AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dearie, Raymond J.	Eastern District of New York	07/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge, Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse Room 910 225 Cadman Plaza East Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Savings	A	Interest	M	T					
2. Citibank IRS Money Market AIC	A	Interest	J	T					
3. I.R.E.B. Federal Credit Union - Regular Shares	A	Interest	K	T					
4. USAA Checking and Savings (X)	A	Interest	J	T					
5. Central Bank of St. Louis (X)	A	Interest	J	T					
6. Municipal Credit Union (X)	A	Interest	J	T					
7. Apple common stock	A	Dividend	J	T					
8. Berkshire Hathaway Inc.(X) common stock	A	Dividend	K	T					
9. Chevron Corp comon stock	A	Dividend	J	T					
10. Duke Energy common stock	A	Int./Div.	J	T					
11. General Mills common stock	A	Int./Div.	J	T					
12. Houghton Mifflin Hartcourt Co. common stock	D	Int./Div.	J	T	Buy	04/29/21	J		
13. McCormick & Company common stock	A	Int./Div.	J	T					
14. Meta Platform Inc. common stock	D	Int./Div.	J	T	Buy	01/25/21	J		
15. Microsoft Common Stock	A	Int./Div.	J	T					
16. Nike common stock	A	Dividend	J	T					
17. Simon Property Group common stock	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Shopify, Inc. common stock	D	Int./Div.	J	T	Buy	04/16/21	J		
19. Starbucks common stock	A	Int./Div.	J	T					
20. Union Pacific common stock	A	Int./Div.	J	T					
21. Wal-Mart common stock	D	Int./Div.	J	T	Buy	01/25/21	J		
22. Walt Disney common stock	A	Int./Div.	J	T					
23. MFS Global Equity Fund CL A	A	Int./Div.	J	T					
24. Federated Muni & Stock ADV F	A	Int./Div.	J	T					
25. JP Morgan Chase	A	Int./Div.	J	T					
26. Edward Jones Investment - Act (H)									
27. Bridge Builder Bond Fund	A	Int./Div.	J	T					
28. Bridge Builder Core Plus Bond Assoc.	A	Int./Div.	J	T					
29. Columbia Small Cap Value I	A	Int./Div.	J	T					
30. Dimenional DFA Int'l Value Fund	A	Int./Div.	J	T					
31. Dodge & Cox Stock	A	Int./Div.	J	T					
32. Europacific Growth	A	Int./Div.	J	T					
33. Franklin Mutual Shares	A	Int./Div.	J	T					
34. Ironbridge Smid Cap	A	Int./Div.	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Jh Disciplined Value Mid Cap	A	Int./Div.	J	T					
36. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
37. MFS Int'l Value	A	Int./Div.	J	T					
38. Pimco Total Return IV (X)	A	Int./Div.	J	T					
39. Prudential High Yield	A	Int./Div.	J	T					
40. Prudential Jenn Mid Cap GR	A	Int./Div.	J	T					
41. T. Rowe Price Instl Lrge Cp G (X)R	B	Int./Div.	J	T					
42. T. Rowe Price Int'l Stock (X)	A	Int./Div.	J	T					
43. Victory Small Company Opport	A	Int./Div.	J	T					
44. Edward Jones Act. 2 - Roth IRA (X) (H)									
45. AFS Int'l Growth Fund CL I	A	Int./Div.	J	T					
46. Ally Bk Sandy Utah	A	Int./Div.	J	T					
47. Amazon Com Ins	A	Int./Div.	J	T					
48. American Cap Inc.	A	Int./Div.	J	T					
49. American Balanced	A	Int./Div.	J	T					
50. American Balanced F3	A	Int./Div.	J	T					
51. American Express	A	Int./Div.	J	T					

