AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Connolly, Colm F.	United States District Court for the District of Delaware	04/28/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2021 **to** 12/31/2021
7. Chambers or Office Address 844 King Street Unit 31 Wilmington, DE 19801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Ministry of Caring
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Texas School of Law	11/4-5/2021	Austin, Texas	Speaker and participant in Advanced Patent Law Institute	Transportation, meals, and hotel
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Connolly, Colm F.	04/28/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank Accounts	A	Interest	K	T					
2. Capital One Money Market Accounts	C	Interest	N	T					
3.									
4. Retirement Savings Plan #1 (H)									
5. - T. Rowe Price Growth Stock Trust A	A	Dividend	L	T					
6. - Vanguard Institutional Index Instl PI	A	Dividend	M	T					
7. - American Funds EuroPacific Growth R6	A	Dividend	L	T					
8.									
9. Retirement Savings Plan #2 (H)									
10. - William Blair Intl Grwth CIF	A	Dividend	K	T					
11. - Dodge & Cox Intl Stock	A	Dividend	K	T					
12. - DFA US SMall Cap Port Instl	B	Dividend	K	T					
13. - Goldman Sachs Global Income Fund Instl	A	Dividend	L	T					
14. - Vanguard REIT Index Fund	A	Dividend	K	T					
15. - Vanguard Intern'l Index	A	Dividend	K	T					
16. - Vanguard Inst'l Index	A	Dividend	K	T					
17.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.	Defined Contribution Plan #1 (H)									
19.	- William Blair Intl Grwth CIF	A	Dividend	M	T					
20.	- Dodge & Cox Intl Stock	B	Dividend	L	T					
21.	- DFA US Small Cap Port I	C	Dividend	M	T					
22.	- Invesco GI Real Estate R5	B	Dividend			Sold	08/24/21	L		
23.	- Vanguard REIT Index Fund Inst	A	Dividend	M	T	Buy	08/24/21	L		
24.	- Vanguard Intern'l Index	A	Dividend	K	T					
25.	- Vanguard Inst'l Index	B	Dividend	K	T					
26.										
27.	IRA #1 (H)									
28.	- Vanguard Emerging Markets Select Stock Fund	B	Dividend	L	T					
29.										
30.	IRA #2 (H)									
31.	- Vanguard Emerging Markets Stock Index Admiral CL	A	Dividend	K	T					
32.	- Vanguard Mid Cap Value Index Admiral CL	A	Dividend	K	T					
33.	- Vanguard High Yield Corp Investor CL	A	Dividend	K	T					
34.										

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Roth IRA #1 (H)									
36. - Vanguard Capital Opportunity Find Invst CL	A	Dividend	K	T					
37. - Vanguard Emerging Markets Select Stock Fund	A	Dividend	J	T					
38.									
39. Roth IRA #2 (H)									
40. - Vanguard Capital Opportunity Fund Investor	A	Dividend	K	T					
41.									
42. Brokerage Account #1 (H)									
43. - Vanguard Federal Money Market Fund	A	Dividend	K	T					
44. - Vanguard Dividend Appreciation Index Fund Admiral CL	A	Dividend	K	T					
45. - Vanguard Small Cap Growth Index Fund Admiral CL	A	Dividend	K	T					
46. - Vanguard Comsumer Discretionary ETF	A	Dividend	K	T					
47. - Vanguard Consumer Staples ETF	A	Dividend	K	T					
48. - Vanguard Energy ETF	B	Dividend	L	T					
49. - Vanguard Health Care ETF	A	Dividend	K	T					
50. - Vanguard REIT Index ETF	A	Dividend	J	T					
51. - Vanguard S&P 500 Index ETF	A	Dividend	L	T					

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52. - Vanguard Communication Services ETF	A	Dividend	K	T					
53. - Protara Therapeutics		None	J	T					
54.									
55. Brokerage Account #4 (H)									
56. - Fidelity Blue Chip Growth Fund	A	Dividend	J	T	Donated (part)	02/10/21	J		
57.					Buy (add'l)	08/06/21	J		
58.					Donated (part)	11/17/21	K		
59.									
60. - Microsoft	A	Dividend		T	Donated (part)	05/20/21	J		
61.					Donated	11/17/21	J		
62.									
63. 529 #1 (Y)									
64.									
65. 529 #4 (Y)									
66.									
67. 529 #5 (H)									
68. -Fidelity DE Aggressive Growth Portfolio (Fidelity Indx)		None	K	T	Buy (add'l)	02/22/21	J		

