AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Conti, Joy Flowers	U.S. District Court WDPA	05/05/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Board Member	Catholic Charities
2.	Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Boenning & Scattergood - Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	2021 Global Antitrust Institute (GAI) Economics Institute/Antonin Scalia Law School	June 20-25, 2021	Charleston, SC	Antitrust Economics Institute for Federal Judges	Lodging, Transportation, Meals
2.	Antonin Scalia Law School/ George Mason University	August 7-12, 2021	White Sulphur Springs, WV	Advanced Law & Economics Institute for Judges	Lodging, Transportation, Meals
3.	George Washington University	October 6-8, 2021	Washington, DC	Mass - Tort MDL Conference	Lodging, Transportation, Meals
4.					

5.

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. BROKERAGE ACCOUNT #1 - [redacted] (H)									
2. -Standard Bank Deposit Account	A	Interest	J	T					
3. ROTH IRA ROLLOVER ACCOUNT #1 - [redacted] (H)									
4. -New Residential Invt Corp Stock	B	Dividend	J	T					
5. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
6. -Fidelity Advusor Ser VII Technology Fund Cl A	D	Dividend	L	T					
7. -Standard Bank Deposit Account	A	Interest	K	T					
8. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	B	Interest	J	T					
9. -Priority Income Fund Pfd Ser C 6.625% due 06/30/24	A	Dividend			Redeemed	06/18/21	J	A	
10. -Galectin Theraputics Stock		None	J	T					
11. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	A	Interest	J	T					
12. -First Internet 6% Pfd Bancorp due 6/30/29	A	Interest	J	T					
13. -Apple Inc Stock	A	Dividend	K	T					
14. -Disney Walt Company		None	K	T					
15. -Invesco QQQ Trust	A	Dividend	J	T					
16. -Morgan Stanley Insight Fund	B	Dividend	J	T					
17. -Oxford Lane Capital Corp Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. -Oceanfirst Fin 7% Pfd Stock	B	Dividend	K	T					
19. -B Riley Finl Inc 6% Pfd Note due 1/31/28	A	Interest	J	T	Buy	01/21/21	J		
20. -Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Interest	J	T	Buy	06/24/21	J		
21. RETIREMENT PLAN #2 - [redacted] (H)									
22. -TIAA Traditional Annuities	D	Interest	M	T					
23. -Vanguard REIT Index Fund	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
24.					Sold (part)	02/16/21	J	A	
25.					Sold (part)	12/21/21	J	B	
26. -CREF Stock R2 Fund		None	L	T	Sold (part)	01/15/21	J	A	
27.					Sold (part)	02/16/21	J	A	
28.					Sold (part)	12/21/21	K	D	
29. -CREF Money Market R2		None	K	T	Sold (part)	01/15/21	J	A	
30.					Sold (part)	02/16/21	J	A	
31.					Sold (part)	03/15/21	J	A	
32.					Sold (part)	04/15/21	J	A	
33.					Sold (part)	05/17/21	J	A	
34.					Sold (part)	06/15/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	07/15/21	J	A	
36.					Sold (part)	08/16/21	J	A	
37.					Sold (part)	09/15/21	J	A	
38.					Sold (part)	10/15/21	J	A	
39.					Sold (part)	11/15/21	J	A	
40.					Sold (part)	12/15/21	J	A	
41.					Sold (part)	12/21/21	J	A	
42. -Vanguard Small Cap Index Fund	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
43.					Sold (part)	02/16/21	J	A	
44.					Sold (part)	12/21/21	J	B	
45. -Vanguard Mid Cap Index Fund	A	Dividend	K	T	Sold (part)	01/15/21	J	A	
46.					Sold (part)	02/16/21	J	A	
47.					Sold (part)	12/21/21	J	B	
48. -American Mutual Fund R6	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
49.					Sold (part)	02/16/21	J	A	
50.					Sold (part)	12/21/21	J	A	
51. -Dodge & Cox Income Fund	B	Dividend	K	T	Sold (part)	01/15/21	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Sold (part)	02/16/21	J	A	
53.					Sold (part)	12/21/21	J	A	
54. ROTH IRA ROLLOVER ACCOUNT #3 - (H)									
55. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
56. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
57. -Standard Bank Deposit Account	A	Interest	J	T					
58. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T					
59. -Invesco Global Opportunities Fund	A	Dividend	J	T					
60. -Southerly Hotels 8.25% Pfd Perp Mty Call 04/18/24		None	J	T					
61. -ETF Managers Trust Prime Cyber Sec	A	Dividend	K	T					
62. -First Internet 6% Pfd Bancorp Note Due 6/30/29	A	Interest	K	T					
63. -New Residential Invt Corp Stick	B	Dividend	K	T					
64. -Apple Inc Stock	A	Dividend	J	T					
65. -Palantir Technologies Inc Stock		None	K	T					
66. -Morgan Stanley Insight Fund\	C	Dividend	K	T					
67. -Oxford Lane Capital Corp Stock	A	Dividend	J	T					
68. -American Airlines Group Stock					Buy	03/17/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.					Sold	07/19/21	J		
70. IRA ROLLOVER ACCOUNT #6 - [redacted] (H)									
71. -S&T Bancorp Stock	A	Dividend	J	T					
72. -Standard Bank Deposit Account	A	Interest	J	T					
73. -SPDR SER TR S&P REGL BKG ETF		None			Sold	01/04/21	J		
74. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
75. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
76. -New Residential Invt Corp Stock (REIT)	B	Dividend	J	T					
77. -Vanguard Information ETF Technology	A	Dividend	K	T					
78. -Priority Income Fund Pfd Ser C 6.625% Mty 06/30/24	A	Dividend			Redeemed	06/18/21	J	A	
79. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	B	Dividend	K	T					
80. -Tristate Cap 6.375% Pfd Perp Call 07/01/24	A	Dividend	K	T					
81. - Invesco QQQ Trust	A	Dividend	J	T					
82. -Carecloud Inc 11% Pfd Stock (formerly MTBC Inc)	B	Dividend	K	T					
83. -Columbia Small Cap Growth Fund	C	Dividend	K	T					
84. -Vanguard Information ETF Technology	A	Dividend	K	T					
85. -Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Dividend	J	T	Buy	06/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. -Tellurian Inc 8.25% Pfd New SR Nt Mty 11/30/28	B	Interest	K	T	Buy	11/08/21	J		
87. CITIZENS BANK ACCOUNTS		None	J	T					
88. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
89. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
90. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
91. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
92. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
93. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
94. NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
95. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
96. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
97. HUNTINGTON BANK ACCOUNT		None	J	T					
98. BROKERAGE ACCOUNT #2 [redacted] (H) (Part VIII)									
99. -New Residential Investment Corp Stock (REIT)	A	Dividend			Sold	09/30/21	J		
100. -Standard Bank Deposit Account	A	Interest			Closed	10/08/21	J		
101. ROTH IRA ROLLOVER ACCOUNT #7 - [redacted] (H)									
102. -Safe-T-Shade LLC		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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103. -Averatek Inc Stock		None	J	T					
104. -Averatek 8% Convertible Note due 4/30/22	A	Interest	J	T					
105. SEVENTH STREET INVESTMENT LP		None	K	T					
106. 401(K) PLAN #1 - [redacted] (H)									
107. -Standard Bank Deposit Account	A	Interest	J	T					
108. -Invesco QQQ ETF Trust Units	A	Dividend	J	T					
109. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
110. -Van Eck Fds CM Commodity Index FD A	A	Dividend	J	T	Buy	10/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

Current Year:

Line 98 Brokerage Account #2 - Investments in account were sold and account was closed during 2021

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/05/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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