AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thomas , Cullen T.	U.S. District, Western District of Virginia	05/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
210 Franklin Street, Suite 200 Roanoke, VA 24011		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	United States District Judge	United States Courts
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	MKB Realtor, Real-Estate Commissions
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thomas , Cullen T.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
1. Roth IRA (H)					Exempt				
2. - T. Rowe Price Dividend Growth Fund (PRDGX)	A	Distribution	K	T	Buy (add'l)	02/04/21	J		
3. - T. Rowe Price Dividend Growth Fund (PRDGX)					Sold (part)	08/26/21	J	A	
4. - T. Rowe Price Blue Chip Growth Fund (TRBCX)	B	Distribution	K	T	Buy (add'l)	02/04/21	J		
5. - Schwab Money Market Fund	A	Interest	J	T					
6. Investment Account #1 (H)									
7. - Amazon Com (AMZN)		None	K	T					
8. - Proctor & Gamble (PG)	A	Dividend	K	T	Sold (part)	10/05/21	J	B	
9. - Berkshire Hathaway (BRKB)		None	K	T					
10. - Lockheed Martin Corp. (LMT)	A	Dividend	K	T					
11. - Visa Inc (V)	A	Dividend	K	T					
12. - Disney Walt Co (DIS)	A	Dividend	K	T					
13. - Dominion Energy (D)	A	Dividend	K	T					
14. - Essential Utilities (WTRG)	A	Dividend	J	T					
15. - First Trust Municipal Bond ETF (FMB)	A	Interest	K	T					
16. - T. Rowe Price VA Tax Free Bond (PRVAX)	A	Interest	K	T					
17. - Schwab Money Market Fund	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Rollover IRA #1 (H)									
19. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Sold (part)	09/14/21	J	B	
20. - Dominion Energy (D)	A	Dividend	J	T					
21. - Fidelity Contra Fund (FCNTX)	D	Distribution	L	T					
22. - Miller Opportunity A (LGOAX)	A	Distribution	K	T	Buy	06/08/21	K		
23. - iShare Comex Gold (IAU)		None	J	T					
24. - Vanguard Wellesley Income (VWINX)	A	Int./Div.	K	T					
25. - iShares TIPS Bond ETF (TIP)	A	Interest	K	T					
26. - iShares 0-5 Year Investment Grade Corp. Bond ETF (SLQD)	A	Interest	K	T					
27. - Schwab Money Market Fund	A	Interest	K	T					
28. - Columbai Convertible Securities Institutional (COCRX)	C	Distribution			Sold	12/29/21	K		
29. Rollover IRA #2 (H)									
30. - T. Rowe Price Blue Chip Growth (TRBCX)	A	Distribution	J	T					
31. - T. Rowe Price Dividend Growth (PRDGX)	A	Distribution	J	T					
32. - T. Rowe Price Equity Income (PRFDX)	A	Distribution	J	T	Buy	06/08/21	J		
33. - Vanguard Wellesley Income (VWINX)	A	Int./Div.	J	T					
34. - Schwab Money Market Fund	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Investment Account #2 (H)									
36. - Home Depot (HD)	A	Dividend	K	T	Sold (part)	09/09/21	J	C	
37. - Home Depot (HD)					Sold (part)	11/17/21	J	D	
38. - Nike Inc. (NKE)	A	Dividend	K	T	Sold (part)	09/09/21	J	B	
39. - Nike Inc. (NKE)					Sold (part)	11/17/21	J	C	
40. - Pepsi Co. (PEP)	A	Dividend	J	T					
41. - Johnson & Johnson (JNJ)	A	Dividend	K	T	Sold (part)	09/09/21	J	B	
42. - Apple Inc. (AAPL)	A	Dividend	K	T	Sold (part)	09/09/21	J	C	
43. - Apple Inc. (AAPL)					Sold (part)	09/14/21	J	C	
44. - Verizon Communications (VZ)	A	Dividend	J	T					
45. - Dominion Energy (D)	A	Dividend	J	T	Sold	09/09/21	J	B	
46. - Oakmark Advisor (OAYMX)	A	Distribution	K	T	Buy	04/14/21	K		
47. - Schwab Strategic Trust (SCHD)	A	Dividend	K	T	Buy	04/14/21	K		
48. - Schwab Strategic Trust (SCHD)					Sold (part)	11/17/21	J	A	
49. - T. Rowe Price Blue Chip Growth (TRBCX)	C	Distribution	K	T	Buy	04/14/21	K		
50. - T. Rowe Price Blue Chip Growth (TRBCX)					Sold (part)	10/05/21	J	A	
51. - T. Rowe Price Blue Chip Growth (TRBCX)					Sold (part)	11/17/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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52. - T. Rowe Price Dividend Growth (PRDGX)	A	Distribution	J	T					
53. - Vanguard Small-Cap ETF (VB)	A	Dividend	J	T					
54. - Fidelity International Capital Appreciation (FIVFX)	B	Distribution	K	T					
55. - ABR Dynamic Blend Equity & Volatility	B	Distribution	K	T	Buy	10/07/21	J		
56. - First Trust Preferred Securities ETF (FPE)	A	Interest	J	T					
57. - Vanguard Wellesley (VWINX)	B	Int./Div.	J	T	Buy	06/08/21	J		
58. - Schwab Money Market Fund	A	Interest	K	T					
59. - Intel Corp. (INTC)					Sold	01/05/21	K	D	
60. - Fidelity Small Cap Growth (FCPGX)	B	Distribution			Sold	10/07/21	J		
61. Custodial Account #1 (H)									
62. - Amazon Co. (AMZN)		None	J	T					
63. - Nike Co. (NKE)	A	Dividend	J	T					
64. - Pepsi Co. (PEP)	A	Dividend	K	T					
65. - Proctor & Gamble Co. (PG)	A	Dividend	J	T					
66. - Berkshire Hathaway (BRKB)		None	K	T					
67. - Johnson & Johnson (JNJ)	A	Dividend	J	T					
68. - Boeing Co (BA)	A	Dividend	J	T					

