AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Conti, Joy Flowers	U.S. District Court WDPA	05/04/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Board Member	Catholic Charities
2.	Past Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Boenning & Scattergood - Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Mass-Tort MDL Certificate Course	February 23-25, 2022	Miami, FL	Mass-Tort Certificate Course	Lodging, Transportation, Meals
2.	American Law Institute Annual Meeting	May 15-17, 2022	Washington, DC	Various Legal Subjects on the Agenda	Lodging, Transportation, Meals
3.	ACBA Bench Bar Conference	June 16-17, 2022	Seven Springs, PA	Programs related to various committees	Lodging, Transportation, Meals
4.	Academy of Trial Lawyers	Octobe4r 12-14, 2022	Farmington, PA	32nd Annual Masters of Trial Advocacy	Lodging and Meals

5.	JPML Transferee Judges' Conference	October 31 - Novemeber 2, 2022	West Palm Beach, FL	Management of MDLs; Proposals for Rules applicable to MDLs	Lodging, Transportation and Meals

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/04/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. BROKERAGE ACCOUNT #1 - [redacted] (H)									
2. -Standard Bank Deposit Account	A	Interest	J	T					
3. ROTH IRA ROLLOVER ACCOUNT #1 - [redacted] (H)									
4. -Rithm Capital Stock (formerly New Residential Invt Corp)	B	Dividend	J	T					
5. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T	Buy (add'l)	03/29/22	J		
6. -Fidelity Advisor Ser VII Technology Fund Cl A		None			Sold (part)	02/11/22	J	A	
7.					Sold	03/07/22	L	E	
8. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest	K	T					
9. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	B	Dividend	J	T					
10. -Galectin Theraputics Stock		None			Sold	03/27/22	J		
11. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	A	Dividend	J	T					
12. -First Internet 6% Pfd Bancorp due 6/30/29	A	Dividend	J	T					
13. -Apple Inc Stock	A	Dividend	J	T					
14. -Disney Walt Company		None			Sold	04/22/22	J	B	
15. -Invesco QQQ Trust		None			Sold	01/24/22	J	C	
16. -Morgan Stanley Insight Fund		None			Sold	02/11/22	J		
17. -Oxford Lane Capital Corp Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. -Oceanfirst Fin 7% Pfd Stock	B	Dividend	K	T					
19. -B Riley Finl Inc 6% Pfd Note due 1/31/28	A	Dividend	J	T					
20. -Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Dividend	J	T					
21. -Direxion ETF	A	Dividend	K	T	Buy	01/24/22	K		
22. -Vanguard Money Market Reserves	A	Dividend	K	T	Open	11/28/22	L		
23. -Amazon Com Inc Stock		None			Buy	04/04/22	J		
24.					Sold	04/29/22	J		
25. - SPDR S&P Regional Banking ETF	A	Dividend			Buy	03/11/22	K		
26.					Sold	07/01/22	K		
27. RETIREMENT PLAN #2 - [redacted] (H) (Part VIII)									
28. -TIAA Traditional Annuities (Part VIII)	D	Interest			Matured	09/30/22	N		
29. -Vanguard REIT Index Fund	A	Dividend	J	T					
30. -CREF Stock R2 Fund		None	K	T					
31. -CREF Money Market R2		None	K	T	Sold (part)	01/18/22	J	A	
32.					Sold (part)	02/15/22	J	A	
33.					Sold (part)	03/15/22	J	A	
34.					Sold (part)	04/18/22	J	A	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.					Sold (part)	05/16/22	J	A	
36.					Sold (part)	06/15/22	J	A	
37.					Sold (part)	07/15/22	J	A	
38.					Buy (add'l)	08/08/22	K		
39.					Sold (part)	08/15/22	J	A	
40.					Sold (part)	09/15/22	J	A	
41.					Sold (part)	10/17/22	J	A	
42. -Vanguard Small Cap Index Fund	A	Dividend	J	T					
43. -Vanguard Mid Cap Index Fund	A	Dividend	K	T					
44. -American Mutual Fund R6	A	Dividend			Sold	10/14/22	J	A	
45. -Dodge & Cox Income Fund	A	Dividend			Sold	08/08/22	K		
46. -Putnam Large Cap Value Fund	A	Dividend	J	T	Buy	10/14/22	J		
47. ROTH IRA ROLLOVER ACCOUNT #3 - [redacted] (H)									
48. -JP Morgan Chase & Co Stock	A	Dividend			Sold	03/07/22	K	D	
49.	A	Dividend	J	T	Buy	04/11/22	J		
50. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
51. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T					
53. -Invesco Global Opportunities Fund		None			Sold	02/11/22	J		
54. -Southerly Hotels 8.25% Pfd Perp Mty Call 04/18/24		None	J	T					
55. -ETF Managers Trust Prime Cyber Sec	A	Dividend	J	T					
56. -First Internet 6% Pfd Bancorp Note Due 6/30/29	A	Dividend	J	T					
57. -New Residential Invt Corp Stick		None			Sold	03/07/22	K		
58. -Apple Inc Stock	A	Dividend	K	T	Buy (add'l)	04/04/22	J		
59.					Buy (add'l)	07/29/22	J		
60. -Palantir Technologies Inc Stock		None	J	T	Buy (add'l)	01/26/22	J		
61.					Buy (add'l)	09/09/22	J		
62. -Morgan Stanley Insight Fund		None			Sold	02/11/22	K		
63. -Oxford Lane Capital Corp Stock	A	Dividend	J	T					
64. -SPDR S&P Regional Banking ETF	A	Dividend			Buy	03/11/22	K		
65.					Sold	07/01/22	K		
66. -Home Depot Inc Stock	A	Dividend			Buy	04/05/22	K		
67.					Sold	06/17/22	J		
68. -Direxion ETF		None			Buy	01/24/22	J		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69.					Sold	03/30/22	J		
70. -Vanguard Money Market Reserves	A	Dividend	K	T	Open	11/28/22	K		
71. IRA ROLLOVER ACCOUNT #6 - [redacted] (H)									
72. -S&T Bancorp Stock	A	Dividend	K	T					
73. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest	K	T					
74. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
75. -JP Morgan Chase & Co Stock	A	Dividend			Sold	03/07/22	K	D	
76. -New Residential Invt Corp Stock (REIT)		None			Sold	03/07/22	J		
77. -Vanguard Information ETF Technology	A	Dividend	J	T					
78. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	B	Dividend	J	T					
79. -Raymond James 6.375%. Pfd Call 2024 (was Tristate Cap)	A	Dividend	J	T					
80. -Invesco QQQ Trust		None			Sold	01/24/22	J	C	
81. -Carecloud Inc 11% Pfd Stock (formerly MTBC Inc)	B	Dividend	J	T	Redeemed (part)	03/18/22	J		
82. -Columbia Small Cap Growth Fund		None			Sold (part)	02/11/22	J		
83.					Sold	03/07/22	K		
84. -Vanguard Information ETF Technology	A	Dividend	J	T	Sold (part)	02/11/22	K	D	
85. -Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Dividend	J	T					

