*AO 10**
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Carney, Cormac J.	District Court - Central District of California	04/05/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, CA 92701-4516		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	University of California Retirement Plan
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Carney, Cormac J.	04/05/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Wells Fargo Bank Accounts	B	Interest	N	T					
2. County Deferred Comp. Plan (H)									
3. -Stable Fund	A	Dividend	J	T					
4. -Lomis Sayles Core Plus	A	Dividend	J	T					
5. IRA #1 (H)									
6. -COST	A	Dividend	K	T					
7. -AAPL	A	Dividend	M	T					
8. -Schwab 1000 Index Fund- SNXFX	B	Dividend	L	T					
9. -Morningstar Global Nat Res - GUNR	B	Dividend	K	T					
10. -Northern Global Real Estate - NGREX	A	Dividend	K	T					
11. -SPDR S&P Midcap 400 - MDY	A	Dividend	K	T					
12. -Russell 2000 - IWM	A	Dividend	K	T					
13. -Russell 2000 Growth - IWO	A	Dividend	J	T					
14. -Northerb Intl Equitty - NOINX	C	Dividend	L	T					
15. -Vanguard Dev Mkts - VEA	B	Dividend	K	T					
16. -Northern Emerging Markets - NOEMX	A	Dividend	K	T					
17. -Northern Ultra Short Fixed Income - NUSFX	C	Int./Div.	N	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Carney, Cormac J.	04/05/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. -Northern High Yield Fixed Inc - NOFIX	C	Int./Div.	L	T					
19. -Blkrk Hgh Yld Bond - BHYIX	C	Int./Div.	K	T					
20. -Target Duration TIPS - TDTT	B	Dividend	K	T					
21. -Northern US Gov Money Mkt -NOGXX	B	Int./Div.	L	T					
22. -Vanguard Index Small Cap - VSMAX (X)	A	Dividend	K	T	Buy	03/09/22	K		
23. [redacted] Trust #1 (H)									
24. -American Funds Balanced - BALFX	A	Dividend	K	T					
25. -American Funds Growth - GFAFX	A	Dividend	K	T					
26. -Washington Mutual Investors Fund - WSHFX	A	Dividend	K	T					
27. -American Funds New Perspective -NPFFX	A	Dividend	K	T					
28. -Vanguard Wellington - VWENX	B	Dividend	L	T					
29. -Vanguard Healthcare - VGHCX	A	Dividend	K	T					
30. -Janus/Henderson Forty - JACTX	B	Dividend	M	T					
31. -Northern Intl Equity - NOINX	C	Dividend	M	T					
32. -Northern Tax Adv Ultra Short - NTAUX	D	Dividend	N	T					
33. -Dimensions Emerging Markets - DFCEX	A	Dividend	J	T					
34. -Northern Cal Inter Tax-Exempt - NCITX	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. -Northern High Yield Fixed Inc -NHFIX	B	Dividend	K	T					
36. -Russell 2000 - IWM	A	Dividend	J	T					
37. -SPDR S&P Midcap 400 - MDY	A	Dividend	J	T					
38. -American Century Ultra - TWCUX	B	Dividend	M	T					
39. -Target Duration TIPS - TDTT	A	Dividend	J	T					
40. -Northern Global RE - NGREX	A	Dividend	J	T					
41. -Morningstar Global Nat Res - GUNR	B	Dividend	K	T					
42. -Blackrock High Yield Bond -BHYIX	B	Dividend	K	T					
43. -Northern US Govt Money Mkt - NOGXX	C	Int./Div.	M	T					
44. Variable Life Insurance (H)									
45. -Index 400 Stock Fund Northern Trust	A	Dividend	K	T					
46. -Index 500 Blackrock	A	Dividend	M	T					
47. -Index 600 Northern Trust	A	Dividend	K	T					
48. -NW Mutual Research International Core	A	Dividend	K	T					
49. -Aberdeen Emerging Markets Equity	A	Dividend	K	T					
50. -Pimco MultiSector Bond	A	Dividend	K	T					
