AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
COGAN, BRIAN M.	US DISTRICT COURT, ED OF NY	04/25/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE - SENIOR STATUS	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
US Courthouse 225 Cadman Plaza East Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Hogan Lovells US LLP , Attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Amex Platinum	Credit Card	K
2.	Chase Visa	Credit Card	J
3.			
4.			
5.			

Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account	A	Interest	M	T					
2. Compark Owners Corp.,stock, (preferred)	A	Dividend	J	U					
3. Brokerage Statement #18 (H)									
4. - Raymond James Bank	A	Int./Div.	K	T					
5. - AMG GW&K Municipal Bond Fund Investor CL N/L	C	Dividend	M	T	Buy (add'l)	01/08/21	L		
6. - Alger Capital Appreciation Instl Portfolio	D	Dividend	L	T					
7. - Clarkston Partners Fund Instl CL N/L	A	Dividend	K	T	Buy (add'l)	01/08/21	K		
8.					Sold (part)	09/14/21	J	B	
9. - Artisan International Value fund Inv Shs	D	Dividend	M	T	Buy (add'l)	01/08/21	K		
10. - Cohen & Steers Low Duration Prfd & Income FD	D	Dividend	M	T	Buy (add'l)	01/08/21	L		
11. - Columbia FDS SER TR Small Cap Value II FD CL A	B	Dividend	K	T					
12. - Columbia FDS SER TR II Mass Select Large Cap Value	D	Dividend	M	T	Buy (add'l)	01/08/21	K		
13. - Cullen High Dividend Equity Fund	C	Dividend	K	T					
14. - Lisanti Small Cap Growth Fund	E	Distribution	L	T	Buy (add'l)	01/08/21	K		
15. - Guggenheim Total Return Bond Fund Instl CL	C	Dividend	M	T	Buy (add'l)	01/11/21	K		
16. - Oakmark International Fund	B	Dividend	M	T	Buy (add'l)	01/08/21	K		
17. - WCM Foc. Intl. Growth Fund	D	Dividend	M	T	Buy (add'l)	01/08/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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18.	- WCM Foc Emerging Mkts FD	A	Dividend	K	T	Buy (add'l)	01/08/21	J		
19.	- JP Morgan Strategic Income Opptys Fund CL 1	A	Dividend	K	T					
20.	- Lord Abbett Short Duration Income Fund CL F N/L	A	Dividend	K	T	Buy (add'l)	01/11/21	K		
21.	- Madison High Quality Bond Fund Class Y N/L	A	Dividend	L	T	Buy (add'l)	01/08/21	K		
22.	- Matthews Pacific Tiger Fund	D	Dividend	K	T	Buy (add'l)	01/08/21	J		
23.						Sold (part)	10/06/21	J	C	
24.	- Neuberger Berman Emerging Markets Equit Fund Class A M	A	Dividend	J	T					
25.	- Invesco Developing Markets Fund CL Y (FKA Oppenheimer Developing)	B	Dividend	K	T	Buy (add'l)	01/11/21	J		
26.	- Invesco International Growth Fund- CL A (FKA Oppenheimer Growth)	D	Dividend	M	T	Buy (add'l)	01/11/21	K		
27.						Sold (part)	03/15/21	K	D	
28.						Sold (part)	06/07/21	J	B	
29.						Sold (part)	10/06/21	J	B	
30.	- PIMCO Income Fund Class P N/L	D	Dividend	M	T	Buy (add'l)	01/08/21	L		
31.						Sold (part)	03/29/21	J	A	
32.	- PGIM Total Return Bond Fund Class Z	C	Dividend	M	T	Buy (add'l)	01/08/21	L		
33.						Sold (part)	03/29/21	J	A	
34.						Sold (part)	06/07/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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35.					Sold (part)	09/14/21	J	A	
36.					Sold (part)	10/06/21	K	A	
37. - Ishare Trust Russell Mid Cap ETF	B	Dividend	M	T	Buy (add'l)	01/11/21	K		
38. - Ishares Trust Russell 1000 VAL ETF	C	Dividend	M	T	Buy (add'l)	01/11/21	L		
39.					Sold (part)	03/15/21	J	C	
40. - Ishares Trust Russell 1000 GRW ETF (FKA Index)	A	Dividend	M	T	Buy (add'l)	01/11/21	K		