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69. - Union Pacific Co. (UNP)	A	Dividend	K	T					
70. - Apple Inc (AAPL)	A	Dividend	L	T					
71. - Disney Walt (DIS)	A	Dividend	J	T					
72. - Verizon Communications (VZ)	A	Dividend	J	T					
73. - Dominion Energy (D)	A	Dividend	J	T					
74. - Essential Utilities (WTRG)	A	Dividend	K	T					
75. - Fidelity Contra Fund (FCNTX)	B	Distribution	J	T	Buy (add'l)	02/03/21	J		
76. - T. Rowe Price Blue Chip Growth (TRBCX)	B	Distribution	J	T	Buy (add'l)	01/11/21	J		
77. - T. Rowe Price Blue Chip Growth (TRBCX)					Buy (add'l)	02/03/21	J		
78. - T. Rowe Price Dividend Growth (PRDGX)	A	Int./Div.	J	T	Buy (add'l)	01/11/21	J		
79. - T. Rowe Price Dividend Growth (PRDGX)					Buy (add'l)	02/03/21	J		
80. - T. Rowe Price Equity Income (PRFDX)					Buy	06/08/21	J		
81. - T. Rowe Price Equity Income (PRFDX)					Sold (part)	12/06/21	J		
82. - Vanguard Small-Cap ETF (VB)	A	Dividend	J	T					
83. First Trust Preferred Securities and Income ETF (FPE)	A	Interest	J	T					
84. - Vanguard Wellesley Income (VWINX)	B	Int./Div.	K	T					
85. - iShares TIPS Bond ETF (TIP)	A	Interest	K	T	Buy	06/08/21	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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86. - Schwab Money Market Fund	A	Interest	J	T					
87. - Schwab Value Advantage (SWVXX)	A	Interest			Buy	06/07/21	K		
88. - Schwab Value Advantage (SWVXX)					Sold	06/09/21	K		
89. - Intel Corp. (INTC)					Sold	01/05/21	K	D	
90. Custodial Account #2 (H)									
91. - Amazon Com (AMZN)		None	J	T					
92. - Nestle (NSRGY)	A	Dividend	J	T					
93. - Pepsi Co. (PEP)	A	Dividend	J	T					
94. - Berkshire Hathaway (BRKB)		None	J	T					
95. - Johnson & Johnson (JNJ)	A	Dividend	J	T					
96. - Lockheed Martin Corp. (LMT)	A	Dividend	J	T					
97. - Microsoft Corp. (MSFT)	A	Dividend	K	T					
98. -Visa Inc. (V)	A	Dividend	J	T					
99. - Dsiney Walt Co. (DIS)	A	Dividend	J	T					
100. - Verizon Communications (VZ)	A	Dividend	J	T					
101. - Dominon Energy (D)	A	Dividend	J	T					
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103. - Fidelity Contra Fund (FCNTX)	B	Distribution	J	T					
104. - T. Rowe Price Dividend Growth (PRDGX)	A	Int./Div.	J	T					
105. - T. Rowe Price Blue Chip Growth (TRBCX)	B	Distribution	J	T					
106. - T. Rowe Price Equity Income (PRFDX)	A	Distribution	J	T	Buy	06/08/21	J		
107. - T. Rowe Price Equity Income (PRFDX)					Sold (part)	12/06/21	J		
108. - Vangaurd Small-Cap ETF (VB)	A	Dividend	J	T					
109. - First Trust Preferred Securties and Income (FPE)	A	Interest	J	T					
110. - Vanguard Wellesley Income (VWINX)	A	Int./Div.	K	T					