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86. -Tellurian Inc 8.25% Pfd New SR Nt Mty 11/30/28	B	Dividend	J	T					
87. -SPDR S&P Regional Banking ETF	A	Dividend			Buy	03/11/22	K		
88.					Sold	07/06/22	K		
89. -Invesco DB Commodity ETF		None			Buy	03/08/22	K		
90.					Sold	07/06/22	K		
91. -Amazon Com Inc Stock		None	J	T	Buy	03/25/22	K		
92.					Sold	04/29/22	J		
93.					Buy	07/29/22	J		
94. -Direxion ETF	A	Dividend	K	T	Buy	01/24/22	K		
95. -Palantir Tehnologies Inc		None	J	T	Buy	01/26/22	J		
96. -Vanguard Money Market Reserves	A	Dividend	K	T	Open	11/28/22	K		
97. CITIZENS BANK ACCOUNTS		None	J	T					
98. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
99. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
100. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
101. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
102. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
103. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
104. NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
105. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
106. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
107. HUNTINGTON BANK ACCOUNT		None	J	T					
108. ROTH IRA ROLLOVER ACCOUNT #7 - [redacted] (H)									
109. -Safe-T-Shade LLC		None	K	T					
110. -Averatek Inc Stock		None	J	T					
111. -Averatek 8% Convertible Note due 4/30/22	A	Interest			Matured	04/30/22	J		
112. SEVENTH STREET INVESTMENT LP		None	K	T					
113. 401(K) PLAN #1 - [redacted] (H)									
114. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest	J	T					
115. -Invesco QQQ ETF Trust Units		None			Sold	03/07/22	J	B	
116. -SPDR S&P 500 ETF Trust Units		None			Sold	03/07/22	J	B	
117. -Van Eck Fds CM Commodity Index FD A	A	Dividend	J	T					
118. -SPDR S&P Regional Banking ETF	A	Dividend			Buy	03/11/22	J		
119.					Sold	07/06/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/04/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. -Vanguard Money Market Reserves	A	Dividend	J	T	Open	11/28/22	J		
121.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/04/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

Current year:

Line 27 -Retirement Plan #2:

In October 2022, at the direction of the Plan Sponser, the Plan Administrator changed the Target Allocation Program in effect for plan participants. As a result, on October 13, 2022, several changes were made to the investments held in the plan to conform to the new allocation plan. However, shortly thereafter, the Plan Sponser determined that the change in allocation plan should not have been applied to this plan participant. As a result, on October 28, 2022, the Plan Admiinistrator reversed any transactions made pursuant to the erroneous allocation plan retroactive to the original transaction date/amounts and restored the investments to the same position as though no transactions had occurred. Accordingly, none of these transactions have been reported on this report.

Line 28 - TIAA Traditional Annuities

On September 30, 2022 this investment was converted to fixed annuity income status with monthly payments beginning in October 2022.

Name of Person Reporting	Date of Report
Conti, Joy Flowers	05/04/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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