41.					Sold (part)	03/29/21	J	A	
42.					Sold (part)	06/07/21	K	D	
43. - Ishares Trust Russell 1000 ETF	A	Dividend	K	T					
44. - Ishares Trust Core S&P SCP	B	Dividend	L	T					
45. - Invesco QQQ	A	Dividend	L	T					
46. - SPDR S&P 500 ETF	A	Dividend			Buy (add'l)	01/11/21	K		
47.					Sold (part)	03/29/21	L	C	
48.					Sold	05/04/21	J	A	
49. - SPDR Financial Select Sector Fund	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
50. - SPDR Technology Select Sector Fund	A	Dividend	L	T	Sold (part)	03/15/21	J	D	
51. - Vanguard Industrials ETF	A	Dividend	L	T	Buy (add'l)	01/11/21	K		

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52. - Vanguard Materials ETF	B	Dividend	L	T	Buy (add'l)	01/11/21	K		
53. Brokerage Statement #19 (H)									
54. - Raymond James Bank	A	Int./Div.	J	T					
55. -AMG GW&K Municipal Bond Fund Investor Class N/L	C	Dividend	M	T	Buy (add'l)	01/08/21	L		
56. - Alger Capital Appreciation Instl Portfolio	D	Dividend	L	T	Sold (part)	06/07/21	J	D	
57. - Clarkston Partners Fund Instl CL N/L	A	Dividend	K	T	Buy (add'l)	01/08/21	K		
58.					Sold (part)	09/14/21	J	B	
59. - Artisan International Value Fund Inv Shs	D	Dividend	M	T	Buy (add'l)	01/08/21	K		
60. - Cohen & Steers Low Duration Prfd & Income FD	D	Dividend	M	T	Buy (add'l)	01/08/21	L		
61. - Columbia FDS SER TR Small Cap Value II FD	C	Dividend	K	T					
62. - Columbia FDS SER TR II MASS Select Large Cap Value	D	Dividend	M	T	Buy (add'l)	01/08/21	K		
63. - Cullen High Dividend Equity Fund	C	Dividend	K	T					
64. - Lisanti Small Cap Growth Fund	E	Dividend	L	T	Buy (add'l)	01/08/21	K		
65. - Guggenheim Total Return Bond Fund Instl CL	D	Dividend	M	T	Buy (add'l)	01/11/21	K		
66.					Sold (part)	10/06/21	K	B	
67. - Oakmark International Fund	B	Dividend	M	T	Buy (add'l)	01/08/21	K		
68.					Sold (part)	10/06/21	J	B	

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69. - WCM Foc. Intl. Growth Fund	D	Dividend	M	T	Buy (add'l)	01/08/21	K		
70.					Sold (part)	03/15/21	K	D	
71. - WCM Foc. Emerging Market FD	A	Dividend	K	T	Buy (add'l)	01/08/21	J		
72. - JP Morgan Strategic Incm Opp FD CL	A	Dividend	L	T					
73. - Lord Abbett Short Duration Income Fund Cl F N/L	B	Dividend	L	T	Buy (add'l)	01/11/21	K		
74. - Madison High Quality Bond Fund Class	B	Dividend	L	T	Buy (add'l)	01/08/21	K		
75. - Matthews Pacific Tiger Fund	D	Dividend	L	T	Buy (add'l)	01/08/21	J		
76.					Sold (part)	10/06/21	J	C	
77. - Invesco Developing Markets Fund CL Y (FKA Oppenheimer)	C	Dividend	L	T	Buy (add'l)	01/11/21	J		
78. - Invesco International Growth Fund- CL A (FKA Oppenheimer)	E	Dividend	M	T	Buy (add'l)	01/11/21	K		
79.					Sold (part)	06/07/21	J	C	
80. - PIMCO Income Fund Class P N/L	D	Dividend	M	T	Buy (add'l)	01/08/21	L		
81. - PGIM Total Return Bond Fund	C	Dividend	M	T	Buy (add'l)	01/08/21	L		
82.					Sold (part)	03/29/21	K	A	
83.					Sold (part)	06/07/21	J	A	
84.					Sold (part)	09/14/21	J	A	
85. - Ishares Russell Mid Cap ETF	B	Dividend	M	T	Buy (add'l)	01/11/21	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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86. - Ishares Trust Russell 1000 Val ETF	C	Dividend	M	T	Buy (add'l)	01/11/21	L		
87. - Ishares Trust Russell 1000 Growth ETF (FKA Index)	B	Dividend	M	T	Buy (add'l)	01/11/21	K		
88.					Sold (part)	03/15/21	K	E	
89.					Sold (part)	03/29/21	J	D	
90. - Ishares Trust Russell 1000 ETF	B	Dividend	M	T	Sold (part)	01/25/21	J	D	
