AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Dennis, James L. | U.S. 5th Cir. Court of Appeals | 05/15/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. Circuit Judge, Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2024 **to** 12/31/2024 |
| **7. Chambers or Office Address** | | |
| John Minor Wisdom Building<br>600 Camp Street, Room 219<br>New Orleans, LA 70130 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. Member, Advisory Committee | Geo. W. & Jean H. Pugh Institute for Justice, a fund held by the L.S.U. Foundation for the benefit of L.S.U. Law School |
| 2. Member, LSU Law Center Board of Trustees | LSU Law Center Board of Trustees, a consultative or advisory body to the Chancellor; appointed by Chancellor; not a governing board. |
| 3. | |
| 4. | |
| 5. | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. 10/02/95 | LA State Employees' Retirement System. No control; fully vested 10/31/94. See La.R.S. 11:551 |
| 2. | |
| 3. | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|
| 1. 2024 | LA State Employees' Retirement System - Pension | $64,829.04 |
| 2. | | |
| 3. | | |
| 4. | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE |
|---|---|
| 1. 2024 | Teachers' Retirement System of Louisiana - pension |
| 2. | |
| 3. | |
| 4. | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Dennis, James L.** | 05/15/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | AllianceBernstein International Growth Fund (AWPAX) | | None | K | T | | | | | |
| 2. | Bank Deposit Program (Wells Fargo) | A | Interest | K | T | | | | | |
| 3. | American General Life Polaris Choice II Variable Annuity (FKA AIG) | | None | N | T | | | | | |
| 4. | Enterprise Prods Partners | C | Distribution | K | T | | | | | |
| 5. | JP Morgan Short Term Tax Free Bond Fund | A | Dividend | J | T | | | | | |
| 6. | Plains All American Pipeline | A | Dividend | J | T | | | | | |
| 7. | Postrock Energy Corp. | | None | J | T | | | | | |
| 8. | Teekay Tankers Ltd. | A | Dividend | J | T | | | | | |
| 9. | Lumen (forrmerly Centurylink) | A | Dividend | J | T | | | | | |
| 10. | Hercules Tech. Capital Inc. | B | Dividend | K | T | | | | | |
| 11. | Sandridge Mississippian Trust I | | None | J | T | | | | | |
| 12. | Delta Airlines | A | Dividend | K | T | | | | | |
| 13. | Apple Inc. | A | Dividend | M | T | | | | | |
| 14. | First Trust IPO (ETF) | A | Dividend | K | T | | | | | |
| 15. | KKR & Co. | A | Dividend | L | T | | | | | |
| 16. | Cisco Systems | A | Dividend | K | T | | | | | |
| 17. | Corenergy Infrastructure Trust Inc. | | None | | | Closed | 07/02/24 | J | | Loss |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Dennis, James L.** | 05/15/2025 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. General Motors | A | Dividend | K | T | | | | | |
| 19. United Rentals | A | Dividend | K | T | | | | | |
| 20. Advent Claymore Convertible (Income Fund) | A | Dividend | J | T | | | | | |
| 21. Gabelli Dividend & Income Fund (CEF) | A | Dividend | J | T | | | | | |
| 22. RMR Group, Inc. (X) | A | Dividend | J | T | | | | | |
| 23. Stellantis (Formerly Fiat Chrysler) | B | Dividend | J | T | | | | | |
| 24. Lamar Advertising | B | Dividend | K | T | | | | | |
| 25. Bristol Myers Squibb | A | Dividend | K | T | | | | | |
| 26. Micron Technology | A | Dividend | K | T | | | | | |
| 27. Carlyle Group LP | A | Dividend | K | T | | | | | |
| 28. Accenture Ireland PLC | A | Dividend | K | T | | | | | |
