AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Crabtree, Daniel D.	U.S. District Court, District of Kansas	08/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2022 **to** 12/31/2022

7. Chambers or Office Address

Daniel D. Crabtree
United States District Court, District of Kansas
Robert J. Dole Courthouse, 500 State Avenue
Kansas City, Kansas 66101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Director's Fees, paid for service as Director of Lead Bank
2.	2022	Director's Fees, paid for service as Director of Tesseract Ventures, LLC
3.	2022	Globabl Prairie PBC, Inc., paid for services as consultant on economic development project
4.	2022	Kiersznowski Family Charitable Trust, paid for services as advisor on charitable assests
5.	2022	Fern Odessa Charitable Trust, paid for services as advisor on charitable assests
6.	2022	Mag Spaces KC LLC, paid for services as advisor on economic development projects and governmental grants
7.	2022	Mahoney KC LLC, paid compensation for work performed by owner of LLC

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					

4. _____ _____ _____ _____ _____

5. _____ _____ _____ _____ _____

Name of Person Reporting	Date of Report
Crabtree, Daniel D.	08/11/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	David Kiersznowski	travel and golf outings with close personal friend, as described in part VIII	$1,390.00
2.	DeLoss Dodds	use of tickets and parking pass for college football game, as described in part VIII	$660.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Crabtree, Daniel D.	08/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Citigroup Inc. Com New	A	Dividend	J	T					
2.	Eaton Vance Large-Cap Value Fund	B	Distribution	L	T					
3.	Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
4.	Energy Transfer Partners LP	B	Distribution	K	T					
5.	Fidelity Advisor New Insights Fund	E	Distribution	M	T					
6.	Evergy (f/k/a Great Plains Energy, Inc.)	A	Dividend	J	T					
7.	Growth Fund of America	B	Distribution	K	T					
8.	Intel Corp	A	Dividend	K	T					
9.	Invesco American Franchise Fund	C	Distribution	K	T					
10.	Invesco Charter Fund	A	Distribution	J	T					
11.	Invesco Gloabl Growth Fund (f/k/a Global Small & Mid Cap Growth)	D	Dividend	K	T					
12.	Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					
13.	Targa Resources Corp	A	Dividend	K	T					
14.	Country Club Bank (cash accounts)	A	Interest	M	T					
15.	United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
16.	Dreyfus Govt Money Mkt (f/k/a Federated Captial Reserves)	D	Int./Div.	N	T					
17.	AT&T Inc. Com	B	Dividend			Sold	07/22/22	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Crabtree, Daniel D.	08/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Apple Inc Com	B	Dividend	M	T					
19. KKR & Co LP Del Com Units	A	Distribution			Sold	07/22/22	K	D	
20. Kinder Morgan Inc Del Com	A	Dividend	J	T					
21. Talmer Bank & Trurst (FDIC insured deposit)	A	Interest	L	T					
22. Country Club Wealth Solutions (FDIC insured deposit)	B	Interest	M	T					
23. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	K	T					
24. Fidelity Advisor Large Cap Stock Fund	B	Distribution	M	T					
25. Fidelity Advisor (Focus) Health Care Fund	A	Distribution	L	T					
26. Fidelity Advisor International Capital Appreciation Fund	A	Dividend	K	T					
27. Fidelity Advisor International Growth Fund	A	Dividend	K	T					
28. Fidelity Advisor Mid Cap Value Fund	B	Dividend	L	T					
29. Amercan Funds New Perspective Fund	B	Distribution	K	T					
30. American Funds Balanced Fund	A	Dividend	K	T					
31. Calamos Strategic Total Return Fund	C	Dividend	K	T					
32. BlackRock Equity Dividend Fund	B	Dividend	K	T					
33. Virtus Div Int'l & Premium Strategy Fund (f/k/a Allianz GI NFJ Fund)	B	Dividend	K	T					
34. Wisdom Tree Emerging Markets High Dividend Fund ETF	B	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Vanguard Mid Cap Growth Investment Fund (X)	A	Dividend	J	T					
36. Western Asset Emerging Markets Fund	A	Dividend	J	T					
37. Select Sector SPDR Fund	A	Dividend	K	T					
38. Lead Bank (cash account)		None	J	T					
39. Morgan Stanley Preferred Series Floating Rate	A	Dividend	J	T					
40. Goldman Sachs Group Preferred Series D Floating Mty	A	Dividend	J	T					
41. Adams Diversified Equity Fund	A	Dividend	K	T					
42. Guggenheim Strategic Opportunites . Fund (see note in part VIII)	B	Dividend	K	T					
43. Nuveen Real Asset Income and Growth Fund	B	Dividend	J	T					
44. Tekla Life Sciences	B	Dividend	K	T					
45. Tekla Healthcare	B	Dividend	K	T					
46. Cohen and Steers Quality Income Realty Fund	A	Dividend	J	T					
47. Goldman Sachs Trust Access Treasury ETF	A	Dividend	L	T					
48. First Trust Exchange Traded Fund VI Rising Dividend Achievers	A	Dividend			Sold	03/22/22	M	A	
49. Invesco TR I S&P 500 Equal Weight Tech ETF	A	Dividend			Sold	01/24/22	L	B	
50. Invesco TR S&P 500 Low Volatility ETF	B	Dividend			Sold	01/28/22	M	A	
51. SPDR Ser TR Port S&P 500 EFT	B	Dividend			Sold (part)	01/18/22	M	C	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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52.	SPDR Ser TR Port S&P 500 EFT	B	Dividend			Sold	03/28/22	M	A	
53.	SPDR TR Port S&P 500 Composite Stock Market ETF	B	Dividend			Sold	03/28/22	M	D	
54.	Vanguard Specialized Funds Dividend Appreciation ETF	B	Dividend			Sold	01/05/22	M	D	
55.	Wisdom Tree TR US Quality Dividend Growth Fund	B	Dividend			Sold	01/13/22	L	B	
56.	Wisdom Tree TR US Quality Dividend Growth Fund (additional buy)	B	Dividend			Sold	01/25/22	K	A	
57.	Invesco S&P 500 Quality ETF	A	Dividend			Sold	01/24/22	K	A	
58.	I-Shares TR MSCI 400 Social ESG Select ETF	A	Dividend			Sold	01/26/22	K	A	
59.	I-Shares TR MSCI USA ESG Select ETF	A	Dividend			Sold (part)	01/19/22	J	A	
60.	I-Shares TR MSCI USA ESG Select ETF	A	Dividend			Sold	05/13/22	K	A	
61.	Vanguard World Fund Mega Cup ETF	A	Dividend			Sold	01/26/22	K	A	
62.	JP Morgan Equity Premium Inc. ETF	B	Dividend	K	T					
63.	WIN Dynamic Moderate Growth Portfolio (see note in part VIII)	D	Dividend	O	T	Buy	12/18/19	O		
64.	WIN Dynamic Balanced Portfolio (see note in part VIII)	B	Dividend	M	T	Buy	12/10/21	M		
65.	Warner Brothers Discovery Inc.	A	Dividend			Spinoff (from line 17)	04/13/22	J		
66.	Warner Brothers Discovery Inc.	A	Dividend			Sold	07/22/22	J	A	

