AO 10
Rev. 1/2017

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Connolly, Colm F. | United States District Court for the District of Delaware | 11/18/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Chief United States District Court Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| 844 King Street<br>Unit 31<br>Wilmington, DE 19801 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Board Member | Ministry of Caring |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | 2022 | [redacted] salary |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Duke University School of Law | February 20-21, 2022 | Durham, North Carolina | Speaker | Transportation, meals, and hotel |
| 2. | New York Intellectual Property Law Association | March 25-26, 2022 | New York, New York | Dinner in honor of federal judiciary | Transportation, meals, and hotel |
| 3. | Federal Circuit Bar Association | June 14-18, 2022 | Sea Island, Georgia | Federal Circuit Bar Association Conference | Transportation, meals, and hotel |
| 4. | US Courts | August 10-12, 2022 | Washington, D.C. | Chief Judges' Conference | Transportation, meals, and hotel |
| 5. | US Courts | September 8-9, 2022 | Washington, D.C. | Federal Circuit Judicial Conference | Transportation, meals, and hotel |

| | | | | | |
|---|---|---|---|---|---|
| 6. | US Courts | October 13-14, 2022 | Washington, D.C. | Investiture of Hon Leonard P. Stark, Federal Circuit | Transportation, meal, and hotel |
| 7. | University of Texas | October 19-20, 2022 | Austin, Texas | Panelist, 2022 Patent Law Institute | Transportation, meals, and hotel |
| 8. | Eastern District of Texas Bar Association | October 26-29, 2022 | Plano, Texas | Panelist, 2022 Eastern District of Texas Bench and Bar Conference | Transportation, meals, and hotel |
| 9. | US Courts | October 30-November 2, 2022 | Palm Beach, Florida | 2022 Multidistrict Litigation Transferee Judges' Conference | Transportation, meals, and hotel |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. | M&T Bank Accounts | A | Interest | K | T | | | | | |
| 2. | Capital One Money Market Accounts | C | Interest | N | T | | | | | |
| 3. | | | | | | | | | | |
| 4. | Retirement Savings Plan #1 (H) | | | | | | | | | |
| 5. | - T. Rowe Price Growth Stock Trust A | A | Dividend | K | T | | | | | |
| 6. | - Vanguard Institutional Index Instl PI | A | Dividend | L | T | | | | | |
| 7. | - American Funds EuroPacific Growth R6 | A | Dividend | K | T | | | | | |
| 8. | | | | | | | | | | |
| 9. | Retirement Savings Plan #2 (H) | | | | | | | | | |
| 10. | - William Blair Intl Grwth CIF | A | Dividend | K | T | | | | | |
| 11. | - Dodge & Cox Intl Stock | B | Dividend | K | T | | | | | |
| 12. | - DFA US SMall Cap Port Instl | B | Dividend | K | T | | | | | |
| 13. | - Goldman Sachs Global Income Fund Instl | A | Dividend | L | T | | | | | |
| 14. | - Vanguard REIT Index Fund | A | Dividend | K | T | | | | | |
| 15. | - Vanguard Intern'l Index | A | Dividend | K | T | | | | | |
| 16. | - Vanguard Inst'l Index | A | Dividend | K | T | | | | | |
| 17. | | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Connolly, Colm F.** | 11/18/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 18. Defined Contribution Plan #1 (H) | | | | | | | | | |
| 19. - William Blair Intl Grwth CIF | A | Dividend | L | T | | | | | |
| 20. - Dodge & Cox Intl Stock | B | Dividend | L | T | | | | | |
| 21. - DFA US Small Cap Port I | C | Dividend | L | T | | | | | |
| 22. - Vanguard REIT Index Fund Inst | B | Dividend | M | T | | | | | |
| 23. - Vanguard Intern'l Index | A | Dividend | K | T | | | | | |
| 24. - Vanguard Inst'l Index | A | Dividend | K | T | | | | | |
| 25. | | | | | | | | | |
| 26. IRA #1 (H) | | | | | | | | | |
| 27. - Vanguard Emerging Markets Select Stock Fund | C | Dividend | L | T | | | | | |