| 29. Alphabet Inc. Class A | A | Dividend | K | T | | | | | |
| 30. AT&T Inc. | A | Dividend | J | T | | | | | |
| 31. Chevron Corp. | A | Dividend | K | T | | | | | |
| 32. Chubb Ltd. | A | Dividend | K | T | | | | | |
| 33. Citigroup Inc. | A | Dividend | J | T | | | | | |
| 34. CVS Health Corp. | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 35. Danaher Corp. | A | Dividend | K | T | | | | | |
| 36. Emerson Electric Co. | A | Dividend | K | T | | | | | |
| 37. Meta Platforms | A | Dividend | K | T | | | | | |
| 38. HSBC Holdings | B | Dividend | K | T | | | | | |
| 39. Illinois Tool Works Inc. | A | Dividend | K | T | | | | | |
| 40. Intercontinental Hotel Group | A | Dividend | K | T | | | | | |
| 41. Merck | A | Dividend | K | T | | | | | |
| 42. Microsoft Corp. | A | Dividend | K | T | | | | | |
| 43. Starbucks Corp. | A | Dividend | K | T | | | | | |
| 44. UBS Group | A | Dividend | | | Sold | 03/27/24 | K | D | |
| 45. Amazon.com | | None | K | T | | | | | |
| 46. U.S. Foods Holdings Corp | | None | K | T | | | | | |
| 47. Energy Transfer L.P. | D | Distribution | M | T | | | | | |
| 48. PayPal Holdings Inc. | | None | K | T | | | | | |
| 49. Organon & Co | A | Dividend | J | T | | | | | |
| 50. Icahn Enterprises LP | A | Dividend | J | T | | | | | |
| 51. Star Bulk Carriers Corp. | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. Sibanye-Stillwater ADR | | None | J | T | | | | | |
| 53. KB Home | A | Dividend | | | Sold | 05/09/24 | J | C | |
| 54. Kulicke & Soffa Industries, Inc. | A | Dividend | J | T | | | | | |
| 55. Faurecia SA | A | Dividend | J | T | | | | | |
| 56. Blackrock Innovation & Growth TR | A | Dividend | J | T | | | | | |
| 57. Kyndryl Holdings Inc. | | None | J | T | | | | | |
| 58. Amgen | B | Dividend | K | T | | | | | |
| 59. B Riley Financial | A | Dividend | J | T | | | | | |
| 60. Berkshire Hathaway | | None | K | T | | | | | |
| 61. Honeywell | A | Dividend | K | T | | | | | |
| 62. Huntsman Corp | A | Dividend | J | T | | | | | |
| 63. KLA Corp | A | Dividend | K | T | | | | | |
| 64. Salesforce Inc. | A | Dividend | K | T | | | | | |
| 65. Servicenow Inc. | | None | K | T | | | | | |
| 66. Warner Bros Discovery Inc | | None | J | T | | | | | |
| 67. EQT Corp | A | Dividend | J | T | | | | | |
| 68. United Healthcare Group | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|---|
| 69. | Allspring | B | Interest | K | T | | | | | |
| 70. | Alliance Resources Partners LP (ARLP) | B | Dividend | J | T | Buy | 03/28/24 | J | | |
| 71. | Schlumberger LTD (SLB) | A | Dividend | J | T | Buy | 04/11/24 | J | | |
| 72. | TC Energy Corp (TRP) | A | Dividend | J | T | Buy | 04/11/24 | J | | |
| 73. | South Bow Corp (SOBO) | A | Dividend | J | T | Spinoff (from line 72) | 04/11/24 | J | | |
| 74. | RTX Corp (RTX) | A | Dividend | K | T | Buy | 05/17/24 | K | | |
| 75. | Adobe Systems Inc (ADBE) | | None | J | T | Buy | 06/10/24 | J | | |
| 76. | XPLR Infrastructure LP (formerly Nextera Energy Partners ) | B | Dividend | J | T | Buy | 06/28/24 | J | | |

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| Name of Person Reporting | Date of Report |
|---|---|
| **Dennis, James L.** | 05/15/2025 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. The LSU Law Center Board of Trustees has no reportable assets.

| Name of Person Reporting | Date of Report |
|---|---|
| **Dennis, James L.** | 05/15/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ James L. Dennis**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544