91. - Ishares Core S&P Small Cap	B	Dividend	L	T					
92. - Invesco QQQ	A	Dividend	L	T					
93. - SPDR S&P 500 ETF	A	Dividend			Buy (add'l)	01/11/21	K		
94.					Sold (part)	03/29/21	L	C	
95.					Sold	05/04/21	J	A	
96. - SPDR Financial Select Sector Fund	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
97. - SPDR Technology Sector Fund	A	Dividend	M	T					
98. - Vanguard Industrials ETF	A	Dividend	L	T	Buy (add'l)	01/11/21	K		
99. - Vanguard Materials ETF	B	Dividend	L	T	Buy (add'l)	01/11/21	K		
100. Brokerage Statement #21 (H)									
101. - Raymond James Bank	A	Int./Div.	J	T					
102. - Franklyn Templeton Funds NY Tax Free Income	A	Dividend	K	T					

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103. Retirement Plan for Partners #4 (H)									
104. - BTC LifePath Ret H		None	J	T					
105. - FID Contrafund K	A	Int./Div.	N	T					
106. - FID Growth Co K	A	Int./Div.	N	T					
107. - TRP Inst SM CAP	A	Int./Div.	M	T					
108. - Intl Equity Fund	A	Dividend	N	T					
109. - FID Emerging Mkts K	A	Int./Div.	M	T					
110. - MIP CL 2	A	Int./Div.	O	T					
111. - FID Ext Mkt Idx	A	Int./Div.	M	T					
112. - FID 500 Index	A	Int./Div.	M	T					
113. DFA US Lg Cap Val	A	Int./Div.	N	T					
114. FID Low Priced Stk K	A	Int./Div.	N	T					
115. IRA #5 (H)									
116. - Raymond James Bank	A	Interest	J	T					
117. - Alger Capital Appreciation Instl Portfolio		None	L	T	Sold (part)	05/05/21	K	D	
118. - Artisan International Value fund Inv Shs	C	Dividend	M	T					
119. - Columbia FDS SER TR Small Cap Value II FD CL A	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Columbia FDS SER TR II Mass Select Large Cap Value	C	Dividend	M	T					
121. - Clarkson Partners Fund Instl CL	A	Dividend	L	T					
122. - Doubleline Total Return Bond Fund	B	Dividend	L	T					
123. - Guggenheim Total Return Bond Fund Instl CL	D	Dividend	M	T					
124. - Oakmark Internation Fund	A	Dividend	L	T					
125. - WCM Foc. Intl. Growth Fund		None	L	T					
126. - WCM Foc. Emerging Market		None	K	T					
127. - JP Morgan Strategic Income Opportunities FD	A	Dividend	K	T					
128. - Madison High Quality	A	Dividend	L	T					
129. - Matthews Pacific Tiger Fund		None	L	T					
130. - Neuberger Berman Emerging Markets Equity Fund CL AM	A	Dividend	J	T					
131. - Invesco International Growth Fund- CL Y (FKA Oppenheimer)	A	Dividend	L	T	Sold (part)	05/05/21	J	B	
132.					Sold (part)	05/05/21	K	C	
133. - PIMCO Income Fund Class P	D	Dividend	M	T					
134. -PGIM Total Return Bond Fund	C	Dividend	L	T					
135. - Ishares Trust Russell Mid Cap ETF	B	Dividend	M	T					
136. - Ishares Trust Russell 1000 Value ETF	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Ishares Trust Russell 1000 Growth ETF (FKA Index)	B	Dividend	N	T					
138. - Ishares Trust Russell 1000 ETF	A	Dividend	L	T					
139. - Ishares Trust Core S&P Small Cap ETF	B	Dividend	M	T					
140. - SPDR Financial Selector Sector Fund	B	Dividend	L	T					
141. - Invesco QQQ	A	Dividend	L	T	Sold (part)	03/11/21	L	E	
142. - SPDR Technology Select Sector Fund	A	Dividend	L	T	Sold (part)	03/11/21	L	E	
143. - Vaneck Oil Services ETF	A	Dividend	L	T	Buy	05/07/21	L		
144. - Vanguard Industrials ETF	A	Dividend	L	T	Buy	03/15/21	K		
145. - Vanguard Materials ETF	A	Dividend	L	T	Buy	03/15/21	K		
146. IRA #6 (H)									
147. - Raymond James Bank	A	Interest	J	T					
148. - Alger Capital Appreciation Instl Portfolio		None	M	T	Sold (part)	05/05/21	K	D	
