AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Casper, Denise J.	U.S. District Court for District of MA	05/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 1 Courthouse Way Boston, MA 02210		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Trustee	Big Sister Association of Greater Boston, Inc.
2.	Director	The Steppingstone Foundation
3.	Fund Advisor	Donor Advised Fund #1
4.	Director	Brookline High School Innovation Fund
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Casper, Denise J.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America Accounts	A	Interest	O	T					
2.	Ally Bank Accounts (cash accounts)	C	Interest	O	T					
3.										
4.	Water Street Healthcare Partners, Limited Partnership		None	J	W					
5.	Water Street Healthcare Partners II, Limited Partnership	F	Distribution	L	W					
6.										
7.	TPG FOF VI - QP, Limited Partnership	E	Distribution	K	W					
8.										
9.	Special Opportunities Fund III, Limited Partnership	E	Distribution	J	W					
10.	Special Opportunities Fund IV, Limited Partnership	B	Distribution	L	W					
11.										
12.	Charlesbank Equity Coinvestment Fund VII, LP	D	Distribution	J	W					
13.										
14.	Trust (H)									
15.	- Schwab Gov't Money Fund (SWGXX)	A	Dividend	J	T					
16.	- iShares MSCI ACWI ETF IV (ACWI)	D	Dividend	N	T	Buy (add'l)	09/24/21	K		
17.										

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. US Savings Bonds	A	Interest	K	W					
19.									
20. IRA #1 (H)									
21. - Doubleline Core Fixed Income Fund (DBLFX)	E	Dividend	O	T					
22.									
23.									
24. Charles Schwab Bank Account (cash)	A	Interest	P1	T					
25. Schwab Premier Bank Account (cash)	A	Interest	M	T					
26. Schwab S&P 500 Index Fund (SWPPX)	E	Dividend	P1	T					
27. iShares MSCI ACWI ETF IV (ACWI)	E	Dividend	P1	T					
28. AMG GW&K Municipal Bond Fund Inst (GWMIX)	G	Dividend	P2	T	Buy (add'l)	03/12/21	N		
29.					Buy (add'l)	06/28/21	O		
30. Doubleline Core Fixed Income Fund (DBLFX)	G	Dividend	P1	T					
31. Adara Smaller Companies f/k/a Altair Smaller Companies Fd It (ASCSZ)	G	Dividend	P1	T					
32. Aquarius International (ASBSZ)	G	Dividend	P2	T					
33. FPA Crescent Fund Inst (FPACX)	G	Dividend	P1	T					
34. Touchstone Sands Capital Instl Growth Fund (CISGX)	G	Dividend	P1	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. iShares Russell 1000 Value (IWD)	F	Dividend	P1	T					
36. Fidelity Advisor Intl Real Estate Fund Ins (FIRIX)	G	Dividend	P1	T					
37. iShares Russell 2000 Value ETF (IWM)	D	Dividend	P1	T					
38. Matisse Discounted Closed-End Fd Strategy Ins (MDCEX)	H1	Dividend	P1	T					
39. Vanguard Short-Term Federal Fund (VSGDX)	E	Dividend	P1	T	Sold (part)	01/12/21	M		
40.					Sold (part)	01/13/21	N		
41.					Sold (part)	03/12/21	N		
42.					Sold (part)	03/24/21	M		
43.					Sold (part)	06/09/21	M		
44.					Sold (part)	06/28/21	O		
45.					Sold (part)	09/02/21	M		
46. TIAA-CREF Real Estate Securities Fund (TIREX)	F	Dividend	P1	T					
47.									
48. IRA #2 (H)									
49. - Charles Schwab bank account	A	Interest	J	T					
50. - Schwab S&P 500 Index (SWPPX)	C	Dividend	M	T					
51.									

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52. Adams Natural Resources Fund I (PEO) (Y) See notes.									
53. Newmont Mng Corp. Holding Co. (NEM) (Y) See notes.									
54. Brown Capital International Small Co. Inv Shs (BCSVX) (X) See notes.	B	Dividend	K	T					
55. American Funds Growth Fund of America Class A (AGTHX) (X) See notes.	C	Dividend	K	T					
56. Fidelity bank account (cash) (X)	A	Interest	K	T					
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII
Line 52: Sold 05/08/20, value code K, no gain.
Line 53: Sold 05/08/20, value code K, gain code B.
Line 54: Purchased 02/18/20, value code K.
Line 55: Purchased 05/08/20, value code K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Denise J. Casper

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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