AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Crabtree, Daniel D.	U.S. District Court, District of Kansas	08/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2021 **to** 12/31/2021

7. Chambers or Office Address

Daniel D. Crabtree
United States District Court, District of Kansas
Robert J. Dole Courthouse, 500 State Avenue
Kansas City, Kansas 66101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas
2.	2021	Director's Fees, paid for service as Director of Lead Bank
3.	2021	Director's Fees, paid for service as Director of Tesseract Ventures, LLC
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	David Kiersznowski	travel and golf outings with close personal friend, as described in part VIII	$4,100.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Crabtree, Daniel D.	08/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Citigroup Inc. Com New	A	Dividend	J	T					
2.	Eaton Vance Large-Cap Value Fund	B	Distribution	L	T					
3.	Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
4.	Energy Transfer Partners LP	B	Distribution	J	T					
5.	Fidelity Advisor New Insights Fund	E	Distribution	N	T					
6.	Evergy (f/k/a Great Plains Energy, Inc.)	A	Dividend	J	T					
7.	Growth Fund of America	B	Distribution	L	T					
8.	Intel Corp	A	Dividend	K	T					
9.	Invesco American Franchise Fund	E	Distribution	L	T					
10.	Invesco Charter Fund	A	Distribution	J	T					
11.	Invesco Gloabl Growth Fund (f/k/a Global Small & Mid Cap Growth)	D	Dividend	K	T					
12.	Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					
13.	Targa Resources Corp	A	Dividend	K	T					
14.	Country Club Bank (cash accounts)	A	Interest	N	T					
15.	United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
16.	Dreyfus Govt Money Mkt (f/k/a Federated Captial Reserves)	A	Int./Div.	N	T					
17.	AT&T Inc. Com	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
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Crabtree, Daniel D.	08/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Alibaba Group SHS ADR		None			Sold	07/21/21	K	E	
19. Apple Inc Com	B	Dividend	N	T					
20. KKR & Co LP Del Com Units	A	Distribution	L	T					
21. Kinder Morgan Inc Del Com	A	Dividend	J	T					
22. Talmer Bank & Trurst (FDIC insured deposit)	A	Interest	L	T					
23. Country Club Wealth Solutions (FDIC insured deposit)	A	Interest	K	T					
24. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	K	T					
25. Fidelity Advisor Large Cap Stock Fund	D	Distribution	M	T					
26. Fidelity Advisor (Focus) Health Care Fund	D	Distribution	M	T					
27. Fidelity Advisor International Capital Appreciation Fund	B	Dividend	K	T					
28. Fidelity Advisor International Growth Fund	A	Dividend	L	T					
29. Fidelity Advisor Mid Cap Value Fund	B	Dividend	L	T					
30. Amercan Funds New Perspective Fund	C	Distribution	L	T					
31. American Funds Balanced Fund	B	Dividend	K	T					
32. Calamos Strategic Total Return Fund	B	Dividend	K	T					
33. BlackRock Equity Dividend Fund	B	Dividend	K	T					
34. Allianz GI NFJ Dividend International & Premium Strategy Fund	B	Dividend	K	T					

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35. Kraneshares TR China A ETF	A	Distribution			Sold	07/19/21	K	D	
36. Wisdom Tree Emerging Markets High Dividend Fund ETF	A	Distribution	J	T					
37. Vanguard Mid Cap Growth Investment Fund (X)	A	Dividend	J	T					
38. Western Asset Emerging Markets Fund	B	Dividend	J	T					
39. Select Sector SPDR Fund	A	Dividend	K	T					
40. Lead Bank (cash account)		None	K	T					
41. Morgan Stanley China	B	Dividend			Sold	07/19/21	K	A	
42. Morgan Stanley Preferred Series Floating Rate	A	Dividend	K	T					
43. Goldman Sachs Group Preferred Series D Floating Mty	A	Dividend	K	T					
44. Adams Diversified Equity Fund	A	Dividend	K	T					
45. Guggenheim Enhanced Equity Income Fund	A	Dividend			Sold	12/21/21	J	A	
46. Nuveen Real Asset Income and Growth Fund	B	Dividend	J	T					
47. Tekla Life Sciences	B	Dividend	K	T					
48. Tekla Healthcare	B	Dividend	K	T	Buy (add'l)	03/21/21	J		
49. Tekla Healthcare (additional acquisition)	B	Dividend	K	T	Buy (add'l)	07/06/21	J		
50. Tekla Healthcare (additional acquisition)	B	Dividend	K	T	Buy (add'l)	10/01/21	J		
51. Tekla Healthcare (additional acquisition)	B	Dividend	K	T	Buy (add'l)	12/31/21	J		

