AO 10
Rev. 1/2015

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Castel, P .Kevin | S.D.N.Y. | 06/13/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge (Senior) | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021 **to** 12/31/2021 |
| **7. Chambers or Office Address** | | |
| United States Courthouse<br>500 Pearl Street<br>New York, NY 10007 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions )*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director (ex officio as former president) | St. John's School of Law, Alumni Association (uncompensated) |
| 2. | Trustee (ex officio as former president) | Federal Bar Council (uncompensated) |
| 3. | Board of Managers | Havens Relief Fund Society (uncompensated) |
| 4. | Trustee | [redacted] Irrevocable Trust (uncompensated) |
| 5. | Director | Federal Judges Association (uncompsensated) |
| 6. | Chair | New York State-Federal Judicial Council (uncompensated) |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions )*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 10/27/03 | Cahill Gordon & Reindel LLP agreement in connection with withdrawal from firm. (Copy of agreement filed with 2006 FDR and those of prior years.) |
| 2. | 3/4/13 | Cahill Gordon & Reindel LLP renouncement of all future payments. (Copy filed with 2012 FDR.) |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions )*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | 2021 | St. John's University (income as salary) |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | C | D | | | | | |
|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | Gross value at end of reporting period | Transactions during reporting period | | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Brk Act #1 Fidelity Capital Apprec'n Mutual Fd [FDCAX] | F | Dividend | N | T | Donated (part) | | | | |
| 2. Brk Act #1Fidelity Cash Reserves [FDRXX] | A | Int./Div. | N | T | | | | | |
| 3. Brk Act #1 Fidelity Asset Manager Mutual Fd [FASGX] | D | Dividend | N | T | | | | | |
| 4. Brk Act #1 Fidelity Aggressive Growth Mutual Fd [FAMRX] | D | Dividend | N | T | Donated (part) | | | | |
| 5. Brk Act #1 Fidelity Balanced [FBALX] | E | Dividend | N | T | | | | | |
| 6. Brk Act #1 Fidelity MSCI Info [FTEC] | A | Dividend | K | T | Donated (part) | | | | |
| 7. Brk Act #1 Fidelity NASDAQ [FNCMX] | A | Dividend | M | T | Donated (part) | | | | |
| 8. Brk Act #1 Fidelity [FZDXX] | A | Int./Div. | M | T | | | | | |
| 9. Brk Act #4 First Eagle Overseas Fund Class A | A | Dividend | J | T | | | | | |
| 10. Brk Act #4 LM [SB] Appreciation Fd CL A | B | Dividend | N | T | | | | | |
| 11. Brk Act #4 LM [SB] Large Cap Grwth FD CL A | A | Dividend | N | T | | | | | |
| 12. Brk Act #4 Morgan Stanley NY Muni Money Mkt Fd CL A | A | Interest | N | T | | | | | |
| 13. Brk Act #4 VFH | A | Dividend | K | T | | | | | |
| 14. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22 | A | Interest | | | Redeemed | 03/01/21 | J | A | |
| 15. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22 | A | Interest | | | Redeemed | 03/01/21 | K | A | |
| 16. Brk Act #4 NJ Trans 5.25/23 | B | Interest | K | T | | | | | |
| 17. Brk Act #4 NJ State Trans 5.25/23 | C | Interest | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | C | D | | | | | |
|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | Gross value at end of reporting period | Transactions during reporting period | | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18. Brk Act #4 NJ State Trans 5/24 (Part VIII) | | | | | Merged (with line 67) | 10/18/21 | | | |
| 19. Brk Act #4 NJ EDA Sch Facs Ser EE 5.25/25 | C | Interest | | | Redeemed | 03/01/21 | K | A | |
| 20. Brk Act #4 NJ TFA Rev Ser B 5.25/25 | C | Interest | | | Redeemed | 06/15/21 | K | A | |
| 21. Brk Act #4 NJ Econ Dev Auth Sch Facs Const Ref-NN 5/26 (Part VIII) | | | | | Merged (with line 72) | 10/18/21 | | | |
| 22. Brk Act #4 NJ Econ Dev Auth School 5.25/26 | D | Interest | | | Redeemed | 03/01/21 | M | A | |
| 23. Brk Act #4 NJ St. Trans Auth Ser - A 0/26 (Part VIII) | | | | | Merged (with line 73) | 10/18/21 | | | |
