AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Crabb, Barbara B.	U.S. District Court, Western District of Wisconsin	04/22/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
120 North Henry Street Suite 540 Madison, WI 53703		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Facktor-Mervis charitable foundation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Wisconsin Department of Employee Trust Funds
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Crabb, Barbara B.	04/22/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. AXA Variable Life Ins Annuity Equitable Life comprising:									
2. - EQ/Int'l Equity	A	Interest	K	T					
3. - AXA Int'l Core Volatility	A	Interest	K	T					
4. - EQ/Equity 500 Index	B	Interest	L	T					
5. -EQ/Mid Cap Index	A	Interest	J	T					
6. - EQ/Small Company	A	Interest	J	T					
7.									
8. Ed Vest -529 College Savings Plan (Balanced Portfolio 3437-Med. Risk)	E	Interest	M	W					
9.									
10. First Trust North American (EMLP)		None	J	T	Buy (add'l)	01/29/21	J		
11.					Buy (add'l)	01/29/21	J		
12.					Sold (part)	12/01/21	J	A	
13.					Sold (part)	12/01/21	J	A	
14.									
15. Harding Loevner Intl Eqty (HLMIX)		None	K	T	Sold (part)	01/29/21	J	A	
16.					Sold (part)	01/29/21	J	A	
17.					Sold (part)	12/01/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

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	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18.						Sold (part)	12/01/21	J	A	
19.										
20.	Home Savings & Loan	A	Interest	K	T					
21.										
22.	Ishares Edge MSCI Min Vol (EFAV)	C	Dividend	M	T	Sold (part)	01/29/21	J	B	
23.						Sold (part)	01/29/21	J	A	
24.										
25.	ishares Edge MSCI Emerg Mkt Min.Vol (EEMV)	C	Dividend	M	T					
26.										
27.	Ishares Russell Midcap ETF (IWP) (G)	A	Dividend	L	T	Buy (add'l)	01/29/21	J		
28.						Buy (add'l)	01/29/21	J		
29.						Sold (part)	04/29/21	J	A	
30.						Sold (part)	04/29/21	J	A	
31.						Sold (part)	12/01/21	J	A	
32.						Sold (part)	12/01/21	J	A	
33.										
34.	Ishares Russell Midcap (IWS) (V)	A	Dividend	L	T	Sold (part)	01/29/21	J	A	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.					Sold (part)	01/29/21	J	A	
36.					Buy (add'l)	07/19/21	J		
37.					Sold (part)	12/01/21	J	A	
38.					Sold (part)	12/01/21	J	A	
39.									
40. Ishares Russell 1000 Growth Index (IWF)	B	Dividend	N	T	Sold (part)	01/29/21	J	B	
41.					Sold (part)	01/29/21	J	A	
42.					Sold (part)	12/01/21	J	A	
43.					Sold (part)	12/01/21	J	A	
44.									
45. Ishares Russell 1000 Value (IWD)	C	Dividend	N	T					
46.									
47. Ishares Russell 2000 Growth Fund (IWO)	A	Dividend	L	T	Buy (add'l)	01/29/21	J		
48.					Buy (add'l)	01/29/21	J		
49.					Sold (part)	03/15/21	J	A	
50.					Sold (part)	03/15/21	J	A	
51.					Sold (part)	12/01/21	J	A	

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52.									
53. Ishares Russell 2000 Value (IWN)	A	Dividend	K	T	Buy (add'l)	07/19/21	J		
54.									
55. Met Life	A	Interest	J	T					
56.									
57. Morgan Stanley Private Bank NA (MSPBNA)	A	Interest	K	T					
58.									
59. Nuveen Int Dur Muni Bond (NUVBX)	E	Dividend	M	T	Buy (add'l)	02/22/21	K		
60.					Buy (add'l)	03/04/21	J		
61.					Buy (add'l)	04/06/21	J		
62.					Buy (add'l)	05/12/21	J		
63.					Buy (add'l)	10/26/21	J		
64.									
65. Nuveen Ltd Term Muni Bd (FLTRX)	C	Dividend	J	T	Sold (part)	02/22/21	J	A	
66.									
67. SPDR Doubleline Tr Tact ETF (TOTL)	C	Dividend	M	T	Sold (part)	02/22/21	L	A	
68.					Buy (add'l)	06/14/21	L		

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69.									
70. Synchrony Bank Acct	C	Interest	L	T					
71.									
72. UW Credit Union	E	Interest	K	T					
73.									
74. Vanguard European MSCI ETF (VGK)	D	Dividend	M	T					
75.									
76. WisdomTree Trust Japan Hedge EQ (DXJ)	B	Dividend	L	T					
77.									
78. Angel Oak Multi Strat Inc (ANGIX)		None	J	T	Buy (add'l)	01/29/21	J		
79.					Buy (add'l)	01/29/21	J		
80.					Sold (part)	12/01/21	J	A	
81.					Sold (part)	12/01/21	J	A	
82.									
83. PIMCO Enhanced Short Term Maturity (MINT)	A	Dividend	M	T	Buy (add'l)	05/12/21	J		
84.									
85. Fuller and Thaler Behavioral Small Cap Equity (FTHSX)		None	J	T	Buy (add'l)	01/29/21	J		