51. -NW Global RE Securities	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
52. -NW Strategic Bond	A	Dividend	K	T					
53. -Rowe Price Equity Income	A	Dividend	K	T					
54. -Allspring Global Inv	A	Dividend	L	T					
55. Inherited IRA #1 (H)									
56. -JPM	A	Dividend	J	T					
57. -Northern Stock Index - NOISIX	A	Dividend	K	T	Buy (add'l)	12/22/22	J		
58. -Northern Equity Mid Cap - NOMIX	A	Dividend	J	T					
59. -Northern Small Cap - NSIDX	A	Dividend	J	T					
60. -Northern Intl Equity - NOINX	A	Dividend	K	T					
61. -Northern Emerging Markets - NOEMX	A	Dividend	J	T					
62. -Dimensions Emerging Markets - DFCEX	A	Dividend	J	T					
63. -Northern Ulta Short Fixed Income - DUSFX	A	Dividend	L	T	Sold (part)	03/04/22	J	A	
64. -Northern Bond Index - NOBOX	A	Dividend	K	T	Sold (part)	03/04/22	J	A	
65. -Northern High Yield Fixed Income - NHFIX	A	Dividend	J	T					
66. -Target Duration TIPS - TDTT	B	Dividend	K	T					
67. -Northern Global Real Estate - NGREX	A	Dividend	J	T					
68. -Morningstar Global Nat Res - GUNR	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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69.	-Northern US Govt Money Mkt - NOGXX	A	Int./Div.	J	T					
70.	Inherited IRA #2 (H)									
71.	-Target Duration Tips - TDTT	B	Dividend	K	T					
72.	-Morningstar Global RE - GUNR	A	Dividend	J	T					
73.	-SPDR S&P Midcap 400 - MDY	A	Dividend	J	T					
74.	-Northern Intl Equity - NOINX	B	Dividend	K	T					
75.	-Northern Global Real Estate - NGREX	A	Dividend	J	T					
76.	-Northeern Ultra Short Fixed Income - NUSFX	A	Int./Div.	L	T	Sold (part)	03/04/22	J	A	
77.	-Northern Bond Index - NOBOX	A	Dividend	K	T	Sold (part)	03/04/22	J	A	
78.	-Northern Emerging Markets Equity - NOEMX	A	Dividend	J	T					
79.	-Northern High Yield Fixed Income - NHFIX	A	Dividend	J	T					
80.	-SPDR S&P 500 - SPY	B	Dividend	L	T					
81.	-Dimensions Emerging Markets - DFCEX	A	Dividend	J	T					
82.	-Northern US Gov Money Mkt - NOGXX	A	Int./Div.	J	T					
83.	IRA #2 (H)									
84.	-Northern Equity Midcap - NOMIX	A	Dividend	J	T					
85.	-Northern Intl Equity - NOINX	A	Dividend	K	T	Sold (part)	02/28/22	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. -Northern Global Real Estate -NGREX	A	Dividend	J	T					
87. -Northern Bond Index - NOBOX	B	Dividend	L	T	Buy (add'l)	01/04/22	J		
88. -Northern Emerging Markets - NOEMX	A	Dividend	J	T	Sold (part)	03/11/22	J	A	
89. -Northern Small Cap Index - NSIDX	A	Dividend	J	T	Buy (add'l)	02/28/22	J		
90. -Northern Stock Index - NOSIX	A	Dividend	L	T	Buy (add'l)	02/28/22	J		
91. -Northern High Yield Fixed Income - NHFIX	B	Dividend	K	T	Buy (add'l)	02/28/22	J		
92. -DFA Intl Small Co -DFISX	A	Dividend	J	T	Sold (part)	07/28/22	J	A	
93. -Target Duration TIPS - TDTT (X)	A	Dividend	J	T	Buy	02/28/22	J		
94. -Morningstar Global Nat Res - GUNR	A	Dividend			Sold	02/28/22	J	A	
95. -Stoxx Global Broad Index - NFRA	A	Dividend	J	T	Buy (add'l)	06/10/22	J		
96. -Northern US Govt Money Market - NOGXX	A	Int./Div.	J	T					
97. -Vanguard Protected Securities - VAIPX (X)	A	Dividend	J	T	Buy	02/28/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Carney, Cormac J.	04/05/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cormac J. Carney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544