| 24. Brk Act #4 NY NY 5/28 | B | Interest | K | T | | | | | |
| 25. Brk Act #4 NY NY 5/28 | B | Int./Div. | K | T | | | | | |
| 26. Brk Act #4 NYS Thruway 5/28 | C | Interest | | | Redeemed | 09/15/21 | L | A | |
| 27. Brk Act #4 NY NY IDA Rev AGC 6.125/29 | A | Interest | | | Redeemed | 03/15/21 | J | A | |
| 28. Brk Act #4 NJ St. Trans TRFD Sys - A 5.125/29 | C | Interest | | | Redeemed | 06/15/21 | K | A | |
| 29. Brk Act #4 NYS Dorm Auth 5/29 | C | Interest | M | T | | | | | |
| 30. Brk Act #4 NJ TFARev Ser B 5.25/36 | D | Interest | | | Redeemed | 06/15/21 | L | A | |
| 31. Brk Act #4 Ishares TR Dow Jones Select Div Index Fund | B | Dividend | L | T | | | | | |
| 32. Brk Act #4 ADP | A | Dividend | K | T | | | | | |
| 33. Brk Act #4 CDK | A | Dividend | J | T | | | | | |
| 34. Brk Act #4 BR | A | Dividend | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 35. Brk Act #4 BBY | A | Dividend | K | T | | | | | |
| 36. Brk Act #4 IBM | A | Dividend | J | T | | | | | |
| 37. Brk Act #4 KMB | A | Dividend | J | T | | | | | |
| 38. Brk Act #4 AVNS | | None | J | T | | | | | |
| 39. Brk Act #4 MDT | A | Dividend | K | T | | | | | |
| 40. Brk Act #4 MSFT | A | Dividend | L | T | | | | | |
| 41. Brk Act #4 WMT | A | Dividend | K | T | | | | | |
| 42. Brk Act #4 WBA | A | Dividend | J | T | | | | | |
| 43. Brk Act #4 VIG | C | Dividend | M | T | | | | | |
| 44. Brk Act #7 Bank Dep. Prog. Morgan Stanley Bank, N.A. | A | Interest | J | T | | | | | |
| 45. Brk Act #7 EFG | A | Dividend | J | T | | | | | |
| 46. Brk Act #7 EFV | A | Dividend | J | T | | | | | |
| 47. Brk Act#7 EEM | A | Dividend | K | T | | | | | |
| 48. Brk Act #7 IWF | A | Dividend | K | T | | | | | |
| 49. Brk Act #7 IWD | A | Dividend | K | T | | | | | |
| 50. Brk Act #7 IWO | A | Dividend | K | T | | | | | |
| 51. Brk Act #7 IWN | A | Dividend | K | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 52. Brk Act #7 IWP | A | Dividend | K | T | | | | | |
| 53. Brk Act #7 IWS | A | Dividend | K | T | | | | | |
| 54. Brk Act #9 Bank Dep Prog. Morgan Stanley Bank, N.A. | A | Interest | L | T | | | | | |
| 55. Brk Act #9 SPY | C | Dividend | N | T | | | | | |
| 56. Brk Act #9 VIG | B | Dividend | M | T | | | | | |
| 57. Brk Act #10 Bank Dep Prog. Morgan Stanley Bank, N.A. | A | Interest | K | T | | | | | |
| 58. Brk Act #10 NY St. Dorm Auth North Shore Rev A 5/21 | B | Interest | | | Matured | 05/03/21 | K | A | |
| 59. Brk Act #10 NJ Health Care Facs 5/21 | B | Interest | | | Matured | 07/01/21 | K | A | |
| 60. Brk Act #10 Delaware Riv Jt Toll Bridge 5/22 | B | Interest | L | T | | | | | |
| 61. Brk Act #10 Piedmont Mun Pwr Agy 5/23 | B | Interest | | | Redeemed | 06/23/21 | K | A | |
| 62. Brk Act #10 Monmouth Cnty NJ 5/23 | C | Interest | L | T | | | | | |
| 63. Brk Act #10 NJ Health Care Facs Fing Auth 5/23 | B | Interest | L | T | | | | | |
| 64. Brk Act #10 Monmouth Cnty NJ Impt Auth Lease 5/23 | B | Interest | L | T | | | | | |
| 65. Brk Act #10 Delaware Riv & Bay Auth Del Rev B 5/24 | B | Interest | L | T | | | | | |
| 66. Brk Act #10 Moorestown Twp NJ School Dist 5/24 | B | Interest | L | T | | | | | |
| 67. Brk Act #4 NJ State Trans 5/24 (Part VIII) | B | Interest | L | T | | | | | |
| 68. Brk Act #10 Hamilton Twp. Mercer Cnty NJ 5/25 | C | Interest | L | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | C | D | | | | | |
|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | Gross value at end of reporting period | Transactions during reporting period | | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 69. Brk Act #10 NJ St Edl Fac Auth Rev-A 5/25 | C | Interest | L | T | | | | | |
| 70. Brk Act #10 Port Auth NY & NJ Con 5/26 | C | Interest | L | T | | | | | |
| 71. Brk Act #10 NJ Turnpike Auth Rev Ser B 5/26 | B | Interest | | | Redeemed | 02/05/21 | L | C | |
| 72. Brk Act #4 NJ Econ Dev Auth Sch Facs Const Ref-NN 5/26 (Part VIII) | B | Interest | L | T | | | | | |
| 73. Brk Act #4 NJ St. Trans Auth Ser - A 0/26 (Part VIII) | A | Interest | L | T | | | | | |