149. - Artisan International Value Fund Inv Shs	C	Dividend	M	T					
150. - Columbia FDS SER TR Small Cap Value II FD CL A	A	Dividend	K	T					
151. - Columbia FDS SER TR II Mass Select Large Cap Value	C	Dividend	M	T					
152. - Clarkston Partners Fund Instl CL N/L	A	Dividend	L	T					
153. - Guggenheim Total Return Bond Fund Instl CL N/L	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Oakmark International Fund	B	Dividend	L	T					
155. - WCM Foc. Int'l Growth Fund		None	L	T					
156. -WCM Focused Emerging Market FD		None	K	T					
157. - JP Morgan Strategic Income Opportunities FD	A	Dividend	L	T					
158. - Matthew Pacific Tiger Fund		None	L	T					
159. - Neuberger Berman Emerging Markets Equity Fund CL A	A	Dividend	K	T					
160. -Invesco International Growth Fund- CL A (FKA Oppenheimer)	A	Dividend	L	T	Sold (part)	05/05/21	K	D	
161. - PIMCO Income Fund Class P	D	Dividend	M	T					
162. - PGIM Total Return Bond Fund	D	Dividend	M	T					
163. - Ishares Trust Russell Mid Cap ETF	B	Dividend	M	T					
164. - Ishares Trust Russell 1000 Value ETF	B	Dividend	L	T					
165. - Ishares Trust Russell 1000 Growth ETF (FKA Index)	B	Dividend	M	T					
166. - Ishares Trust Russell 1000 ETF	A	Dividend	L	T					
167. - Ishares Core S&P Small Cap ETF	C	Dividend	M	T					
168. - Invesco QQQ	A	Dividend	M	T	Sold (part)	01/25/21	J	D	
169.					Sold (part)	03/11/21	K	E	
170. - SPDR Financial Select Sector Fund	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. - SPDR Technology Sector Fund	A	Dividend	M	T	Sold (part)	03/11/21	L	E	
172. - Vaneck Oil Services ETF	A	Dividend	L	T	Buy	05/07/21	L		
173. - Vanguard Materials ETF	A	Dividend	L	T	Buy	03/15/21	K		
174. - Vanguard Industrials ETF	A	Dividend	L	T	Buy	03/15/21	K		
175. IRA #7 (H)									
176. Raymond James Bank	A	Interest	J	T					
177. - Pimco Income Fund Class P	A	Dividend	K	T					
178. - Clarkston Partners Fund Inst. CL	A	Dividend	J	T	Sold (part)	12/17/21	J	A	
179. - Oakmark Int'l Fund CL 1	A	Dividend	J	T					
180. - WCM Foc. Int'l Growth Fund		None	K	T	Sold (part)	12/17/21	J	B	
181. - JPMorgan Strategic Income Opportunities FD	A	Dividend	J	T					
182. - Lord Abbett Short Duration Income FD CL F	A	Dividend	J	T					
183. - Madison High Quality Bond Fund Class Y N/L	A	Dividend	K	T	Sold (part)	12/17/21	J	A	
184. - Matthews Pacific Tiger Fund Investor CL		None	J	T					
185. - Invesco International Growth Fund- CL A (FKA Oppenheimer)	A	Dividend	J	T					
186. - Invcesco Developing Markets Fund CL A (FKA Oppenheimer)	A	Dividend	J	T					
187. - PGM Total Return Bond Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. - Ishares TR Rus Mid Cap ETF	A	Dividend	J	T					
189. - Ishares TR Rus 1000 Val ETF	A	Dividend	K	T					
190. - Ishares TR Rus 1000 GRW ETF	A	Dividend	K	T	Sold (part)	12/17/21	J	B	
191. - Ishares TR Core S&P SCP	A	Dividend	J	T					
192. - Invesco QQQ	A	Dividend	J	T					
193. - SPDR S&P 500 ETF	A	Dividend	K	T	Sold (part)	01/14/21	J	B	
194. - SPDR Dow Jones REIT	A	Dividend	J	T					
195. -SPDR Financial Select Sector Fund	A	Dividend	J	T					
196. -Vanguard Materials ETF	A	Dividend	J	T	Buy	01/19/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
COGAN, BRIAN M.	04/25/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ BRIAN M. COGAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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