*AO 10**
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Carney, Cormac J.	District Court - Central District of California	03/21/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, CA 92701-4516		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	University of California Retirement Plan
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Carney, Cormac J.	03/21/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Wells Fargo Bank Accounts	D	Interest	N	T					
2. County Deferred Comp. Plan (H)									
3. -Stable Value Fund	A	Dividend	J	T					
4. -Lomis Sayles Core Plus	A	Dividend	J	T					
5. IRA #1 (H)									
6. -COST	A	Dividend	L	T					
7. -AAPL	A	Dividend	M	T					
8. -Schwab 1000 Index Fund - SNXFX (X)	B	Dividend	M	T	Buy (add'l)	01/05/21	L		
9. -Morningstar Global Nat Res - GUNR	A	Dividend	K	T					
10. -Northern Global Real Estate - NGREX	B	Dividend	K	T					
11. -SPDR S&P Midcap 400 - MDY	A	Dividend	K	T					
12. -Russell 2000 - IWM	A	Dividend	K	T					
13. -Russell 2000 Growth -IWO	A	Dividend	J	T					
14. -Northern Intl Equity - NOINX	B	Dividend	M	T					
15. -Vanguard Dev Mkts - VEA	B	Dividend	L	T					
16. -Northern Emerging Markets - NOEMX	B	Dividend	K	T					
17. -Northern Ulta Short Fixed Income - NUSFX	C	Int./Div.	N	T	Sold (part)	01/05/21	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Carney, Cormac J.	03/21/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. -Northern High Yield Fixed Income - NOFIX	C	Int./Div.	L	T					
19. -Blkrk Hgh Yld Bond - BHYIX	C	Int./Div.	L	T					
20. -Target Duration TIPS - TDTT	A	Dividend	K	T					
21. -Northern US Gov Money Mkt - NOGXX (X)	A	Int./Div.	K	T	Buy	01/05/21	K		
22. [redacted] Trust #1 (H)									
23. -American Funds Balanced - BALFX	A	Dividend	K	T					
24. -Capital Income Bldr FD - CIBFX	A	Dividend			Sold	06/02/21	J	A	
25. -American Funds Growth- GFAFX	D	Dividend	L	T					
26. -Washington Mutual Investors Fund - WSHFX	B	Dividend	K	T					
27. -American Funds New Perspective Fund - NPFFX	A	Dividend	K	T					
28. -Vanguard Wellington - VWENX	C	Dividend	L	T					
29. -Vanguard Healthcare - VGHCX	A	Dividend	K	T					
30. -Janus/Henderson Forty - JACTX	D	Dividend	M	T					
31. -Northern Intl Equity - NOINX	C	Dividend	M	T	Sold (part)	02/08/21	L	C	
32. -Northern Tax Adv Ultra Short - NTAUX	C	Dividend	N	T					
33. -Dimesions Emerging Markets - DFCEX	A	Dividend	J	T					
34. -Northern Cal Inter Tax-Exempt - NCITX	B	Dividend	M	T	Buy (add'l)	02/08/21	K		

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35. -Northern High Yield Fixed Inc - NHFIX	B	Dividend	K	T					
36. -Russell 2000 - IWM	A	Dividend	J	T					
37. -SPDR S&P Midcap 400 - MDY	A	Dividend	J	T					
38. -American Century Ultra - TWCUX	B	Dividend	M	T	Sold (part)	06/02/21	K	B	
39. -Target Duration TIPS - TDTT	A	Dividend	J	T					
40. -Northern Global RE - NGREX	A	Dividend	K	T					
41. -Morningstar Global Nat Res - GUNR	A	Dividend	K	T	Buy (add'l)	02/09/21	J		
42. -Blackrock High Yield Bond - BHYIX (X)	A	Dividend	K	T	Buy	02/09/21	K		
43. -Northern US Govt Money Mkt - NOGXX	A	Int./Div.	M	T	Buy	02/09/21	M		
44. Variable Life Insurance (H)									
45. -Index 400 Stock Fund Northern Trust	A	Dividend	L	T	Buy	11/08/21	L		
46. -Wells Capital Mgmt	A	Dividend			Sold	11/08/21	L	B	
47. -Index 500 Blackrock	A	Dividend	M	T					
48. -Index 600 Northern Trust	A	Dividend	J	T					
49. -NW Mutual Research International Core	A	Dividend	K	T					