| 74. Brk Act #10 Monmouth Cnty NJ Impt 5/27 | C | Interest | L | T | | | | | |
| 75. Brk Act #10 Hudson Cnty NJ Impt 5/29 | B | Interest | L | T | | | | | |
| 76. Brk Act #10 Mercer Cnty NJ Impt Auth 5/27 | B | Interest | L | T | | | | | |
| 77. Brk Act #10 Port Auth of NY & NJ Consld Rev 194 5/27 | B | Interest | L | T | | | | | |
| 78. Brk Act #10 Hudson Cnty NJ G/O 4/27 | B | Interest | L | T | | | | | |
| 79. Brk Act#10 Long Island Pwr Auth 5/27 | C | Interest | L | T | | | | | |
| 80. Brk Act #10 NJ St Tpk Auth 5/29 | B | Interest | L | T | | | | | |
| 81. Brk Act #10 NYC TFA 5/29 | C | Interest | | | Redeemed | 05/14/21 | L | D | |
| 82. Brk Act #10 NYC TFA Rev-F3 5/30 | C | Interest | M | T | | | | | |
| 83. Brk Act #10 Burlingtn Cnty NJ 5/30 | C | Interest | M | T | | | | | |
| 84. Brk Act #10 NY St. Environ FACS 5/30 | C | Interest | L | T | | | | | |
| 85. Brk Act #10 NY ST Dorm Auth 5/30 | A | Interest | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 86. Brk Act #10 NY ST Dorm Auth 5/30 | D | Interest | M | T | | | | | |
| 87. Brk Act #10 NYC Muni WTR 5/30 | C | Interest | | | Redeemed | 09/16/21 | L | D | |
| 88. Brk Act #10 NY ST Dorm Auth Sales Tax 5/31 | C | Interest | L | T | | | | | |
| 89. Brk Act #10 NY NY City Muni Wtr 5/32 | C | Interest | L | T | | | | | |
| 90. Brk Act #10 NY NY City TFA 5/33 | C | Interest | M | T | | | | | |
| 91. Brk Act #10 Dorm Auth NY St. Johns 5/33 | C | Interest | M | T | | | | | |
| 92. Brk Act#10 NY NY City Transitional 4/33 | C | Interest | M | T | | | | | |
| 93. Brk Act #10 NY ST Dorm Auth Sales Tax 5/33 | C | Interest | L | T | | | | | |
| 94. Brk Act #10 NY St Environmental 5/34 | C | Interest | L | T | | | | | |
| 95. Brk Act #10 NY ST Dorm Auth Sales Tax 5/34 | C | Interest | M | T | | | | | |
| 96. Brk Act#10 NY ST Urban Dev 4/34 | C | Interest | M | T | | | | | |
| 97. Brk Act #10 Nassau Cnty NY 5/34 | B | Interest | | | Buy | 02/05/21 | M | | |
| 98. | | | | | Redeemed | 10/25/21 | L | A | |
| 99. Brk Act #10 New York NY 5/33 | B | Interest | | | Buy | 09/20/21 | M | | |
| 100. | | | | | Redeemed | 11/02/21 | M | A | |
| 101. Brk Act #10 New York State TW 4/37 | B | Interest | | | Buy | 07/21/21 | M | | |
| 102. | | | | | Redeemed | 12/01/21 | M | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 103. Brk Act #10 NYC TFA Future Tax 5/35 | D | Interest | M | T | | | | | |
| 104. Brk Act #10 New York NY 5/35 | C | Interest | M | T | | | | | |
| 105. Brk Act #10 New York NY 5/35 | C | Interest | M | T | | | | | |
| 106. Brk Act #10 Westchester Cnty NY GO Ser A 2/34 | C | Interest | M | T | Buy | 12/02/21 | M | | |
| 107. Brk Act #10 New York NY City TFA Bldg Aid 4/35 | D | Interest | M | T | Buy | 03/25/21 | M | | |
| 108. Brk Act #10 New York St Env Facs Corp Green 4/35 | D | Interest | M | T | Buy | 11/12/21 | M | | |
| 109. Brk Act #10 New York St Dorm Auth Revs Non-supp Debt 4/35 | D | Interest | M | T | Buy | 05/14/21 | M | | |
| 110. Brk Act#13 FidelityEquity In [FEQIX] (Part VIII) | E | Dividend | P1 | T | | | | | |
| 111. Brk Act #13 Fidelity Inter Bond [FTHRX] (H) (Part VIII) | C | Interest | | | | | | | |
| 112. Brk Act #13 Fidelity Retire Mmkt[Cash Reserves][FDRXX] [FCRXX] [FCRXX] | A | Interest | | | Sold | 11/08/21 | K | A | |
| 113. Brk Act #13 Bank Depoist Program Morgan Stanley Bank (Part VIII) | A | Interest | K | T | Buy | 11/08/21 | K | | |
| 114. Bank Act #14 Chase Accounts | A | Interest | K | T | | | | | |
| 115. Brk Act # 15 Fidelity Smart Account (PartVIII) | A | Interest | | | Distributed | 03/11/21 | J | A | |
| 116. Bank Act#16 TD Bank | | None | J | T | | | | | |
| 117. Brk Act #17 Bank Deposit Program Morgan Stanley Bank | A | Interest | J | T | | | | | |
| 118. Brk Act #17 EFG | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 119. Brk Act #17 EFV | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 120. Brk Act #17 EEM | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 121. Brk Act #17 IWF | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 122. Brk Act #17 IWD | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 123. Brk Act #17 IWO | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 124. Brk Act #17 IWN | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 125. Brk Act #17 IWP | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 126. Brk Act #17 IWS | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 127. Brk Act #17 MAHQX | A | Dividend | J | T | Buy (add'l) | 12/06/21 | J | | |