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Crabtree, Daniel D.	08/11/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: The aggregate gift amount shown on this line was provided by a close friend and his appearance (or the appearance of any of his associated companies) in a matter would reuqire that I take no official action in the matter. This individual and his associated entities are listed on my recual list and have been so listed since I first was appointed. The amount shown in part V, line 1 consists of the estimated fair market value of two rounds of golf, associated travel (California), and meals.

Part V, line 2: The aggregatre gift amount shown on this line was provided by a close friend and his appearance in a matter would require that I take no official action in the matter. The donor imvited [redacted] and a [redacted] friend to attend a football game played at the university where [redacted] attended college at the time of the gift. The donor was a season ticket holder, and he invited [redacted] and a friend to use his tickets to attend one game.

Part VII, line 42: My 2021 report mistakenly reported that I had sold all my holdings in the mutual fund formerly known as Guggenheim Enhanced Equity Income Fund. While preparing this year's report, I learned that the 2021 transaction, instead, merely had sold a fraction of one share in that fund for a total sales price of $16.39. This fractional share had a cost basis of $10.66. But, after that fractional sale, I had retained the balance of my holding in Guggenheim Enhanced Equity Income Fund, and it then merged with Guggenheim Strategic Oppotunities Fund. This year's report thus identifies my holding in the merged fund, Guggenheim Strategic Opportunities Fund.

Part VII, lines 63 and 64: In earlier reports, I disclosed these portfolios by identifying the EFT tranactions in which the portfolios had engaged during the reporting period. Now, however, I believe, after consulting anew with my financial advisor, that I should disclose this holidng by identifying the portfolios' manager, EdgeTech Analytics, LLC. Thus, this year's report adds that additional information to my earlier disclosures and identifies the dates when I initially acquired interests in these two portfolios.

Name of Person Reporting	Date of Report
Crabtree, Daniel D.	08/11/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Daniel D. Crabtree

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544