| 28. | | | | | | | | | |
| 29. IRA #2 (H) | | | | | | | | | |
| 30. - Vanguard Emerging Markets Stock Index Admiral CL | A | Dividend | K | T | | | | | |
| 31. - Vanguard Mid Cap Value Index Admiral CL | A | Dividend | K | T | | | | | |
| 32. - Vanguard High Yield Corp Investor CL | A | Dividend | K | T | | | | | |
| 33. | | | | | | | | | |
| 34. Roth IRA #1 (H) | | | | | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 35. - Vanguard Capital Opportunity Find Invst CL | A | Dividend | K | T | | | | | |
| 36. - Vanguard Emerging Markets Select Stock Fund | A | Dividend | J | T | | | | | |
| 37. | | | | | | | | | |
| 38. Roth IRA #2 (H) | | | | | | | | | |
| 39. - Vanguard Capital Opportunity Fund Investor | A | Dividend | K | T | | | | | |
| 40. | | | | | | | | | |
| 41. Brokerage Account #1 (H) | | | | | | | | | |
| 42. - Vanguard Federal Money Market Fund | A | Dividend | K | T | | | | | |
| 43. - Vanguard Dividend Appreciation Index Fund Admiral CL | A | Dividend | J | T | | | | | |
| 44. - Vanguard Small Cap Growth Index Fund Admiral CL | A | Dividend | J | T | | | | | |
| 45. - Vanguard Comsumer Discretionary ETF | A | Dividend | K | T | | | | | |
| 46. - Vanguard Consumer Staples ETF | A | Dividend | K | T | | | | | |
| 47. - Vanguard Energy ETF | C | Dividend | L | T | | | | | |
| 48. - Vanguard Health Care ETF | A | Dividend | K | T | | | | | |
| 49. - Vanguard REIT Index ETF | A | Dividend | J | T | | | | | |
| 50. - Vanguard S&P 500 Index ETF | A | Dividend | K | T | Buy | 05/02/22 | K | | |
| 51. - Vanguard Communication Services ETF | A | Dividend | K | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. - Protara Therapeutics | | None | | | Sold | 08/15/22 | J | | |
| 53. | | | | | | | | | |
| 54. Brokerage Account #4 (H) | | | | | | | | | |
| 55. - Fidelity Blue Chip Growth Fund | A | Dividend | K | T | | | | | |
| 56. - Microsoft | A | Dividend | J | T | | | | | |
| 57. - International Business Machines | | None | | | Buy | 02/22/22 | J | | |
| 58. | | | | | Donated | 03/18/22 | J | | |
| 59. | | | | | | | | | |
| 60. 529 #5 (H) | | | | | | | | | |
| 61. -Fidelity DE Aggressive Growth Portfolio (Fidelity Indx) | | None | J | T | Sold (part) | 05/03/22 | J | | |
| 62. | | | | | Sold (part) | 05/09/22 | J | | |
| 63. | | | | | | | | | |
| 64. Fidelity DE Moderate Growth Portfolio (Fidelity Indx) | | None | K | T | Sold (part) | 05/09/22 | K | | |
| 65. | | | | | Sold (part) | 08/09/22 | J | | |
| 66. | | | | | | | | | |
| 67. - Fidelity DE Conservative (Fid. Index) | | None | K | | Sold (part) | 05/09/22 | J | | |
| 68. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

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|---|---|---|---|---|---|---|---|---|---|
| 69. 529 #6 (H) | | | | | | | | | |
| 70. - Fidelity DE Conservative (Fid. Index) | | None | J | T | | | | | |
| 71. Fidelity DE Moderate Growth Portfolio (Fidelity Indx) | | None | J | T | | | | | |
| 72. | | | | | | | | | |
| 73. 529 #7 (H) | | | | | | | | | |
| 74. - Fidelity DE Conservative (Fid. Index) | | None | J | T | Buy (add'l) | 05/03/22 | J | | |
| 75. | | | | | Sold (part) | 06/03/22 | J | | |
| 76. | | | | | | | | | |
| 77. Fidelity DE Moderate Growth Portfolio (Fidelity Indx) | | None | J | T | Buy (add'l) | 05/03/22 | J | | |
| 78. | | | | | Sold (part) | 06/03/22 | J | | |
| 79. | | | | | | | | | |
| 80. 529 #8 (H) | | | | | | | | | |
| 81. - Fidelity DE Conservative (Fid. Index) | | None | K | T | Sold (part) | 01/14/22 | K | | |
| 82. | | | | | Sold (part) | 04/04/22 | J | | |
| 83. | | | | | Sold (part) | 08/01/22 | J | | |
| 84. | | | | | Sold (part) | 08/08/22 | K | | |
| 85. | | | | | Sold (part) | 10/11/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | | | | | |
| 87. - Fidelity DE Aggressive Growth Portfolio (Fidelity Indx) | | None | J | T | Sold (part) | 01/14/22 | J | | |