| 128. Real Estate Long Island NY #1 | | None | N | W | | | | | |
| 129. Brk Act # 18 Citibank CD (Part VIII) | A | Interest | | | | | | | |
| 130. Brk Act # 19 Ciitibank CD | A | Interest | | | Matured | 06/21/21 | K | | |
| 131. Bank Acct #20 First Nat Bank of LI | A | Interest | L | T | | | | | |
| 132. Brk Act # 21 Fidelity SPAXX Part VIII) | A | Interest | | | Merged (with line 133) | 03/11/21 | O | | |
| 133. Brk Act #21 Bank Dep Prog Morgan Stanley | A | Int./Div. | M | T | Buy | 03/11/21 | | | |
| 134. Brk Act #21 EFG | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |
| 135. Brk Act # 21 EFV | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |
| 136. Brk Act # 21 EEM | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 137. Brk Act #21 IWF | A | Int./Div. | K | T | Buy | 05/25/21 | K | | |
| 138. Brk Act #21 IWD | A | Int./Div. | K | T | Buy | 05/25/21 | K | | |
| 139. Brk Act #21 IWO | A | Int./Div. | J | T | Buy | 05/25/21 | K | | |
| 140. Brk Act #21 IWN | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |
| 141. Brk Act #21 IWP | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |
| 142. Brk Act #21 IWS | A | Int./Div. | J | T | Buy | 05/25/21 | J | | |
| 143. Brk Act #21 New York NY City TFA Rev-S-1A 5/28 | A | Interest | K | T | Buy | 03/18/21 | K | | |
| 144. Brk Act #21 New York St Mtg Agy 1.45/29 | A | Interest | K | T | Buy | 07/01/21 | K | | |
| 145. Brk Act #21 New York City NY GO Ser-A-1 4/29 | A | Interest | J | T | Buy | 03/23/21 | J | | |
| 146. Brk Act #21 New York City TFA Future Tax 5/30 | A | Interest | K | T | Buy | 03/22/21 | K | | |
| 147. Brk Act #21 Long Is Pwr Auth NY 5/30 | A | Interest | K | T | Buy | 03/19/21 | K | | |
| 148. Brk Act #21 New York St Dorm Auth Sales Tax 5/31 | A | Interest | K | T | Buy | 04/13/21 | K | | |
| 149. Brk Act #21 Dorm Auth St NY Cornell Univ Rev-A 5/32 | A | Interest | J | T | Buy | 03/25/21 | J | | |
| 150. Brk Act #21 Triborough Bridge NY Tunnl Auth 5/32 | A | Interest | J | T | Buy | 03/25/21 | J | | |
| 151. Brk Act #21 Wake Cnty NC GO 4/33 | A | Interest | K | T | Buy | 03/18/21 | K | | |
| 152. Brk Act #21 Monroe Cnty NY Indl Dev Agy Sch Fac 5/33 | A | Interest | K | T | Buy | 03/18/21 | K | | |
| 153. Brk Act #21 New York NYC Mun Wtr Fin Auth 5/33 | A | Interest | J | T | Buy | 03/19/21 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 154. Brk Act #21 Triborough Brdg & Tunl Auth NY Revs 5/33 | A | Interest | K | T | Buy | 3/18/21 | K | | |
| 155. Brk Act #21 NY St Dorm Auth Pers Income Tax 5/35 | A | Interest | J | T | Buy | 03/18/21 | J | | |
| 156. Brk Act #21 New York St Urban Dev Corp 5/36 | A | Interest | K | T | Buy | 03/18/21 | K | | |
| 157. Brk Act #21 Port Auth NY & NJ Cons 4/36 | A | Interest | K | T | Buy | 07/28/21 | K | | |
| 158. Brk Act #24 Fidelity FAMRX (Part VIII) | A | Interest | | | Sold | 03/23/21 | J | B | |
| 159. Brk Act #24 SPAXX | A | Int./Div. | | | Merged (with line 160) | 03/11/21 | J | | |
| 160. Brk Act #24 Bank Deposit Program Morgan Stanley | A | Int./Div. | J | T | Buy | 03/11/21 | J | | |
| 161. Brk Act #24 EFG | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 162. Brk Act #24 EFV | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 163. Brk Act #24 EEM | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 164. Brk Act #24 IWF | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 165. Brk Act #24 IWD | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 166. Brk Act #24 IWO | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 167. Brk Act #24 IWN | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 168. Brk Act #24 IWP | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 169. Brk Act #24 IWS | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |
