AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Counts,III, Walter D.	U.S.D.C. Western District, TX	05/02/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 200 East Wall Street Midland, Texas 79701		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1987	Texas County & District Retirement Systems, Austin, Tx (Defined Benefit Plan) - no control
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Counts,III, Walter D.	05/02/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Bank of America (Cash)	A	Interest	M	T					
2. IRA									
3. - Blackrock Equity Dividend FD Cl A MDDVX	C	Dividend	K	T					
4. - Invesco Int'l /Growth A AIIEX	A	Dividend	J	T					
5. - DWS Small Cap Core Fd A SZCAX	A	Dividend	J	T					
6. - Hartford Mut Midcap Fd Cl C HMDCX	A	Dividend	J	T					
7. - Allspring Govt Money Mkt (cash sweep)	A	Interest	J	T					
8. Empower Retirement (Def'd Comp)									
9. - Putnam Stable Value Fund: 45bps	A	Dividend	K	T					
10. - Vanguard 500 Index Fund Admiral VFIAX	D	Dividend	L	T					
11. Rent House (Lubbock, Lubbock County, Texas)	C	Rent	M	W					
12. TD Ameritrade									
13. - Walmart (WMT)		Dividend			Buy	01/13/21	J		
14. - Walmart (WMT)	A	Dividend			Sold	11/03/21	J	A	
15. - Kimberly Clark Corp (KMB)		Dividend			Buy	01/28/21	J		
16. - Kimberly Clark Corp (KMB)	A	Dividend			Sold	12/28/21	J	A	
17. -Petmed Express Inc (PETS)		Dividend	J	T	Buy	02/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Counts,III, Walter D.	05/02/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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18. - Virtu Financial Inc (VIRT)		Dividend			Buy	02/03/21	J		
19. - Virtu Financial Inc (VIRT)	A	Dividend			Sold	03/16/21	J	A	
20. - Taiwan Semiconductor Manufacturing (TSM)		Dividend	J	T	Buy	02/05/21	J		
21. - Apple (AAPL)	D	Dividend	L	T	Buy (add'l)	02/22/21	J		
22. - Radian (RDN)	A	Dividend			Sold	01/06/21	J	B	
23. - Rocket (RKT)	A	Dividend			Sold	03/02/21	J	A	
24. - O2Micro International Limited (OIIM)		Dividend	J	T	Buy	01/11/21	J		
25. - Microsoft (MSFT)	D	Dividend	L	T	Buy (add'l)	02/22/21	J		
26. - Advanced Micro Devices (AMD)		Dividend			Buy	03/03/21	J		
27. - Advanced Micro Devices (AMD)		Dividend			Buy	09/08/21	J		
28. - Advanced Micro Devices (AMD)	B	Dividend			Sold	10/15/21	K	B	
29. - DraftKings Inc (DKNG)		Dividend			Buy	03/05/21	J		
30. - DraftKings Inc (DKNG)	A	Dividend			Sold	09/02/21	J	A	
31. - SSR Mining Inc (SSRM)		Dividend			Buy	03/10/21	J		
32. - SSR Mining Inc (SSRM)	A	Dividend			Sold	07/23/21	J	A	
33. - Fortive Corp (FTV)		Dividend			Buy	03/10/21	J		
34. - Fortive Corp (FTV)	A	Dividend			Sold	11/03/21	J	A	

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35. - State Street Corp (STT)		Dividend			Buy	03/23/21	J		
36. - State Street Corp (STT)	A	Dividend			Sold	06/03/21	J	A	
37. - Viacom (VIAC), now Paramount Global (PARA)		Dividend	J	T	Buy	03/31/21	J		
38. - T-Mobile (TMUS)	A	Dividend			Sold	11/03/21	J	A	
39. - Tyson Foods Inc (TSN)		Dividend			Buy	04/26/21	J		
40. - Tyson Foods Inc (TSN)	A	Dividend			Sold	08/20/21	J	A	
41. - Bank of America (BAC)	A	Dividend			Sold	10/01/21	K	D	
42. - Ford Motor Co (F)		Dividend			Buy	06/08/21	J		
43. - Ford Motor Co (F)	A	Dividend			Sold	10/15/21	J	A	
44. -Truist Financial Corp (TFC)		Dividend			Buy	07/07/21	J		
45. - Truist Financial Corp (TFC)	A	Dividend			Sold	08/20/21	J	A	
46. - QUALCOMM Inc (QCOM)		Dividend	J	T	Buy	08/05/21	J		
47. - Keurig Dr Pepper Inc (KDP)		Dividend			Buy	10/01/21	J		
48. - Keurig Dr Pepper Inc (KDP)	A	Dividend			Sold	12/28/21	J	A	
49. - Target (TGT)	A	Dividend			Sold	11/03/21	K	D	
50. - Mitek (MITK)	A	Dividend			Sold	02/19/21	J	A	
51. - JP Morgan (JPM)	A	Dividend			Sold	10/01/21	J	D	

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52. - Ameris Bancorp (ABCB)	A	Dividend			Sold	10/15/21	J	B	
53. - Western Alliance (WAL)	D	Dividend			Sold	08/31/21	J	D	
54. - NetApp (NTAP)	A	Dividend			Sold	02/19/21	J	A	
55. - Sturm Ruger (RGR)	A	Dividend			Sold	10/15/21	J	B	
56. - PepsiCo (PEP)	A	Dividend	J	T			J		
57. - Johnson & Johnson (JNJ)	B	Dividend	K	T	Buy	01/08/21	K		
58. - Cerner (CERN)	A	Dividend			Buy	01/06/21	J		
59. - Cerner (CERN)	A	Dividend			Sold	06/22/21	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II.1. As of termination of employment, neither I nor my former employer contribute to this plan.
VII.7. Formerly Wells Fargo 100% Treasury Money Mkt - fund change to Allspring Govt Money Mkt (March 26, 2021)

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Walter D. Counts,III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544