AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Conti, Joy Flowers	U.S. District Court WDPA	08/14/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Honorary Board Member	Catholic Charities
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Boenning & Scattergood - Salary (to 2/4/23)
2.	2023	LPL Financial LLC - Salary (from 2/4/23)
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	2023 Leahy Institute of Advanced Patent Studies run by the Naples Roundtable	January 15-17, 2023	Naples, FL	Patent law issues	Lodging, Transportation, Meals
2.	2023 Trial Lawyers of Mass Torts Bench Bar "TLMT" Conference	March 21-22, 2023	Big Sky, MT	Hot topics in litigation	Lodging, Transportation, Meals
3.	American Law Institute Annual Meeting	May 21-24, 2023	Washington, DC	Restatement of the Law, Copyright/Torts; Medical Malpractice & Misc Provisions	Lodging, Transportation, Meals

4.	2023 ACBA 59th Annual Bench Bar Conference	June 15-17, 2023	Seven Springs, PA	Mass Tort Litigation and Legal Technology/e-Discovery	Lodging, Transportation, Meals
5.	2023 Baylor MDL Judicial Summit	June 18-20, 2023	Aspen, CO	Negotiating the MDL and Mass Tort Landscape	Lodging, Transportation, Meals
6.	2023 Xchange Conference	September 20-21, 2023	New Orleans, LA	Generative AI, Components of a Data Privacy Program, Judicial Roundtable	Lodging, Transportation, Meals
7.	MDL - Bench-Bar Leadership Conference	September 28-29, 2023	Chicago, IL	Various topics on Mass Tort MDLs	Lodging, Transportation, Meals
8.	Rand E-Discovery Symposium	October 3-4, 2023	Washington, DC	Getting E-Discovery Right, TAR Validation and Best Practices and Next Steps	Lodging, Transportation, Meals
9.	Academy of Trial Lawyers	October 11-13, 2023	Farmington, PA	33rd Annual Masters of Trial Advocacy	Lodging, Transportation, Meals
10.	Annual Transferee Judges' Conference - MDL	October 23-25, 2023	Palm Beach, FL	MDL Rules Update, Class Action Law/Settlements, Various other topics on MDLs	Lodging, Transportation, Meals
11.	Judge Brenda T Rhoades Portrait Ceremony	Octobeer 27, 2023	Plano, TX	Celebrating 20 years of service	Lodging, Transportation, Meals