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86.					Buy (add'l)	01/29/21	J		
87.					Sold (part)	12/01/21	J	A	
88.					Sold (part)	12/01/21	J	A	
89.									
90. Blackstone Alt Mult Strat Inst. (BXMIX)		None			Sold (part)	01/15/21	J	A	
91.					Sold	01/15/21	J	A	
92.									
93. Pioneer Mult Asset Ultrashort Income (MYFRX)		None			Sold (part)	01/29/21	J	A	
94.					Sold	01/29/21	J	A	
95.									
96. Western Asset Core (WACPX)		None	K	T	Buy (add'l)	01/29/21	J		
97.					Buy (add'l)	01/29/21	J		
98.					Sold (part)	08/09/21	J	A	
99.					Sold (part)	12/01/21	J	A	
100.					Sold (part)	12/01/21	J	A	
101.									
102. Ishares National Muni Bond (MUB)	B	Dividend	L	T					

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103.									
104. Wisdomtree Emerging Markets (XSOE)		None			Sold (part)	01/29/21	J	A	
105.					Sold (part)	01/29/21	J	A	
106.					Sold	08/09/21	J	A	
107.									
108. Vanguard Dividend Appreciation (VIG)	A	Dividend	M	T	Sold (part)	01/29/21	J	A	
109.					Sold (part)	01/29/21	J	A	
110.					Buy (add'l)	07/19/21	J		
111.					Buy (add'l)	09/07/21	J		
112.					Buy (add'l)	09/22/21	J		
113.					Buy (add'l)	10/26/21	J		
114.					Sold (part)	12/01/21	J	A	
115.					Sold (part)	12/01/21	J	A	
116.					Buy (add'l)	12/17/21	J		
117.									
118. Alerian MLP (AMLP)	D	Dividend	K	T					
119.									

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. Ishares S&P US Preferred Stock Index Fund (PFF)		None			Sold	02/22/21	J	A	
121.									
122. Wisdom Tree Floating Rate Treasury Fund (USFR)		None			Sold (part)	01/29/21	J	A	
123.					Sold	01/29/21	J	A	
124.									
125. Lazard U.S. Equity Concentrated (LEVIX)		None	J	T	Sold (part)	01/29/21	J	A	
126.					Sold (part)	01/29/21	J	A	
127.					Sold (part)	12/01/21	J	A	
128.					Sold (part)	12/01/21	J	A	
129.									
130. GQG Partners Emerging Markets Equity Fund(GQGIX)		None	K	T	Sold (part)	01/29/21	J	A	
131.					Sold (part)	01/29/21	J	A	
132.					Buy (add'l)	08/09/21	J		
133.					Sold (part)	12/01/21	J	A	
134.					Sold (part)	12/01/21	J	A	
135.									
136. Causeway International, Value (CIVIX)* see notes		None			Sold				

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Crabb, Barbara B.	04/22/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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137.									
138. Vanguard Short Term Corporate (VCSH)		None			Sold (part)	01/29/21	J	A	
139.					Sold	01/29/21	J	A	
140.									
141. Matthews Asian Japan (MIJFX)		None	J	T	Buy (add'l)	01/29/21	J		
142.					Buy (add'l)	01/29/21	J		
143.					Sold (part)	12/01/21	J	A	
144.					Sold (part)	12/01/21	J	A	
145.									
146. Great Midwest Bank	A	Interest	J	T					
147.									
148. NEW-Calamos Market Neutral Inc. (CMNIX)		None	J	T	Buy	01/15/21	J		
149.					Buy (add'l)	01/15/21	J		
150.					Buy (add'l)	01/29/21	J		
151.					Buy (add'l)	01/29/21	J		
152.					Sold (part)	12/01/21	J	A	
153.					Sold (part)	12/01/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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154.									
155. NEW-Federated Hermis Ultrashort Bond IS (FULIX)		None	J	T	Buy	01/29/21	J		
156.					Buy (add'l)	08/09/21	J		
157.					Sold (part)	12/01/21	J	A	
158.					Sold (part)	12/01/21	J	A	
159.					Buy (add'l)	01/29/21	J		
160.									
161. NEW - First Trust Preferred Securities and Income (FPE)	A	Dividend	K	T	Buy	01/29/21	J		
162.					Buy (add'l)	01/29/21	J		
163.					Buy (add'l)	02/22/21	K		
164.					Sold (part)	12/01/21	J	A	
165.					Sold (part)	12/01/21	J	A	
166.									
167. NEW-SPDR S&P Bank ETF (KBE)		None	K	T	Buy	01/29/21	J		
168.					Buy (add'l)	01/29/21	J		
169.					Sold (part)	12/01/21	J	A	
170.					Sold (part)	12/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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171.										
172.	NEW Wisdomtree Yield Enhanced U.S. Aggregate Bond Fund (AGGY)	A	Dividend			Buy	02/22/21	K		
173.						Sold	06/14/21	K	A	
174.										
175.	NEW: First Trust Global Tactical Commodiety Strategy Fund (FTGC)		None	J	T	Buy	03/15/21	J		
176.						Buy (add'l)	03/15/21	J		
177.						Buy (add'l)	04/29/21	J		
178.						Buy (add'l)	04/29/21	J		
179.						Buy (add'l)	06/14/21	K		
180.						Buy (add'l)	07/19/21	J		
181.						Sold (part)	12/01/21	J	A	
182.						Sold (part)	12/01/21	J	A	
183.										
184.	Associated Bank	A	Interest	J	T					
185.										

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In reviewing the report, you will notice that in some places, I have reported more than one divided or more than one sale or purchase on the same date. This may look like an unintended duplication, but it is actually a consequence of having four separate funds, each with a separate purpose. One of the consequences of this arrangement is that the sales, purchases and dividend payments for each fund do not necessarily occur on the same dates. I considered lumping them together, regardless of the date paid, but decided that this created more complexity than clarity.

*Causeway Interrnational Value (CIVIX): no transactions in 2021. Sold in full in 2020.

Name of Person Reporting	Date of Report
Crabb, Barbara B.	04/22/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Barbara B. Crabb

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544