AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Casper, Denise J.	U.S. District Court for District of Massachusetts	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse One Courthouse Way Boston, MA 02210		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	The Steppingstone Foundation
2.	Fund Advisor	Donor Advised Fund #1
3.	Trustee	American Repertory Theater
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Casper, Denise J.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Bank of America Accounts	A	Interest	N	T					
2. Ally Bank Accounts (cash accounts)	E	Interest	O	T					
3.									
4. Water Street Healthcare Partners, Limited Partnership		None	J	W					
5. Water Street Healthcare Partners II, Limited Partnership	D	Distribution	J	W					
6.									
7. Trust (H)									
8. - Schwab Government Money Fund (SWGXX)	A	Dividend	J	T	Buy (add'l)	06/14/23	J		
9.					Buy (add'l)	12/28/23	J		
10. - iShares MSCI ACWI ETF (ACWI)	D	Dividend	N	T	Buy (add'l)	05/16/23	K		
11.									
12. U.S. Savings Bonds	A	Interest	K	W					
13.									
14. IRA #1 (H)									
15. - Cliffwater Corporate Lending I (CCLFX)	G	Dividend	O	T					
16. - Schwab Government Money Fund (SWGXX)	A	Interest	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Casper, Denise J.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Charles Schwab Bank Account	A	Interest	M	T					
19. Schwab Premier Bank Cash Account	A	Interest	J	T					
20. Schwab S&P 500 Index Fund (SWPPX)	E	Dividend	P1	T					
21. iShares MSCI ACWI ETF (ACWI)	F	Dividend	P1	T	Sold (part)	09/08/23	K	D	
22. AMG GW&K Municipal Bond Fund Inst (GWMIX)	G	Dividend	P2	T					
23. Adara Smaller Companies (ASCSZ)	E	Dividend	P1	T	Sold (part)	08/11/23	K	C	
24. Aquarius International (ASBSZ)	G	Dividend	P2	T	Sold (part)	07/14/23	N		
25.					Sold (part)	08/11/23	K		
26.					Sold (part)	09/08/23	L	C	
27. FPA Crescent Fund Inst (FPACX)	C	Dividend	P1	T	Sold (part)	04/27/23	N	A	
28.					Sold (part)	08/11/23	L	D	
29.					Sold (part)	11/07/23	M	D	
30. iShares Russell 1000 Value ETF (IWD)	F	Dividend	P1	T	Sold (part)	07/14/23	N	F	
31.					Sold (part)	08/11/23	M	E	
32. Fidelity Advisor Intl Real Estate Fund Ins (FIRIX)	E	Dividend	P1	T	Buy (add'l)	07/17/23	P1		
33. iShares Russell 2000 Value ETF (IWM)	E	Dividend	O	T	Sold (part)	09/08/23	K	B	
34. TIAA-CREF Real Estate Sec Intl (TIREX)	E	Dividend	P1	T	Buy (add'l)	04/28/23	P1		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35. Cliffwater Corporate Lending I (CCLFX)	G	Dividend	O	T	Sold (part)	02/21/23	L	B	
36.					Sold (part)	05/15/23	N	B	
37.					Sold (part)	11/13/23	N	D	
38. iShares Short Treasury Bond ETF (SHV)	F	Dividend			Sold	11/20/23	P1	D	
39. Schwab Value Advantage Money Ultra (SNAXX)	E	Dividend			Sold (part)	01/05/23	L		
40.					Sold (part)	01/12/23	M		
41.					Sold (part)	02/03/23	L		
42.					Buy (add'l)	02/23/23	L		
43.					Sold (part)	02/27/23	N		
44.					Sold (part)	04/03/23	J		
45.					Sold (part)	04/11/23	N		
46.					Sold	04/12/23	O		
47. Vanguard Global Ex US Real Estate ETF (VNQI)		None			Sold	07/14/23	P1	F	
48. Vanguard Real Estate ETF (VNQ)	E	Dividend			Sold	04/27/23	P1		
49. Zevenbergen Growth Institutional (ZVNIX)		None	P1	T	Sold (part)	07/14/23	O	G	
50.					Sold (part)	09/08/23	M	E	
51. U.S. Treasury Note 4.75% due 11/30/30 (91282CJM4)		None	P1	T	Buy	11/28/23	P1		

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52. Schwab U.S. Treasury Money Investor (SNSXX)	F	Dividend	P1	T	Buy	04/14/23	O		
53.					Buy (add'l)	04/18/23	K		
54.					Buy (add'l)	05/01/23	M		
55.					Buy (add'l)	05/18/23	M		
56.					Sold (part)	06/01/23	K		
57.					Sold (part)	06/07/23	M		
58.					Sold (part)	06/12/23	M		
59.					Sold (part)	06/21/23	J		
60.					Sold (part)	06/26/23	M		
61.					Sold (part)	07/05/23	K		
62.					Buy (add'l)	07/17/23	P1		
63.					Sold (part)	07/31/23	M		
64.					Sold (part)	08/01/23	M		
65.					Buy (add'l)	08/11/23	M		
66.					Sold (part)	08/31/23	M		
67.					Sold (part)	09/07/23	M		
68.					Buy (add'l)	09/08/23	N		

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69.					Sold (part)	10/02/23	K		
70.					Buy (add'l)	10/03/23	K		
71.					Sold (part)	10/31/23	L		
72.					Buy (add'l)	11/16/23	N		
73.					Sold (part)	11/17/23	L		
74.					Sold (part)	11/29/23	P1		
75.					Buy (add'l)	12/04/23	L		
76.					Buy (add'l)	12/19/23	L		
77.									
78.	IRA #2 (H)								
79.	- Charles Schwab bank account (cash) (y)								
80.	- Cliffwater Corporate Lending (CCLFX)	E	Dividend	O	T				
81.									
82.	Brown Capital International Small Co. Inv Shs (BCSVX)		None	K	T				
83.	American Funds Growth Fund of America Class A (AGTHX)	A	Dividend	K	T				
84.	Fidelity bank account (cash)	A	Interest	K	T				
85.									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 79: Account was closed 1/21/22, but reported as sold (part) on 2022 report line 81.

Name of Person Reporting	Date of Report
Casper, Denise J.	05/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Denise J. Casper

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544