AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| CHRISTENSEN, DANA L. | U.S. District Court - Montana | 05/09/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| U.S. District Court Missoula<br>201 E. Broadway<br>Missoula, MT 59802 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **CHRISTENSEN, DANA L.** | 05/09/2023 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2022 | Montana Teachers Retirement System - Pension |
| 2. | 2022 | The University of Montana - Wages |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **CHRISTENSEN, DANA L.** | 05/09/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. [redacted] Rental - Whitefish, MT (Assessed Value $301,900) | | None | | | Closed | 01/01/22 | N | | |
| 2. Royal Bank of Canada | A | Interest | J | T | | | | | |
| 3. First Interstate Bank - Lake A/C | A | Interest | J | T | | | | | |
| 4. First Interstate Bank - Govt A/C | A | Interest | J | T | | | | | |
| 5. Royal Bank of Canada - US A/C | A | Interest | K | T | | | | | |
| 6. Prudential - Variable Life Policy (H) | | | | | | | | | |
| 7. - Prudential Value Fund | A | Int./Div. | K | T | | | | | |
| 8. - PGIM Global Total Return Fund (GTRAX) | A | Int./Div. | K | T | | | | | |
| 9. - Janus Henderson Research Fund (JNRFX) | A | Int./Div. | K | T | | | | | |
| 10. - AST Total Return Bond | A | Int./Div. | | | Sold | 02/11/22 | J | | |
| 11. - AST BlackRock Glbl Strat | A | Int./Div. | J | T | | | | | |
| 12. - AST Core Fixed Income | A | Int./Div. | J | T | Buy | 02/11/22 | J | | |
| 13. Principal Life Ins - Adjustable Life | A | Dividend | L | T | | | | | |
| 14. Brokerage Account #1 (H) | | | | | | | | | |
| 15. - Edward Jones - Money Market | A | Dividend | J | T | | | | | |
| 16. - Invesco Mun Prem Income Tr | A | Dividend | J | T | | | | | |
| 17. - MS Corporate Bond I | A | Dividend | J | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
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| **CHRISTENSEN, DANA L.** | 05/09/2023 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 18. - American Balanced Fund Cl A | A | Dividend | K | T | | | | | |
| 19. - Amern High Income Trust Cl A | A | Dividend | J | T | | | | | |
| 20. - Capital Income Build | A | Dividend | J | T | | | | | |
| 21. - Growth Fund of America Cl A | A | Dividend | J | T | | | | | |
| 22. - Income Fund of America Fund A | B | Dividend | K | T | | | | | |
| 23. - Investment Co of America Fd A | A | Dividend | J | T | | | | | |
| 24. - Lord Abbett Balances Strat A | A | Dividend | K | T | | | | | |
| 25. - Lord Abbett Short Dur Inc Fd A | A | Dividend | J | T | | | | | |
| 26. - Virtus Multi Sector Shs Trm Bd | A | Dividend | J | T | | | | | |
| 27. - Natixis LS Invst Grade Bd A | A | Dividend | J | T | | | | | |
| 28. Brokerage A/C #2 - SEP-IRA (Selected Low Risk Funds) (H) | | | | | | | | | |
| 29. - MGD Assets DIA Multi CAP EQ | A | Int./Div. | | | Sold | 07/01/22 | O | | |
| 30. - MGD Assets DIA Equity Income | A | Int./Div. | | | Sold | 07/01/22 | O | | |
| 31. - MFP Elite American Funds Core Cap Appreciation | G | Int./Div. | P1 | T | Buy | 07/01/22 | P1 | | |
| 32. Brokerage Account #3 (H) | | | | | | | | | |
| 33. - Bank of Nova Scotia | D | Dividend | M | T | | | | | |
| 34. - BCE Inc New | D | Dividend | M | T | | | | | |

| | | | | | |
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| 35. - Brookfield Asset Mngt Class A Ltd Vtg Shs | B | Dividend | J | T | | | | | |
| 36. - Brookfield Corp VTG Class A Shs | | None | L | T | | | | | |
| 37. RBC Wealth Management - CAD Cash account | A | Interest | L | T | | | | | |
| 38. - Canadian Apartment PPTYS RE Inv Tr | C | Dividend | L | T | | | | | |
| 39. - Canadian National Railway Co | B | Dividend | L | T | | | | | |
| 40. - Canadian Natural Resources | | None | K | T | Buy | 09/30/22 | K | | |
| 41. - Canadian Utilities LTD 4.5% Cum RDM Secnd Prf Sr CC | C | Dividend | L | T | | | | | |
| 42. - Dividend 15 Split Corp 5.25% PFS SHS | C | Dividend | L | T | | | | | |
| 43. - Cenovus Energy Inc | A | Dividend | K | T | Buy | 05/10/22 | K | | |
| 44. - Emera Inc | B | Dividend | K | T | | | | | |
| 45. - Fortis Inc | C | Dividend | M | T | | | | | |
| 46. - Franco-Nevada Corporation | B | Dividend | M | T | | | | | |
| 47. - Great-West Lifco Inc | C | Dividend | L | T | | | | | |
| 48. -Great-West Lifeco Inc 4.5% Non Cum 1st Pfd Ser I | A | Dividend | | | Sold | 03/21/22 | L | | |
| 49. - Park Lawn Corporation | A | Dividend | K | T | | | | | |
| 50. - Pembina Pipeline Corp | D | Dividend | M | T | | | | | |
| 51. - Royal Bank of Canada | D | Dividend | M | T | | | | | |

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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
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| 52. - Suncor Energy Inc | A | Dividend | | | Sold | 05/10/22 | K | D | |
| 53. - Toronto Dominion Bank | D | Dividend | M | T | | | | | |
| 54. - TC Energy Corp (Formerly Transcanada Corporation) | D | Dividend | M | T | | | | | |
| 55. - Telus Corporation | C | Dividend | L | T | | | | | |
| 56. - United Corporations Ltd | D | Dividend | M | T | | | | | |
| 57. 529 College Savings #1 (H) | | | | | | | | | |
| 58. - Growth Fund of America | A | Dividend | K | T | | | | | |
| 59. 529 College Savings #2 (H) | | | | | | | | | |
| 60. - Growth Fund of America | A | Dividend | K | T | | | | | |
| 61. 529 College Savings #3 (H) | | | | | | | | | |
| 62. - Growth Fund of America | A | Dividend | K | T | | | | | |
| 63. 529 College Savings #4 (H) | | | | | | | | | |
| 64. - Growth Fund of America | A | Dividend | K | T | | | | | |
| 65. 529 College Savings #5 (H) | | | | | | | | | |
| 66. - Growth Fund of America | A | Dividend | K | T | | | | | |
| 67. Royalty Interest - Casper, WY | | None | J | T | | | | | |
| 68. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| Name of Person Reporting | Date of Report |
|---|---|
| **CHRISTENSEN, DANA L.** | 05/09/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Brookfield Asset Mngt merged with Brookfield Corp on December 14, 2022. The resulting merger resulted in an 1-for-1 exchange of shares from Brookfield Asset Mgmt for shares in Brookfield Corp. Not all shares in Brookfield Asset Mngt were exchanged at that time, resulting in holdings at 12/31/22 in both Brookfield Asset Mngt and Brookfield Corp.

| Name of Person Reporting | Date of Report |
|---|---|
| **CHRISTENSEN, DANA L.** | 05/09/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: S/ DANA L. CHRISTENSEN**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544