| 170. Brk Act #24 MNOIX | A | Int./Div. | J | T | Buy | 03/25/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 171. Brk Act #25 Fidelity FAMRX (Part VIII) | A | Int./Div. | | | Sold | 03/23/21 | J | B | |
| 172. Brk Act #25 SPAXX | A | Int./Div. | | | Merged (with line 173) | 03/11/21 | J | | |
| 173. Brk Act #25 Bank Deposit Program Morgan Stanley | A | Interest | J | T | Buy | 03/11/21 | J | | |
| 174. Brk Act #25 EFG | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 175. Brk Act #25 EFV | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 176. Brk Act #25 EEM | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 177. Brk Act #25 IWF | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 178. Brk Act #25 IWD | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 179. Brk Act #25 IWO | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 180. Brk Act #25 IWN | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 181. Brk Act #25 IWP | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 182. Brk Act #25 IWS | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 183. Brk Act #25 MNOIX | A | Int./Div. | J | T | Buy | 04/05/21 | J | | |
| 184. Brk Act #26 St. John's Univ DefiContribPlan TIAA Traditional | A | Int./Div. | K | T | | | | | |
| 185. Brk Act #26 TIAA Real Estate | A | Int./Div. | K | T | | | | | |
| 186. Brk Act# 26 CREF Bond Mkt R2 | A | Int./Div. | K | T | | | | | |
| 187. Brk Act #26 CREF Stock R2 | A | Int./Div. | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 188. Brk Act #26 Western Asset CorPlsBnd IS | A | Int./Div. | J | T | | | | | |
| 189. Brk Act# 27 TIAA Tax Deferred AnnuityTIAA Traditional | A | Int./Div. | J | T | | | | | |
| 190. Brk Act#27 TIAA Real Estate | A | Int./Div. | J | T | | | | | |
| 191. Brk Act #27 CREF Stock R2 | A | Int./Div. | J | T | | | | | |
| 192. Brk Act #27 Western Asset CorPlsBnd IS(PartVIII) | A | Int./Div. | J | T | | | | | |
| 193. Bank Act # 28 AMEX Savings | A | Interest | M | T | | | | | |
| 194. Brk Act #29 Bank Deposit Program Morgan Stanley | A | Interest | J | T | | | | | |
| 195. Brk Act #29 EEM | A | Dividend | J | T | | | | | |
| 196. Brk Act #29 EFG | A | Dividend | J | T | | | | | |
| 197. Brk Act #29 EFV | A | Dividend | J | T | | | | | |
| 198. Brk Act #29 IWF | A | Dividend | J | T | | | | | |
| 199. Brk Act #29 IWD | A | Dividend | J | T | Sold (part) | 04/19/21 | J | A | |
| 200. Brk Act #29 IWO | A | Dividend | J | T | | | | | |
| 201. Brk Act #29 IWN | A | Dividend | J | T | Sold (part) | 04/19/21 | J | A | |
| 202. Brk Act #29 IWP | A | Dividend | J | T | Sold (part) | 04/19/21 | J | A | |
| 203. Brk Act #29 IWS | A | Dividend | J | T | Sold (part) | 04/19/21 | J | A | |
| 204. Brk Act #29 MAHQX | A | Dividend | K | T | Sold (part) | 04/19/21 | J | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 205. | | | | | Buy (add'l) | 12/09/21 | J | | |
| 206. Brk Act #29 BND | A | Distribution | J | T | Buy | 12/09/21 | J | | |
| 207. Brk Act #30 CASH (Part VIII) | A | Interest | | | Merged (with line 208) | 03/11/21 | J | | |
| 208. Brk Act #30 Bank Deposit Program Morgan Stanley Stanley | A | Interest | J | T | Buy | 03/11/21 | J | | |
| 209. Brk Act # 30 EFG | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 210. Brk Act #30 EFV | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 211. Brk Act #30 EEM | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 212. Brk Act #30 WF | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 213. Brk Act #30 IWD | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 214. Brk Act #30 IWO | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 215. Brk Act #30 IWN | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 216. Brk Act #30 IWP | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 217. Brk Act #30 IWS | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |
| 218. Brk Act #30 MNOIX | A | Int./Div. | J | T | Buy | 03/24/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information on Part VII of the Report