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69.					Sold (part)	02/22/21	L		
70.					Sold (part)	06/16/21	J		
71. Fidelity DE Moderate Growth Portfolio (Fidelity Indx)		None	J	T	Buy	02/22/21	L		
72.					Sold (part)	06/16/21	J		
73. - Fidelity DE Aggressive Growth Portfolio (Fidelity FDS)		None	L	T	Sold (part)	02/22/21	L		
74. - Fidelity DE Conservative (Fid. Index)		None	J	T	Buy	02/22/21	L		
75.					Sold (part)	06/14/21	J		
76.					Sold (part)	06/16/21	J		
77. 529 #6 (H)									
78. - Fidelity DE Conservative (Fid. Index)		None	J	T					
79. Fidelity DE Moderate Growth Portfolio (Fidelity Indx)		None	J	T					
80.									
81. 529 #7 (H)									
82. - Fidelity DE Conservative (Fid. Index)		None	J	T	Buy (add'l)	02/22/21	L		
83.					Sold (part)	02/22/21	K		
84.					Sold (part)	08/03/21	J		
85.					Sold (part)	08/11/21	J		

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86.					Sold (part)	10/04/21	J		
87.									
88. Fidelity DE Moderate Growth Portfolio (Fidelity Indx)		None	J	T	Buy (add'l)	02/22/21	L		
89.					Sold (part)	02/22/21	L		
90.					Sold (part)	08/03/21	J		
91.					Sold (part)	08/11/21	J		
92.									
93. 529 #8 (H)									
94. - Fidelity DE Conservative (Fid. Index)		Interest	L	T	Buy (add'l)	02/22/21	L		
95.					Sold (part)	02/22/21	K		
96.					Sold (part)	08/03/21	J		
97.					Sold (part)	08/11/21	J		
98.					Sold (part)	10/04/21	J		
99.									
100. - Fidelity DE Aggressive Growth Portfolio (Fidelity Indx)		None	J	T	Buy (add'l)	02/22/21	K		
101.					Sold (part)	02/22/21	K		
102.					Sold (part)	02/22/21	J		

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103.					Sold (part)	08/03/21	J		
104.					Sold (part)	08/11/21	J		
105.					Sold (part)	10/04/21	J		
106.									
107. - Fidelity DE Moderate Growth Portfolio (Fidelity Indx)		None	L	T	Buy (add'l)	02/22/21	L		
108.					Sold (part)	02/22/21	L		
109.					Sold (part)	08/03/21	J		
110.					Sold (part)	08/11/21	J		
111.					Sold (part)	10/04/21	J		
112.									
113. [redacted] #3 (Y)									
114.									
115. College Avenue Student Loans, LLC units		None	M	U					
116.									
117. IRA #3 (H)									
118. - Diamond Hill Large Cap	B	Dividend	N	T					
119. - Eaton Vance Atlanta Cap	A	Dividend	L	T	Distributed (part)	11/22/21	K		

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120. - Edgewood Growth Instl	A	Dividend	N	T					
121. - Pear Tree Polaris	B	Dividend	L	T					
122. - Vanguard Intl Growth FD Admiral Shares	B	Dividend	M	T					
123.									
124. Brokerage Account #5 (H)									
125. - Vanguard High Yield Tax Exempt Fund	A	Dividend			Sold	03/30/21	L		
126. - Vanguard Interim Tax Exempt Fund	C	Dividend			Sold	03/30/21	O		
127. - Vanguard LTD Term Tax Exempt Fund	D	Dividend	O	T	Buy (add'l)	03/30/21	O		
128. - Eaton Vance Atlanta SMID Cap	B	Distribution	M	T					
129. - Harding Loevner Inst Emerg Mkts	A	Dividend	M	T					
130. - McDonalds	B	Dividend	L	T					
131. - Schwab S&P 500 Index	B	Dividend	M	T					
132. - Vanguard Int'l Growth Adm	A	Distribution	K	T					
133. - Edgewood Growth Inst'l	A	Distribution	L	T					
134.									
135.									
136.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Connolly, Colm F.	04/28/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Connolly, Colm F.	04/28/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Connolly, Colm F.	04/28/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Colm F. Connolly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544