK	A	Dividend	K	T	Sold (part)	10/22/24	J		
9.						Buy (add'l)	12/06/24	J		
10.	--MERCK & CO. COMMON STOCK	C	Dividend	M	T					
11.	--MONDELEZ INTERNATIONAL CLASS A COMMON STOCK	A	Dividend	J	T					
12.	--NOVO-NORDISK ADR	A	Dividend	K	T	Buy	01/16/24	J		
13.						Buy (add'l)	10/11/24	J		
14.	--ORGANON & CO., COMMON STOCK	A	Dividend	J	T					
15.	--PFIZER INC. COMMON STOCK	B	Dividend	K	T	Buy (add'l)	01/16/24	J		
16.	--SYNOVUS FINANCIAL CORP. COMMON STOCK	A	Dividend	K	T					
17.	--VIATRIS INC. COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
CAPRONI, VALERIE E.	05/21/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	--WALT DISNEY CO. COMMON STOCK		None	L	T					
19.	--KRANESHARES GLOBAL CARBON STRATEGY ETF	B	Dividend	J	T					
20.	--PGIM SHORT DURATION MULTI-SECTOR BOND FUND	A	Dividend	J	T	Buy	01/16/24	J		
21.	--SCHWAB 1000 INDEX FUND	C	Dividend	N	T	Buy (add'l)	01/05/24	J		
22.						Buy (add'l)	04/05/24	J		
23.						Buy (add'l)	07/05/24	J		
24.						Buy (add'l)	10/07/24	J		
25.	--SCHWAB FUNDAMENTAL US SMALL CO. INDEX FUND	A	Dividend	K	T	Buy (add'l)	02/05/24	J		
26.						Buy (add'l)	05/06/24	J		
27.						Buy (add'l)	08/05/24	J		
28.						Buy (add'l)	11/05/24	J		-
29.	--SCHWAB INTERNATIONAL INDEX FUND	B	Dividend	K	T	Buy (add'l)	01/05/24	J		
30.						Buy (add'l)	04/05/24	J		
31.						Buy (add'l)	07/05/24	J		
32.						Buy (add'l)	10/07/24	J		
33.	--SCHWAB INTERNATIONAL OPPORTUNITIES FUND	B	Dividend	L	T	Sold (part)	06/17/24	K		
34.	--VICTORY INCOME FUND	A	Dividend	J	T	Buy (add'l)	03/08/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	06/10/24	J		
36.					Buy (add'l)	09/09/24	J		
37.					Buy (add'l)	12/09/24	J		
38. --VANGUARD INTERMEDIATE TAX EXEMPT FUND	A	Dividend	K	T					
39. --VANGUARD SHORT TERM INVESTMENT GRADE FUND	A	Dividend	J	T					
40. --SCHWAB VALUE ADVANTAGE MONEY FUND	A	Dividend	J	T	Sold (part)	01/16/24	K		
41.					Sold (part)	02/06/24	J		
42.					Buy (add'l)	06/20/24	J		
43.					Buy (add'l)	10/22/24	J		
44.					Sold (part)	12/06/24	J		
45. --SCHWAB BANK DEPOSIT ACCOUNT	A	Interest	J	T					
46. BROKERAGE ACCOUNT #2 (H)									
47. --FIDELITY GOVERNMENT MONEY MARKET FUND	A	Dividend	J	T					
48. --FIDELITY MONEY MARKET	C	Int./Div.	L	T	Buy	11/11/24	L		
49.					Buy (add'l)	11/13/24	J		
50.					Buy (add'l)	12/11/24	J		
51. --FIDELITY INTERNATIONAL GROWTH FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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CAPRONI, VALERIE E.	05/21/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	--FIDELITY EMERGING MARKETS INDEX FUND (X)	A	Dividend	K	T					
53.	--FIDELITY ENHANCED MIDCAP ETF	A	Dividend	K	T					
54.	--NORTHROP GRUMMAN COMMON STOCK	E	Dividend	P1	T	Donated (part)				
55.						Sold (part)	08/02/24	K	E	
56.						Sold (part)	08/30/24	L	E	
57.	IRA ACCOUNT #1 (H)									
58.	--FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
59.	--FIDELITY MONEY MARKET	A	Dividend	J	T	Buy	11/13/24	J		
60.	--FIDELITY 500 INDEX FUND	A	Dividend	K	T	Buy (add'l)	11/13/24	J		
61.	--FIDELITY ENHANCED MIDCAP ETF	A	Dividend			Sold	11/12/24	K	D	
62.	--BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend			Sold	11/12/24	K	B	
63.	--VANGUARD FTSE ALL WORLD EX US INDEX FUND ADMIRAL SHARES	A	Dividend			Sold	11/12/24	K	D	
64.	--FIDELITY CORPORATE BOND FUND	B	Dividend	K	T	Buy (add'l)	11/13/24	K		
65.	--FIDELITY SELECT UTILITIES PORTFOLIO	A	Dividend	K	T	Buy	11/13/24	K		
66.	--VANGUARD EXTENDED MARKETS INDEX FUND	A	Dividend			Sold	11/12/24	J	D	
67.	IRA ACCOUNT #2(H)									
68.	--FIDELITY GOVERNMENT CASE RESERVES (X)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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M =$100,001 - $250,000
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69. --FIDELITY MONEY MARKET PREMIUM CLASS	D	Int./Div.	M	T	Buy	01/05/24	L		
70.					Buy (add'l)	11/13/24	J		
71. --FIDELITY INTERNATIONAL GROWTH FUND	A	Dividend	M	T	Buy (add'l)	08/06/24	J		
72. --FIDELITY INTERNATIONAL CAPITAL APPRECIATION FUND	B	Dividend	N	T					
73. --FIDELITY ENHANCED MIDCAP ETF	B	Dividend	M	T					
74. --FIDELITY FOCUSED STOCK FUND	B	Dividend	N	T	Sold (part)	08/06/24	K	D	
75. --FIDELITY FUND			N	T	Sold (part)	08/06/24	K	C	
76. --FIDELITY VALUE STRATEGIES FUND	B	Dividend	M	T					
77. --PIMCO ALL ASSET ALL AUTHORITY INSTITUTIONAL CLASS	A	Dividend	K	T					
78. --FIDELITY NEW MARKETS INCOME FUND	B	Dividend	K	T					
79. --FIDELITY INVESTMENT GRADE BOND FUND	C	Dividend	M	T	Buy (add'l)	08/06/24	J		
80. --FIDELITY GNMA FUND	B	Dividend	L	T	Buy (add'l)	08/06/24	J		
81. --FIDELITY MORTGAGE SECURITIES BOND FUND	D	Dividend	M	T	Buy (add'l)	08/06/24	K		
82. --FIDELITY INTERMEDIATE GOVERNMENT INCOME FUND	C	Dividend	M	T	Buy (add'l)	08/06/24	J		
83. --FIDELITY TOTAL BOND FUND	D	Dividend	M	T	Buy (add'l)	08/06/24	J		
84. --PGIM SHORT DURATION HIGH YIELD INCOME FUND	B	Dividend	K	T					
85. --TEMPLETON GLOBAL TOTAL RETURN FUND	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
86. --VAN ECK EMERGING MARKETS BOND FUND	B	Dividend	K	T					
87. JPMORGAN CHASE CASH ACCOUNTS	A	Interest			Closed	07/01/24	J		
88. CITIBANK CASH ACCOUNTS	A	Interest	L	T					
89. US SAVINGS BONDS		None	K	T	Redeemed (part)	01/25/24	J	B	
90.					Redeemed (part)	01/29/24	J	B	
91.					Buy (add'l)	01/29/24	J		
92.					Buy (add'l)	02/01/24	J		
93. 401K (H)									
94. --NORTHROP GRUMMAN US FIXED INCOME FUND		None	J	T					
95. --NORTHROP GRUMMAN INTERNATIONAL EQUITY FUND		None	K	T					
96. --NORTHROP GRUMMAN EMERGING MARKETS EQUITY FUND			J	T					
97. --NORTHROP GRUMMAN SMALL CAP FUND			J	T					
98. NATIONAL LIFE INSURANCE WHOLE LIFE POLICIES	A	Dividend	K	T					
99. 529 COLLEGE SAVINGS ACCOUNT (H)									
100. --FIDELITY NH PORTFOLIO 2033			K	T	Buy (add'l)	05/02/24	J		
101.					Buy (add'l)	12/10/24	J		
102. --FIDELITY NH PORTFOLIO 2036			K	T	Buy (add'l)	12/10/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 50: FIDELITY EMERGING MARKETS INDEX FUND WAS INADVERTENTLY OMITTED FROM 2023 DISCLOSURE FORM.