111. - iShares TIPS Bond ETF (TIP)	A	Interest	J	T	Buy	06/08/21	J		
112. - Schwab Money Market Fund	A	Interest	J	T					
113. -Schwab Value Advantage (SWVXX)					Buy	06/07/21	K		
114. -Schwab Value Advantage (SWVXX)					Sold	06/09/21	K		
115. Private Holdings (H)									
116. Gray Lumber Company	D	Dividend	O	U					
117. Gray Holdings	E	Dividend	M	U	Sold (part)	02/01/21	N		
118. Gray Loblolly	D	Dividend	M	U					
119. Grayland	A	Dividend	J	U					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Thomas , Cullen T.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. Cullen Irrevocable Trust (holding additional shares of Gray stock).	E	Dividend	N	U					
121. Bank of America Checking Account		None	J	T					
122. Bank of America Money Market Savings Account	A	Interest	K	T					
123. Bank of America Money Market Savings Account #2	A	Interest	K	T					
124. Camp Rivers Bend, LLC (2.5% interest).		None	J	W					
125. Gwynnfield-Caroline, LLC (~11% interest).		None	J	W					
126. Virginia529 account for [redacted] #1		None							
127. Virginia529 Aggressive Growth Fund		None	L	T					
128. Virginia529 account for [redacted] #2		None							
129. Virginia529 Aggressive Growth Fund		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Thomas , Cullen T.	05/03/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 120: The Cullen Irrevocable Trust holds additional shares of Gray Lumber Co., Gray Holdings, Gray Loblolly, and Grayland stock.

Part VII, Line 124: Camp Rivers Bend LLC owns a small boys summer camp on [redacted], Millboro, Virginia, [redacted]. I own a 2.5% interest.

Part VII, Line 125: Gwynnfield-Caroline LLC owns approximately a [redacted] small lots in the [redacted], Tappahannock, VA, [redacted]. These lots, the majority of which are in river floodplain and therefore not "buildable," are located near the entrance of what used to be [redacted] farm in Tappahannock, Virginia. I own an approximate 11% interest.

Part VII, Line 126: Virginia529 educational savings account for [redacted] #1, holding Virginia529 Aggressive Growth Fund, is owned by [redacted] r, and [redacted] is named beneficiary.

Part VII, Line 128, Virginia529 educational savings account for [redacted] #2, holding Virginia 529 Aggressive Growth Fund, is owned by [redacted], and [redacted] is named beneficiary.

Name of Person Reporting	Date of Report
Thomas , Cullen T.	05/03/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cullen T. Thomas

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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