AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Chutkan, Tanya S.	United States District Court for the District of Columbia	07/19/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	
7. Chambers or Office Address		
E. Barrett Prettyman U.S. Courthouse 333 Constitution Ave. NW Washington, D.C. 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Trustees	D.C. Circuit Historical Society
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Chutkan, Tanya S.	07/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Wells Fargo (cash)	A	Interest	K	T					
3.	Account #1 (H)									
4.	Alibaba Group Hldg Ltd Spon Adr (BABA)		None			Sold	03/14/22	J		
5.	Tesla Inc (TSLA)		None	J	T	Buy	03/14/22	K		
6.	ETFMG Prime Cyber Security ETF (HACK)	A	Dividend	K	T					
7.	iShares Gold Trust ETF (IAU)		None	J	T					
8.	13D Activist Fund CL A (DDDAX)	B	Dividend	K	T					
9.	Amer Funds Capital World Growth & Income Fund Cl A (CWGIX)	A	Dividend	J	T					
10.	Fidelity Advisor Equity Growth A (EPGAX)	A	Dividend	J	T					
11.	Invesco American Franchise Fund Cl A (VAFAX)	B	Dividend	K	T					
12.	Pace Alternatives Strategies Investments Cl P (PASPX)	A	Dividend	K	T					
13.	Pace Global Fixed Income Investments Fund Cl P (PCGLX)	A	Dividend	J	T					
14.	Pace High Yield Investments Cl P (PHYPX)	A	Dividend	J	T					
15.	Pace International Emerging Markets Equity Investments Cl P (PCEMX)	A	Dividend	J	T					
16.	Pace International Equity Investments Cl P (PCIEX)	A	Dividend	J	T					
17.	Pace Large Co Growth Equity Investments Cl P (PCLCX)	D	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Pace Large Co Value Equity Investments Cl P (PCLVX)	B	Dividend	K	T					
19. Pace Mortgage-Backed Sec Fix Income Invt Fd Cl P (PCGTX)	A	Dividend	J	T					
20. Pace Small/Medium Co Growth Equity Investments Cl P (PCSGX)		None	J	T					
21. Pace Small/Medium Co Value Equity Investments Cl P (PCSVX)	B	Dividend	J	T					
22. Pace Strategic Fixed Income Investmetns Cl P (PCSIX)	A	Dividend	J	T					
23. Pioneer High Yield Fund Cl A (TAHYX)	A	Dividend	J	T					
24. UNTS Ft Biotechnology Prtfo Ser 48		None			Redeemed	03/01/22	K	C	
25. Account #2 (H)									
26. Fidelity Govt Mmkt Capital Reserves Cl (FZAXX) (cash)	A	Dividend	J	T	Buy (add'l)	01/24/22	K		
27.					Buy (add'l)	02/15/22	K		
28.					Sold (part)	03/03/22	L		
29.					Buy (add'l)	08/08/22	M		
30.					Sold (part)	08/11/22	M		
31.					Buy (add'l)	09/02/22	K		
32.					Buy (add'l)	09/06/22	J		
33.					Sold (part)	09/29/22	J		
34.					Buy (add'l)	09/30/22	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35.						Sold (part)	10/04/22	K		
36.						Sold (part)	10/05/22	M		
37.	Delaware Covered Call Strategy Instl (FRCDX)	C	Dividend	K	T					
38.	FS Credit Income Fund Cl I (FCRIX)	B	Dividend	K	T					
39.	FS Multi Strategy Alternatives Fd I (FSMSX)	B	Dividend	K	T					
40.	Goldman Sachs Real Estate Diversified Inc (GSRHX)	B	Dividend	K	T					
41.	Predex Fund (PRDEX)	B	Dividend	K	T					
42.	The Private Share Fund Class I (PIIVX)	A	Dividend	L	T	Buy	10/04/22	K		
43.	Alternative Credit Income Fd I (RCIIX)	A	Dividend	J	T					
44.	Chiron Capital Allocation Fund Cl I (CCAPX)	A	Dividend			Sold	09/02/22	K		
45.	Amplify Online Retail ETF (IBUY)		None			Sold	02/15/22	K		
46.	Ark ETF Innovation (ARKK)		None			Sold	01/24/22	K		
47.	First Trust II Consumer Discretionary ETF (FXD)	A	Dividend			Sold	09/06/22	K		
48.	United States Oil Fund Lp Units (USO)		None	L	T	Sold	08/08/22	M	F	
49.						Buy	09/29/22	J		
50.						Buy (add'l)	10/05/22	L		
51.	Flexshares Ready Access Variable Income Fund (RAVI)	C	Dividend	N	T	Buy (add'l)	03/03/22	L		

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52.					Buy (add'l)	08/11/22	M		
53.					Buy (add'l)	10/05/22	L		
54. Ishares Tips Bond ETF (TIP)	A	Dividend	M	T	Buy	10/05/22	M		
55. Pacer FDS Tr Pac Asset Fltg (FLRT)	A	Dividend			Buy	09/06/22	K		
56.					Sold	10/05/22	K		
57. Account #3 (H)									
58. Franklin MA Dynamic Strategy		None			Sold	09/30/22	L		
59. Fidelity VIP Target Vol Portfolio		None			Sold	09/30/22	L		
60. TOPS MR Moderate Growth		None			Sold	09/30/22	L		
61. ON Janus Henderson Low Vol		None			Sold	09/30/22	L		
62. Account #4 (H)									
63. UBS Bank Deposit Account (cash) (Y)									
64. Cisco Systems Inc (CSCO) (Y)									
65. Coca Cola Co Com (KO) (Y)									
66. Johnson & Johnson Com (JNJ) (Y)									
67. Microsoft Corp (MSFT) (Y)									
68. ETFMG Prime Cyber Security ETF (HACK) (Y)									

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69. Blackrock Advantage Large Cap Growth Fund Cl A (BMCAX) (Y)									
70. Center Coast Brookfield Mlp Focus Fund Cl A (CCCAX) (Y)									
71. First Eagle Overseas Fund Cl A (SGOVX) (Y)									
72. Mainstay Cushing Mlp Premier Fund Cl A (CSHAX) (Y)									
73. Oakmark Intl Fund Class Investor (OAKIX) (Y)									
74. Virtus Nfj Mid-Cap Value Fund Cl A (PQNAX) (Y)									
75. 13D Activist Fund Cl A (DDDAX) (Y)									
76. Unts Ft Biotechnology Prtfo Ser 48 (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account #3 is an Ohio National Life Variable Annuity; underlying assets are listed in Part VII. It is controlled and managed by the filer's former employer. The filer is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Specific transaction dates are not available to the filer. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Chutkan, Tanya S.	07/19/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya S. Chutkan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544