AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Chutkan, Tanya S.	United States District Court for the District of Columbia	07/29/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
E. Barrett Prettyman U.S. Courthouse 333 Constitution Ave. NW Washington, D.C. 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Chutkan, Tanya S.	07/29/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Chutkan, Tanya S.	07/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Wells Fargo (cash)	A	Interest	K	T					
3.	Account #1 (H)									
4.	ETFMG Prime Cyber Security ETF (HACK)		None			Sold	04/14/23	K	D	
5.	Microstrategy Inc (MSTR)		None	K	T	Buy (add'l)	04/14/23	J		
6.	NVIDIA Corporation (NVDA)	A	Dividend	K	T	Buy (add'l)	04/14/23	J		
7.	Tesla Inc (TSLA)		None	K	T					
8.	IShares Gold Trust ETF (IAU)		None	K	T					
9.	13D Activist Fund CL A (DDDAX)	B	Dividend	K	T					
10.	Amer Funds Capital World Growth & Income Fund Cl A (CWGIX)	A	Dividend	J	T					
11.	Fidelity Advisor Equity Growth A (EPGAX)	A	Dividend	K	T					
12.	Invesco American Franchise Fund Cl A (VAFAX)		None	K	T					
13.	Pace Alternatives Strategies Investments Cl P (PASPX)	A	Dividend	K	T					
14.	Pace Global Fixed Income Investments Fund Cl P (PCGLX)	A	Dividend	J	T					
15.	Pace High Yield Investments Cl P (PHYPX)	A	Dividend	J	T					
16.	Pace International Emerging Markets Equity Investments Cl P (PCEMX)	A	Dividend	J	T					
17.	Pace International Equity Investments Cl P (PCIEX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Chutkan, Tanya S.	07/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Pace Large Co Growth Equity Investments Cl P (PCLCX)	A	Dividend	K	T					
19. Pace Large Co Value Equity Investments Cl P (PCLVX)	A	Dividend	K	T					
20. Pace Mortgage-Backed Sec Fix Income Invt Fd Cl P (PCGTX)	A	Dividend	J	T					
21. Pace Small/Medium Co Growth Equity Investments Cl P (PCSGX)		None	J	T					
22. Pace Small/Medium Co Value Equity Investments Cl P (PCSVX)	A	Dividend	J	T					
23. Pace Strategic Fixed Income Investmetns Cl P (PCSIX)	A	Dividend	J	T					
24. Pioneer High Yield Fund Cl A (TAHYX)	A	Dividend	J	T					
25. Account #2 (H)									
26. Fidelity Govt Mmkt Capital Reserves Cl (FZAXX) (cash eq.)	B	Dividend	K	T	Buy (add'l)	01/17/23	J		
27.					Buy (add'l)	05/05/23	J		
28.					Buy (add'l)	06/07/23	J		
29.					Buy (add'l)	06/08/23	M		
30.					Buy (add'l)	06/16/23	K		
31.					Sold (part)	07/28/23	K		
32.					Sold (part)	07/31/23	M		
33.					Buy (add'l)	08/07/23	L		
34.					Sold (part)	08/09/23	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Sold	08/10/23	J		
36.					Buy (add'l)	09/21/23	K		
37.					Buy (add'l)	09/27/23	J		
38.					Sold (part)	10/05/23	J		
39.					Buy (add'l)	10/13/23	J		
40.					Buy (add'l)	10/20/23	K		
41.					Buy (add'l)	11/01/23	J		
42.					Buy (add'l)	11/29/23	J		
43.	SFL Corporation LTD COM USDO.01 (SFL)	B	Dividend	K	T	Buy	07/31/23	K	
44.	Delaware Covered Call Strategy Instl (FRCDX)	B	Dividend	K	T	Buy (add'l)	12/18/23	J	
45.	FS Credit Income Fund Cl I (FCRIX)	B	Dividend	K	T	Sold (part)	06/16/23	K	
46.						Buy (add'l)	07/28/23	K	
47.	FS Multi Strategy Alternatives Fd I (FSMSX)	B	Dividend	K	T				
48.	Goldman Sachs Real Estate Diversified Inc (GSRHX)	B	Dividend			Sold	10/20/23	K	
49.	Predex Fund (PRDEX)	B	Dividend	K	T				
50.	The Private Share Fund Class I (PIIVX)		None	K	T				
51.	Alternative Credit Income Fd I (RCIIX)	A	Dividend			Sold	10/13/23	J	

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52. Flexshares Trust MorningStar Global Upstream Nat Res IDX (GUNR)	C	Dividend	K	T	Buy	06/08/23	K		
53. Global X Fds Rbtcs Artfl Inte (BOTZ)		None	K	T	Buy	09/21/23	K		
54. Global X Fds S&P 500 Covered (XYLD)	A	Dividend	K	T	Buy	08/10/23	K		
55.					Buy (add'l)	07/31/23	J		
56.					Buy (add'l)	07/31/23	K		
57.					Buy (add'l)	09/21/23	K		
58. IShares MSCI Mexico ETF (EWW)	A	Dividend	K	T	Buy	01/17/23	K		
59. Pacer FDS TR EMRG Mkt Cash ETF (ECOW)	B	Dividend			Buy (add'l)	07/31/23	K		
60.					Sold	10/05/23	K		
61. Pacer FDS TR Global Cash ETF (GCOW)	B	Dividend	K	T	Buy	06/08/23	K		
62.					Buy (add'l)	07/31/23	K		
63. Pacer FDS TR Pacer US Small Discretion Exercised (CALF)	A	Dividend			Buy (add'l)	07/31/23	K		
64.					Sold	10/05/23	K		
65. United States Oil Fund Lp Units (USO)		None			Sold	08/07/23	L	C	
66. Vaneck ETF Trust Uranium PLS Nucl (NLR)	A	Dividend	J	T	Buy	06/08/23	J		
67. Flexshares TR Flexshares Ultra (RAVI)	D	Dividend			Sold (part)	06/08/23	M		
68.					Buy (add'l)	07/31/23	J		

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69.					Buy (add'l)	07/31/23	K		
70.					Sold	09/21/23	L	A	
71. Pacer FDS TR Pac Asset Fltg Discretion Exercised (FLRT)	C	Dividend	M	T	Buy	07/31/23	L		
72.					Sold (part)	08/10/23	K	A	
73.					Buy (add'l)	09/21/23	K		
74.					Buy (add'l)	10/05/23	L		
75. Pacer FDS TR TrendP US Lar Cp (PTLC)		None	K	T	Buy	08/09/23	K		
76. SPDR Gold TR Gold SHS (GLD)		None	L	T	Buy	08/09/23	L		
77. Ishares Tips Bond ETF (TIP)	B	Dividend			Sold	06/08/23	M	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya S. Chutkan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544