50. -Aberdeen Emerging Markets Equity	A	Dividend	K	T					
51. -Pimco MultiSector Bond	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -NW Global RE Securities	A	Dividend	K	T					
53. -NW Strategic Bond	A	Dividend	L	T					
54. -Rowe Price Equity Income	A	Dividend	K	T					
55. -Allspring Global Inv (X)	A	Dividend	M	T	Buy	11/09/21	M		
56. Inherited IRA #1 (H)									
57. -JPM	A	Dividend	K	T					
58. -Northern Stock Index - NOSIX	A	Dividend	L	T					
59. -Nortthern Equity Mid Cap - NOMIX	A	Dividend	J	T					
60. -Northern Small Cap - NSIDX	A	Dividend	J	T					
61. -Northern Intl Equity - NOINX	A	Dividend	K	T					
62. -Northern Emerging Markets - NOEMX	A	Dividend	J	T					
63. -Dimentions Emerging Markets - DFCEX	A	Dividend	J	T					
64. -Northern Ultra Short Fixed Income - NUSFX	A	Dividend	L	T	Sold (part)	02/15/21	J	A	
65. -Northern Bond Index - NOBOX	A	Dividend	K	T					
66. -Northern High Yield Fixed Income - NHFIX	A	Dividend	J	T					
67. -Target Duration TIPS - TDTT	A	Dividend	K	T					
68. -Northern Global Real Estate - NGREX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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69. -Morningstar Global Nat Res - GUNR	A	Dividend	K	T					
70. -Northern US Govt Money Mkt - NOGXX (X)	A	Int./Div.	J	T	Buy	02/17/21	J		
71. Inherited IRA #2 (H)									
72. -Target Duration Tips Index - TDTT	A	Dividend	K	T					
73. -Morningstar Global RE - GUNR	A	Dividend	J	T					
74. -SPDR S&P Midcap 400 - MDY	A	Dividend	J	T					
75. -Northern Intl Equity - NOINX	A	Dividend	L	T					
76. -Northern Global Real Estate - NGREX	A	Dividend	J	T					
77. -Northern Ultra Short Fixed Income - NUSFX	A	Int./Div.	L	T	Sold (part)	02/15/21	J	A	
78. -Northern Bond Index - NOBOX	A	Dividend	K	T					
79. -Northern Emerging Markets Equity - NOEMX	A	Dividend	J	T					
80. -Northern High Yield Fixed Income - NHFIX	A	Dividend	J	T					
81. -SPDR S&P 500 - SPY	A	Dividend	L	T					
82. -Dimensions Emerging Markets - DFCEX	A	Dividend	J	T					
83. -Northern US Gov Money Mkt - NOGXX (X)	A	Int./Div.	J	T	Buy	02/17/21	J		
84. IRA #2 (H)									
85. -Northern Equity Index Midcap - NOMIX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86. -Northern Intl Equity - NOINX	A	Dividend	K	T					
87. -Northern Global Real Estate - NGREX	A	Dividend	J	T					
88. -Northern Bond Index - NOBOX	A	Dividend	L	T					
89. -Northern Emerging Markets - NOEMX	A	Dividend	J	T					
90. -Northern Small Cap Index - NSIDX	A	Dividend	J	T	Sold (part)	02/19/21	J	A	
91. -Northern Stock Index - NOSIX	A	Dividend	L	T					
92. -Northern High Yield Fixed Income - NHFIX	A	Dividend	K	T	Sold (part)	02/19/21	J	A	
93. -DFA Intl Small Co - DFISX	A	Dividend	J	T					
94. -Target Duration TIPS- TDTT	A	Dividend			Sold	08/17/21	J	A	
95. -Morningstar Global Nat Res - GUNR	A	Dividend	K	T	Buy (add'l)	02/22/21	J		
96. -Stoxx Global Broad Index - NFRA	A	Dividend	J	T	Sold (part)	08/13/21	J	A	
97. -Northern US Govt Money Mkt - NOGXX (X)	A	Int./Div.	J	T	Buy	02/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Carney, Cormac J.	03/21/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Line 22: This brokerge account, formerly "Brokerage Account" has been transferred to a revokable [redacted] trust.

Name of Person Reporting	Date of Report
Carney, Cormac J.	03/21/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cormac J. Carney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544