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52. American Inc. Fund	A	Int./Div.	J	T					
53. AT & T	A	Int./Div.	J	T					
54. Alphabet Inc.	A	Int./Div.	J	T					
55. Artisian Int'l Value	A	Int./Div.	J	T					
56. Blackrock	A	Int./Div.	J	T					
57. Blackrock High Yield	A	Int./Div.	J	T					
58. Boeing	A	Int./Div.	J	T					
59. Bridge Builder Bond Fund	A	Int./Div.	J	T					
60. Bridge Buildger Large Growth	A	Int./Div.	J	T	Sold (part)	12/31/21	J		
61. Cba Aggressive Growht I	A	Int./Div.	J	T					
62. Citibank Nat'l Assn.	A	Int./Div.	J	T					
63. Columbia Acorn Int'l	A	Int./Div.	J	T					
64. Core US Aggregate	A	Int./Div.	J	T					
65. Discover Bank CD	A	Int./Div.	J	T					
66. Dimensional Int'l Small Co. Fund	A	Int./Div.	J	T					
67. Dodge & Cox Stock	A	Int./Div.	J	T					
68. Franklin Mutual Shares CI Z	A	Int./Div.	J	T					

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69. Fundamental Investors	A	Int./Div.	J	T					
70. Goldman Sachs Bank	A	Int./Div.	J	T					
71. Goldman Fs Gov't	A	Int./Div.	J	T					
72. Harbor Capital Appreciation	A	Int./Div.	J	T					
73. Invesco Cornstock	A	Int./Div.	J	T					
74. Invesco Op Global Opport R6	A	Int./Div.	J	T					
75. JP Morgan Emerging Markets	A	Int./Div.	J	T					
76. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
77. JP Morgan High Yield	A	Int./Div.	K	T					
78. JP Morgan High Yield	A	Int./Div.	K	T					
79. JP Morgan Mid Cap Value Instl	A	Int./Div.	K	T					
80. JP Morgan US Gov't Money Market Fund	A	Int./Div.	J	T					
81. Loomis Sayles INV Grade BD	A	Int./Div.	J	T					
82. Metropolitan West Ttl Red Bd	A	Int./Div.	J	T					
83. MFS Global Growth	A	Int./Div.	J	T					
84. MFS International Value	A	Int./Div.	J	T					
85. MFS Value	A	Int./Div.	J	T					

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86. Morgan Stanley Private	A	Int./Div.	J	T					
87. MSCI EAFE Value ETF	A	Int./Div.	J	T					
88. MSCI EAFE Growth ETF	A	Int./Div.	J	T					
89. MSCI EAFE Small Cap	A	Int./Div.	J	T					
90. Pimco Total Return IV	A	Int./Div.	J	T					
91. Prudential High-Yield	A	Int./Div.	J	T					
92. Russ 2000 ETF	A	Int./Div.	J	T					
93. Russ 1000 Value ETF	A	Int./Div.	J	T					
94. Russ MC Value ETF	A	Int./Div.	J	T					
95. Russ MC Growth ETF	A	Int./Div.	J	T					
96. S & P 500 Growth ETF	A	Int./Div.	J	T					
97. T. Rowe Price Blue Ship Gr	A	Int./Div.	J	T					
98. T. Rowe Price Intl Bond	A	Int./Div.	J	T					
99. Vanguard TH BD Mkt ETF	A	Int./Div.	J	T					
100. Vanguard Lg Cap ETF	A	Int./Div.	J	T					
101. Vanguard Value ETF	A	Int./Div.	J	T					
102. Vanguard FTSE All-World	A	Int./Div.	J	T					