| 88. | | | | | Sold (part) | 04/04/22 | J | | |
| 89. | | | | | Sold (part) | 08/01/22 | J | | |
| 90. | | | | | Sold (part) | 08/08/22 | J | | |
| 91. | | | | | Buy (add'l) | 08/09/22 | J | | |
| 92. | | | | | Buy (add'l) | 08/09/22 | J | | |
| 93. | | | | | | | | | |
| 94. - Fidelity DE Moderate Growth Portfolio (Fidelity Indx) | | None | J | T | Sold (part) | 01/14/22 | J | | |
| 95. | | | | | Sold (part) | 04/04/22 | J | | |
| 96. | | | | | Sold (part) | 08/01/22 | J | | |
| 97. | | | | | Sold (part) | 08/08/22 | J | | |
| 98. | | | | | | | | | |
| 99. College Avenue Student Loans, LLC units | G | Distribution | | | Sold | 07/19/22 | N | | |
| 100. | | | | | | | | | |
| 101. IRA #3 (H) | | | | | | | | | |
| 102. - Diamond Hill Large Cap | D | Dividend | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 103. - Eaton Vance Atlanta Cap | D | Dividend | L | T | | | | | |
| 104. - Edgewood Growth Instl | E | Dividend | M | T | Distributed (part) | 12/09/22 | K | | |
| 105. - Pear Tree Polaris | B | Dividend | L | T | | | | | |
| 106. - Vanguard Intl Growth FD Admiral Shares | D | Dividend | L | T | | | | | |
| 107. | | | | | | | | | |
| 108. Brokerage Account #5 (H) | | | | | | | | | |
| 109. - Vanguard LTD Term Tax Exempt Fund (VMLUX) | C | Dividend | J | T | Sold (part) | 01/25/22 | K | | |
| 110. | | | | | Sold (part) | 05/13/22 | O | | |
| 111. - Eaton Vance Atlanta SMID Cap (EISMX) | E | Dividend | M | T | | | | | |
| 112. - Harding Loevner SMID Cap (HLMEX) | | None | | | Sold | 05/13/22 | L | | |
| 113. - McDonalds | B | Dividend | L | T | | | | | |
| 114. - Schwab S&P 500 Index (SWPPX) | B | Dividend | M | T | Buy (add'l) | 01/26/22 | K | | |
| 115. | | | | | Buy (add'l) | 05/05/22 | K | | |
| 116. | | | | | Sold (part) | 06/28/22 | L | | |
| 117. - Vanguard Int'l Growth Adm (VWILX) | C | Dividend | K | T | Sold (part) | 06/28/22 | K | | |
| 118. | | | | | Buy (add'l) | 08/26/22 | K | | |
| 119. - Edgewood Growth Inst'l (EGFIX) | | None | | | Sold | 05/13/22 | K | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 120. | | | | | Spinoff (from line 104) | 12/09/22 | K | | |
| 121. | | | | | Sold | 05/13/22 | K | | |
| 122. - Dodge & Cox International (DODFX) | B | Dividend | M | T | Buy (add'l) | 03/07/22 | K | | |
| 123. | | | | | Buy (add'l) | 08/30/22 | K | | |
| 124. - Vanguard Short Term Tax Exempt Fund (VWSUX) | D | Dividend | O | T | Buy | 05/16/22 | O | | |
| 125. - Proshares S&P 500 (NOBL) | B | Dividend | M | T | Buy | 05/16/22 | K | | |
| 126. | | | | | Buy (add'l) | 06/28/22 | L | | |
| 127. - Ishares Core MSCI (IEMG) | B | Dividend | L | T | Buy | 06/28/22 | K | | |
| 128. | | | | | Buy (add'l) | 11/25/22 | L | | |
| 129. - Ishares MSCI EAFE Growth (EFG) | A | Dividend | K | T | Buy | 06/28/22 | K | | |
| 130. - Ishares Russell 1000 (IWF) | | | K | T | Buy | 12/16/22 | K | | |
| 131. - Vanguard Energy ETF (VDE) | A | Dividend | K | T | Buy | 8/26/22 | K | | |
| 132. - Harbor Capital Appreciation (HNACX) | | None | K | T | Buy | 5/13/22 | K | | |
| 133. - Principal Diversified RE (PDRDX) | C | Dividend | K | T | Buy | 08/26/22 | K | | |
| 134. - William Blair Emergung Mkts (WBEIX) | | None | | | Buy | 05/13/22 | L | | |
| 135. | | | | | Sold | 11/25/22 | L | | |
| 136. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 137. | | | | | | | | | |
| 138. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
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Q =Appraisal
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| Name of Person Reporting | Date of Report |
|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

| Name of Person Reporting | Date of Report |
|---|---|
| **Connolly, Colm F.** | 11/18/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Colm F. Connolly**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544