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52. Invesco Exchange Traded Trust S&P Midcap Momentum	A	Dividend			Sold (part)	01/28/21	J	B	
53. Invesco Exchange Traded Trust S&P Midcap Momentum (another sale)	A	Dividend			Sold (part)	02/24/21	L	E	
54. Invesco Exchange Traded Trust S&P Midcap Momentum (another sale)	A	Dividend			Sold	12/28/21	M	D	
55. SPDR Series Trust S&P 400 Midcap ETF	A	Dividend			Sold	01/28/21	M	D	
56. Wisdom Tree U.S. Midcap Fund	A	Dividend			Sold	12/21/21	L	D	
57. Cohen and Steers Quality Income Realty Fund	A	Dividend	J	T					
58. First Trust Unit 8720 Dow Target	B	Dividend			Sold	07/13/21	J	D	
59. Goldman Sachs Trust Access Treasury ETF	A	Dividend	L	T					
60. SPDR Series Tr S & P Dividend EFT	B	Dividend			Sold (part)	02/08/21	L	C	
61. SPDR Series Tr S & P Dividend EFT (additional disposition)	B	Dividend			Sold	06/15/21	L	A	
62. First Trust Exchange Traded Fund VI Rising Dividend Achievers	A	Dividend			Sold	01/28/21	M	E	
63. First Trust Exchange Traded Fund VI Rising Dividend Achievers	A	Dividend	K	T	Buy	12/20/21	K		
64. Invesco TR I S&P 500 Equal Weight Tech ETF	A	Dividend	M	T	Buy	06/23/21	L		
65. Invesco TR S&P 500 Equal Weight Tech ETF (additional acquisition)	A	Dividend	M	T	Buy (add'l)	07/22/21	J		
66. Invesco TR S&P 500 Equal Weight Tech ETF (additional acquisition)	A	Dividend	M	T	Buy (add'l)	09/27/21	K		
67. Invesco TR S&P 500 Low Volatility ETF	B	Dividend	M	T	Buy	12/28/21	M		
68. SPDR Ser TR Port S&P 500 EFT	B	Dividend	M	T	Buy	08/26/21	M		

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69. SPDR Ser TR Port S&P 500 EFT (additional acquisition)	B	Dividend	M	T	Buy (add'l)	09/27/21	K		
70. SPDR TR Port S&P 500 Composite Stock Market ETF	B	Dividend	M	T	Buy	09/30/21	M		
71. Vanguard Specialized Funds Dividend Appreciation ETF	B	Dividend	M	T	Buy	10/25/21	M		
72. Wisdom Tree TR US Quality Dividend Growth Fund	B	Dividend	M	T	Buy	12/15/21	L		
73. Wisdom Tree TR US Quality Dividend Growth Fund (additional buy)	B	Dividend	M	T	Buy (add'l)	12/20/21	K		
74. Wisdom Tree TR US Quality Dividend Growth Fund (additional buy)	B	Dividend	M	T	Buy (add'l)	12/29/21	J		
75. Invesco S&P 500 Quality ETF	A	Dividend	K	T	Buy	12/20/21	K		
76. Invesco S&P 500 Quality ETF (additional acquisition)	A	Dividend	K	T	Buy (add'l)	12/29/21	J		
77. I-Shares TR MSCI 400 Social ESG Select ETF	A	Dividend	K	T	Buy	12/20/21	K		
78. I-Shares TR MSCI 400 Social ESG Select ETF (additional acquisition)	A	Dividend	K	T	Buy (add'l)	12/29/21	J		
79. I-Shares TR MSCI USA ESG Select ETF	A	Dividend	K	T	Buy	12/20/21	K		
80. I-Shares TR MSCI USA ESG Select ETF (additional acquisition)	A	Dividend	K	T	Buy (add'l)	12/29/21	J		
81. Vanguard World Fund Mega Cup ETF	A	Dividend	K	T	Buy	12/20/21	K		
82. Vanguard World Fund Mega Cup ETF (additional acquisition)	A	Dividend	K	T	Buy (add'l)	12/29/21	J		
83. JP Morgan Equity Premium Inc. ETF	B	Dividend	K	T	Buy	12/21/21	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: The aggregate gift amount shown on this line was provided by a close personal friend and his appearance (or the appearance any of his associated companies or foundations) would require that I take no official action in the matter. This individual and his associated entites are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of the estimated fair market value of participating in member/guest golf events at clubs where he belongs or has guest privileges, associated travel, and one used golf club given as a gift.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Daniel D. Crabtree

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544