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103. Visa Inc.	A	Int./Div.	J	T					
104. Edward Jones Act. 3 - Traditional IRA (X) (H)									
105. Bridge Builder Bond Fund	A	Int./Div.	J	T					
106. Bridge Builder Small Mid-Growth	A	Int./Div.	J	T					
107. Bridge Builder Small Mid-Value	A	Int./Div.	J	T					
108. Bridge Builder Intl Equity	A	Int./Div.	J	T					
109. Dodge & Cox Stock	A	Int./Div.	J	T					
110. Fundamental Investors	A	Int./Div.	J	T					
111. Harbor Capital Appreciation	A	Int./Div.	J	T					
112. Invesco Cornstock	A	Int./Div.	J	T					
113. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
114. JP Morgan High Yield	A	Int./Div.	J	T					
115. JP Morgan Mid Cap Value	A	Int./Div.	J	T					
116. Loomis Sayles Inv Grade Bd	A	Int./Div.	J	T					
117. Metropolitan West Ttl Ret Bd	A	Int./Div.	J	T					
118. MFS Value	A	Int./Div.	J	T					
119. Pimco Total Return IV	A	Int./Div.	J	T					

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120. Prudential Jenn Mid Cap Gr	A	Int./Div.	J	T					
121. Ranier Small/Mid Cap Eq Instl	A	Int./Div.	J	T					
122. Scout Int'l	A	Int./Div.	J	T					
123. T. Rowe Price Blue Chip Gr	A	Int./Div.	J	T					
124. T. Rowe Price Intl Bd	A	Int./Div.	J	T					
125. T. Rowe Price Intl Stock	A	Int./Div.	J	T					
126. Federate Municipal & Stock Advantage Fund	A	Int./Div.	J	T					
127. Rollover IRA (H) Mutual Funds									
128. JP Morgan Large Cap Growth	A	Int./Div.	K	T	Sold (part)	12/21/21	J		
129. Newberger Berman Mid Cap Growth	A	Interest	J	T	Sold (part)	12/21/21	J		
130. Newberger Int'l Equity Fund	A	Int./Div.	K	T					
131. Clearbridge Small Cap Grw Cl A	A	Int./Div.	J	T					
132. Prudential Jennison Int'l Fund	A	Int./Div.	K	T	Sold (part)	12/21/21	J		
133. Prudential QMA Small Cap Value	A	Int./Div.	J	T	Sold (part)	12/21/21	J		
134. Victory Integrity Mid Cap Value	A	Int./Div.	K	T					
135. Prudential Equities Individual Account (H)									
136. Advanced Micro Device	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Dearie, Raymond J.	07/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Annaly Cap Mgmt. Inc.	A	Int./Div.	J	T					
138. Annaly Cap Mgmt. Inc.	A	Int./Div.	J	T					
139. Apple Inc.	A	Int./Div.	J	T					
140. Berkshire Hathway Inc.	A	Int./Div.	J	T					
141. Blackrock Large Cap Value Fund	A	Int./Div.	L	T	Sold (part)	12/21/21	J		
142. Calamos High Income Fund	A	Int./Div.	J	T					
143. Celgene Corp.	A	Int./Div.	J	T					
144. Fleetcor Tech	A	Int./Div.	J	T					
145. Garmin Ltd. Co.	A	Int./Div.	J	T					
146. Gilead Sciences Inc.	A	Int./Div.	J	T					
147. Harmon Int'l Industries Inc.	A	Int./Div.	J	T					
148. Henry Jack & Assoc.	A	Int./Div.	J	T					
149. Mastercard Inc.	A	Int./Div.	J	T					
150. Samsung Elec.	A	Int./Div.	J	T					
151. JP Morgan Chase & Co.	A	Dividend	J	T					
152. KKR & Co.	A	Dividend	J	T					
153. Newberger Berman	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Dearie, Raymond J.	07/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Stryker Corp	A	Int./Div.	J	T					
155. TJX Cos Inc.	A	Dividend	J	T					
156. iShares MSCI EAGE Index Fund	A	Dividend	J	T					
157. SPDR Five Hundred	A	Int./Div.	J	T					
158. Vanguard Whitehall Funds	A	Dividend	J	T					
159. Starwood Property Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Dearie, Raymond J.	07/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Raymond J. Dearie

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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