PART VII, LINES 57 AND 67: CHANGED HEADING TO MORE CORRECTLY IDENTIFY THE TYPE OF ACCOUNT IN WHICH THOSE ASSETS ARE HELD.

PART VII, LINE 68: THE NAME OF THIS FUND CHANGED DURING THE YEAR FROM FIDELITY GOVERNMENT MONEY MARKET TO FIDELITY GOVERNMENT CASH RESERVES.

PART VII, LINE 87: THIS IS A BEST GUESS. I CANNOT FIND THE ACTUAL DATE THE ACCOUNT WAS CLOSED. THE BALANCE WAS LESS THAN $5000.

PART VII, LINES 93-97: THIS ACCOUNT HOLDS PROPRIETARY FUNDS ESTABLISHED AND MANAGED BY NORTHROP GRUMMAN CORP. FOR THE BENEFIT OF ITS CURRENT AND FORMER EMPLOYEES' 401(K) PLANS. THE FUNDS ARE NOT PUBLICLY TRADED. PLEASE NOTE THAT THE FUND MANAGER DOES NOT REPORT DISTRIBUTIONS BUT ONLY THE VALUE OF THE HOLDING AT THE END OF THE REPORTING PERIOD. CHANGES IN VALUE AS A RESULT OF DISTRIBUTIONS ARE NOT SEPARATED FROM CAPITAL APPRECIATION.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ VALERIE E. CAPRONI

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544