Brk Act #4 & Brk Acr #10 - On 10/18/21 NJ State Trans 5/24 was moved from Brk Act #4 to Brk Act #10 (no transaction)

Brk Act #4 & Brk Acr #10 - On 10/18/21 NJ Econ Dev Auth Sch Facs Const Ref-NN 5/26 was moved from Brk Act #4 to Brk Act #10 (no transaction)

Brk Act #4 & Brk Acr #10 - On 10/18/21 NJ St. Trans Auth Ser-A 0/26 was moved from Brk Act #4 to Brk Act #10 (no transaction)

Brk Act #13 On or about 11/18/21 all holdings in Brk Act #13 were transferred from an account at one brokerage firm to another. (no transaction except as reported in Part VII)

Brk Act #15 was inadvertently identified as Brk Act #5 (rather than 15) in the 2020 report.

Brk Act #18 Citibank CD Matured 12/8/2020 and was not renewed. The disposition was not included in the 2020 FDR. The Interest during 2020 was A.

Brk Act #21, #24 & #25 On or about 03/11/21, the holdings in Brk Act #21, 24 & 25 were transferred from accounts at one brokerage firm to another.

Brk Act #26 Western Asset CorPlsBnd IS was acquired prior to 2018, the year in which the owner of the account became a reportable person. It was omitted from prior FDRs. The income has been category A, the value in category J and the method of valueation has been category T throughout the periods since 2018.

Brk Act #30 On or about 04/23/2020 Brk Act #30 was opened with a buy on 04/23/2020 of Fidelity CASH at Value J. The interest/divident during 2020 was at A and the value at the end of the period was J measured by the T method. The account was inadvertently omitted from the 2020 FDR. On or about 03/11/21 the holdings in the account were transferred from an account at one brokerage firm to another brokerage firm,

| Name of Person Reporting | Date of Report |
|---|---|
| **Castel, P .Kevin** | 06/13/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ P .Kevin Castel**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544