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Conti, Joy Flowers	08/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	BROKERAGE ACCOUNT #1 - J (H) Account Closed									
2.	-Standard Bank Deposit Account	A	Interest			Closed	02/04/23	J		
3.	ROTH IRA ROLLOVER ACCOUNT #1 - W (H)									
4.	-Rithm Capital Stock (formerly New Residential Invt Corp)	B	Dividend	J	T					
5.	-SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
6.	-Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest			Closed	02/04/23	J		
7.	-Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend			Sold	07/19/23	J	B	
8.	-Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	A	Dividend	J	T					
9.	-First Internet 6% Pfd Bancorp due 6/30/29	A	Dividend	J	T					
10.	-Apple Inc Stock	A	Dividend	K	T					
11.	-Oxford Lane Capital Corp Stock	A	Dividend	J	T					
12.	-Oceanfirst Fin 7% Pfd Stock	B	Dividend	J	T					
13.	-B Riley Finl Inc 6% Pfd Note due 1/31/28	A	Dividend	J	T					
14.	-Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Dividend	J	T					
15.	-Direxion ETF	A	Dividend			Sold	11/09/23	K	A	
16.	-Vanguard Money Market Reserves	A	Dividend			Closed	09/20/23	K		
17.	-Bank NY-Mellon Noncuml Pfd Stock	B	Dividend	K	T	Buy	01/10/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Conti, Joy Flowers	08/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -PNC Financial Svcs Note 6.615% due 10/20/27	A	Interest	K	T	Buy	11/09/23	K		
19. -JP Morgan Liquid Assets Money Market	A	Interest	K	T	Open	07/29/23	K		
20. -Cash Account - UBS Bank	A	Interest	J	T	Open	02/06/23	J		
21. RETIREMENT PLAN #2 - W (H)									
22. -Vanguard REIT Index Fund	A	Dividend	J	T					
23. -CREF Stock R2 Fund		None	L	T					
24. -CREF Money Market R2		None	K	T					
25. -Vanguard Small Cap Index Fund	A	Dividend	J	T					
26. -Vanguard Mid Cap Index Fund	A	Dividend	K	T					
27. -Putnam Large Cap Value Fund	A	Dividend	J	T					
28. ROTH IRA ROLLOVER ACCOUNT #3 - H (H)									
29. -JP Morgan Chase & Co Stock	A	Dividend	J	T					
30. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
31. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest			Closed	02/04/23	K		
32. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23 (Part VIII)	A	Dividend			Closed	08/01/23	J		
33. -Conifer Hldgs 9.75 % Note due 9/30/28 (Part VIII)	A	Dividend	J	T	Open	08/01/23	J		
34. -Southerly Hotels 8.25% Pfd Perp Mty Call 04/18/24	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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35. -ETF Managers Trust Prime Cyber Sec	A	Dividend	K	T					
36. -First Internet 6% Pfd Bancorp Note Due 6/30/29	A	Dividend	J	T					
37. -Apple Inc Stock	A	Dividend	K	T					
38. -Palantir Technologies Inc Stock		None	K	T	Buy (add'l)	01/10/23	J		
39.					Buy (add'l)	08/07/23	J		
40. -Oxford Lane Capital Corp Stock	A	Dividend	J	T					
41. -Vanguard Money Market Reserves	A	Dividend			Closed	02/22/23	K		
42. -Cash Account - UBS Bank	A	Interest	J	T	Open	02/06/23	J		
43. -JP Morgan Liquid Assets Money Market	A	Interest	K	T	Open	07/29/23	K		
44. -Nvidia Corp Stock	A	Dividend	K	T	Buy	08/21/23	K		
45. IRA ROLLOVER ACCOUNT #6 - H (H)									
46. -S&T Bancorp Stock	A	Dividend			Sold	03/23/23	K	D	
47. -Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest			Closed	02/04/23	J		
48. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
49. -Vanguard Information ETF Technology	A	Dividend	J	T					
50. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	B	Dividend	K	T					
51. -Raymond James 6.375%. Pfd Call 2024 Cap)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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52. -Carecloud Inc 11% Pfd Stock	B	Dividend	J	T					
53. -Vanguard Information ETF Technology	A	Dividend	J	T					
54. -Sachem Cap Corp 7.75% Pfd Ser A Perp Mty Call 6/29/26	A	Dividend	J	T					
55. -Tellurian Inc 8.25% Pfd New SR Nt Mty 11/30/28	B	Dividend	J	T					
56. -Amazon Com Inc Stock		None	K	T					
57. -Direxion ETF	A	Dividend			Sold	11/09/23	K	A	
58. -Palantir Tehnologies Inc		None	J	T					
59. -Vanguard Money Market Reserves	A	Dividend			Closed	09/23/23	K		
60. -Bank NY Mellon Corp Noncuml Pfd Stock	B	Dividend	K	T	Buy	01/10/23	K		
61. -JP Morgan Liquid Assets Money Market	A	Interest	K	T	Open	07/29/23	K		
62. -Cash Account - UBS Bank	A	Interest	J	T	Open	02/06/23	J		
63. CITIZENS BANK ACCOUNTS		None	J	T					
64. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
65. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
66. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
67. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
68. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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69.	METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
70.	NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
71.	MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
72.	MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
73.	HUNTINGTON BANK ACCOUNT		None	J	T					
74.	ROTH IRA ROLLOVER ACCOUNT #7 - H (H)									
75.	-Safe-T-Shade LLC		None	K	T					
76.	-Averatek Inc Stock		None	J	T					
77.	-Uninvested Cash at nuView Trust	A	Interest	J	T	Open	01/01/23	J		
78.	SEVENTH STREET INVESTMENT LP		None	K	T					
79.	401(K) PLAN #1 - H (H) (Account closed in 2023)									
80.	-Standard Bank Deposit Acct (Funds at Wells Fargo Bank)	A	Interest			Closed	02/04/23	J		
81.	-Van Eck Fds CM Commodity Index FD A	A	Dividend			Sold	01/09/23	J		
82.	-Vanguard Money Market Reserves	A	Dividend			Closed	02/04/23	J		
83.	401(K) PLAN #2 - H (H)									
84.	-LPL Target Income Portfolio		None	J	T	Buy	02/17/23	J		
85.						Buy (add'l)	03/03/23	J		

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A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000
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86.					Buy (add'l)	03/17/23	J		
87.					Buy (add'l)	03/31/23	J		
88.					Buy (add'l)	04/14/23	J		
89.					Buy (add'l)	04/28/23	J		
90.					Buy (add'l)	05/12/23	J		
91.					Buy (add'l)	05/26/23	J		
92.					Buy (add'l)	06/09/23	J		
93.					Buy (add'l)	06/23/23	J		
94.					Buy (add'l)	07/07/23	J		
95.					Buy (add'l)	07/21/23	J		
96.					Buy (add'l)	08/04/23	J		
97.					Buy (add'l)	08/18/23	J		
98.					Buy (add'l)	09/01/23	J		
99.					Buy (add'l)	09/15/23	J		
100.					Buy (add'l)	09/29/23	J		
101.					Buy (add'l)	10/13/23	J		
102.					Buy (add'l)	10/27/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Conti, Joy Flowers	08/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.					Buy (add'l)	11/10/23	J		
104.					Buy (add'l)	11/24/23	J		
105.					Buy (add'l)	12/08/23	J		
106.					Buy (add'l)	12/22/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

Current year:

Lines 32-33 - Conifer Holdings - Notes 6.75% due 9/30/23 were exchanged for Notes 9.75% due 9/30/28

Name of Person Reporting	Date of Report
Conti, Joy Flowers	08/14/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
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