AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Cummings, Jeffrey I.	United States District Court - Northern District of Illinois	05/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Magistrate Judge (FT)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 219 South Dearborn Street Chicago, Illinois 60604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Cummings, Jeffrey I.	05/14/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	HERE Technologies (salary)
2.	2021	Apple (salary)
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Cummings, Jeffrey I.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Bank of America (cash)	A	Interest	K	T					
3. MONY Life Ins Policy #1 (whole)	A	Dividend	J	T					
4. MONY Life Ins Policy #2 (whole)	A	Dividend	K	T					
5. NWM Life Ins Policy #1 (whole)	C	Dividend	L	T					
6. NWM Life Ins Policy #2 (whole)	B	Dividend	K	T					
7. NWM Life Ins Policy #3 (whole)	A	Dividend	K	T					
8. Account #1 (H)									
9. Adv Bank Dep Ally Bank (ABDXX) (cash)	A	Interest	L	T					
10. Invesco Van Kampen Comstock Fd Y (ACSDX)	E	Dividend	M	T	Sold (part)	01/12/21	K	C	
11.					Sold (part)	04/12/21	K	B	
12.					Buy (add'l)	07/12/21	J		
13.					Sold (part)	10/12/21	J	C	
14. DWS RREEF Glbl Infrastr Fd A (TOLLX)	B	Dividend	K	T	Sold (part)	04/12/21	J	A	
15. Federated Hermes Strategic Income Fd Cl R6 (STILX)	D	Dividend	M	T	Buy (add'l)	01/12/21	J		
16.					Buy (add'l)	04/12/21	J		
17.					Buy (add'l)	07/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Cummings, Jeffrey I.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Federated Hermes Kaufmann Lg Cap Fd Cl R6 (KLCSX)	F	Dividend	N	T	Buy (add'l)	01/12/21	J		
19.						Buy (add'l)	04/12/21	J		
20.						Sold (part)	07/12/21	K	C	
21.						Buy (add'l)	10/12/21	J		
22.	Federated Hermes Total Ret Bd Fd Cl R6 (FTRLX)	D	Dividend	M	T	Buy (add'l)	01/12/21	J		
23.						Buy (add'l)	04/12/21	J		
24.						Buy (add'l)	07/12/21	J		
25.	Federated Intl Leaders Cl R6 (FGRSX)	C	Dividend	K	T	Sold (part)	01/12/21	J	A	
26.	Account #2 (H)									
27.	Xanthus Fund, LLC		None	M	T					
28.	Jackson National Life Perspective II S&P Aggressive Growth Portfolio		None	O	T	Sold (part)	01/28/21	J		
29.						Sold (part)	04/28/21	J		
30.						Sold (part)	07/28/21	J		
31.						Sold (part)	10/28/21	J		
32.	Account #3 (H)									
33.	American Funds, Capital World Growth & Income Fund Class A (CWGIX)	A	Dividend	J	T					
34.	American Funds, Europacific Growth Fund Class A (AEPGX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Funds, The Growth Fund Of America Class A (AGTHX)	A	Dividend	J	T					
36. American Funds, The Income Fund Of America Class A (AMECX)	A	Dividend	J	T	Sold (part)	03/02/21	J	A	
37. American Funds, Intermediate Bond Fund Of America Class A (AIBAX)	A	Dividend	J	T					
38. Account #4 (H)									
39. Adv Bank Dep Ally Bank (ABDXX) (cash)	A	Interest	K	T					
40. Amazon Com Inc (AMZN)		None	K	T					
41. Apple Inc (AAPL)	A	Dividend	L	T					
42. McDonalds Corp (MCD)	B	Dividend	L	T					
43. Federated Kaufmann Large Cap-A (KLCAX)	E	Dividend	N	T					
44. Federated Fltg Rate Strat Inc A (FRSAX)	C	Dividend	L	T	Sold (part)	12/30/21	J		
45. Federated Hermes Total Return Bond Fd Cl A (TLRAX)	C	Dividend	M	T					
46. Voya Eq Tr Midcap Opptys Fd Cl A (NMCAX)	D	Dividend	K	T					
47. First Trust ETF NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	L	T					
48. IShares Tr US Broker-Dealers & SE ETF (IAI)	A	Dividend	K	T					
49. Financial Select Sector SPDR (XLF)	A	Dividend	K	T					
50. Vanguard Index FDS S&P 500 ETF (VOO)	A	Dividend	K	T					
51. FT Global Dividend #58[redacted]	A	Dividend			Redeemed	02/16/21	K	B	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. FT Water Utility Infra Sel #59 [redacted]	A	Dividend	L	T					
53. FT Global Dividend #65 [redacted]	A	Dividend	K	T	Buy	03/22/21	K		
54. FT Water Utility Infra Sel #64 [redacted]		None	K	T	Buy	12/30/21	K		
55. FT Water Utility Sel #56 [redacted]	A	Dividend			Buy (add'l)	06/25/21	J		
56.					Redeemed	11/30/21	K	D	
57. Inv Divid Sustain 19-1 [redacted]	A	Interest			Redeemed	02/05/21	K	A	
58. Inv Divid Sustain 21-1 [redacted]	A	Interest	L	T	Buy	03/22/21	L		
59. Inv Select 10 Industrial 21-2 [redacted]	B	Interest	L	T	Buy	03/22/21	L		
60. Jackson National Life Perspective II S&P Aggressive Growth Portfolio		None	P1	T	Sold (part)	01/01/21	J		
61.					Sold (part)	04/01/21	J		
62.					Sold (part)	07/01/21	J		
63.					Sold (part)	10/01/21	J		
64. Account #5 (H)									
65. Adv Bank Dep Ally Bank (ABDXX) (cash)	A	Interest	J	T					
66. Invesco Van Kampen Comstock Fd Y (ACSDX)	D	Dividend	L	T	Sold (part)	01/12/21	J	A	
67.					Sold (part)	04/12/21	J	A	
68.					Sold (part)	10/12/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. Investco Main St Mid Cap Fund (OPMYX)	D	Dividend	K	T					
70. DWS RREEF Glbl Infrastr Fd A (TOLLX)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
71. Federated Intl Leaders Cl R6 (FGRSX)	D	Dividend	K	T	Buy (add'l)	04/12/21	J		
72. Account #6 (H)									
73. Adv Bank Dep Ally Bank (ABDXX) (cash)	A	Interest	K	T					
74. Alps ETF Tr Alerian MLP SBI/CBI ETF (AMLP)	C	Dividend	K	T	Sold (part)	09/24/21	J		
75. Aberdeen Std Physical Palladium (PALL)		None	K	T	Buy	09/17/21	K		
76. ETF Managers Tr Prime Moble Pay ABI/ CBI EFT (iPAY)		None			Buy	03/16/21	K		
77.					Sold	07/30/21	K		
78. iPath Series B Blooomburg Coffee Subidx Total Rtn (JO)		None	K	T	Buy	09/17/21	K		
79.					Sold (part)	09/24/21	J	A	
80. Global X Cloud Computing ETF (CLOU)		None	K	T					
81. Invesco Powershs Nasdaq Internet Port ETF (PNQI)		None	K	T	Buy (add'l)	08/04/21	J		
82. iShares Tr Select Divid (DVY)	B	Dividend	L	T					
83. iShares TR Russ 2000 ETF (IWM)	A	Dividend	K	T	Buy	11/15/21	K		
84. iShares Tr Tips BD EFT (TIPS)	A	Dividend			Buy	05/19/21	K		
85.					Sold	10/27/21	K	A	

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86. iShares Tr IBOXX Inv Cp ETF (LQD)	A	Dividend			Sold	05/19/21	K		
87. iShares Tr Mortg (REM)	B	Dividend	K	T					
88. SPDR Gold Trust Gold Shs (GLD)		None			Sold	09/17/21	J	A	
89. SPDR Ser Trust S&P Biotech Closed End SBI/CBI ETF (XBI)	A	Dividend	K	T					
90. Select Sect SPDR Tr SBI Healthcare (XLV)	A	Dividend	K	T	Sold (part)	03/08/21	J	C	
91. Select Sect SPDR Tr SBI Int-Finl (XLF)	A	Dividend	K	T					
92. Select Sect SPDR Tr Tech (XLK)	A	Dividend	L	T					
93. Vaneck Vectors ETF Gold Miners (GDX)	A	Dividend	K	T	Sold (part)	03/16/21	K	B	
94. Vanguard Intl Eq Indx Fd FTSE Emr Mkt (VWO)	A	Dividend			Sold	09/17/21	K	C	
95. Vanguard Index Fds Real Estate ETF (VNQ)	A	Dividend	K	T					
96. Account #7 (H)									
97. NM FDIC Insured Deposit Program (cash)	A	Dividend	K	T					
98. Thornburg Value Class A (TVAFX)	E	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Investco Main St Mid Cap Fund (OPMYX) was Invesco Endeavor Fd Cl Y (ATDYX).

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Cummings, Jeffrey I.	05/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey I. Cummings

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544